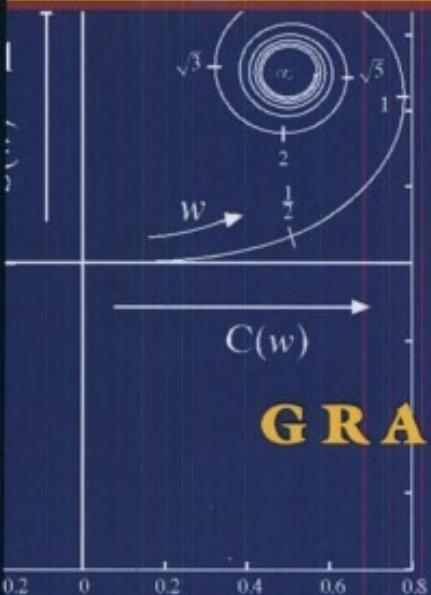


# THE CAMBRIDGE HANDBOOK OF PHYSICS FORMULAS

$$\left( \frac{\alpha}{\omega} \right)$$



GRAHAM GWOAN

Edge diffraction

$$|CS(w) + \frac{1}{2}(1+i)|^2$$



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## **The Cambridge Handbook of Physics Formulas**

*The Cambridge Handbook of Physics Formulas* is a quick-reference aid for students and professionals in the physical sciences and engineering. It contains more than 2000 of the most useful formulas and equations found in undergraduate physics courses, covering mathematics, dynamics and mechanics, quantum physics, thermodynamics, solid state physics, electromagnetism, optics, and astrophysics. An exhaustive index allows the required formulas to be located swiftly and simply, and the unique tabular format crisply identifies all the variables involved.

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Graham Woan is a senior lecturer in the Department of Physics and Astronomy at the University of Glasgow. Prior to this he taught physics at the University of Cambridge where he also received his degree in Natural Sciences, specialising in physics, and his PhD, in radio astronomy. His research interests range widely with a special focus on low-frequency radio astronomy. His publications span journals as diverse as *Astronomy & Astrophysics*, *Geophysical Research Letters*, *Advances in Space Science*, the *Journal of Navigation* and *Emergency Prehospital Medicine*. He was co-developer of the revolutionary CURSOR radio positioning system, which uses existing broadcast transmitters to determine position, and he is the designer of the Glasgow Millennium Sundial.



# The Cambridge Handbook of Physics Formulas

2003 Edition

GRAHAM WOAN

*Department of Physics & Astronomy  
University of Glasgow*



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# Preface

In *A Brief History of Time*, Stephen Hawking relates that he was warned against including equations in the book because “each equation... would halve the sales.” Despite this dire prediction there is, for a scientific audience, some attraction in doing the exact opposite.

The reader should not be misled by this exercise. Although the equations and formulas contained here underpin a good deal of physical science they are useless unless the reader *understands* them. Learning physics is not about remembering equations, it is about appreciating the natural structures they express. Although its format should help make some topics clearer, this book is not designed to teach new physics; there are many excellent textbooks to help with that. It is intended to be useful rather than pedagogically complete, so that students can use it for revision and for structuring their knowledge *once they understand the physics*. More advanced users will benefit from having a compact, internally consistent, source of equations that can quickly deliver the relationship they require in a format that avoids the need to sift through pages of rubric.

Some difficult decisions have had to be made to achieve this. First, to be short the book only includes ideas that can be expressed succinctly in equations, without resorting to lengthy explanation. A small number of important topics are therefore absent. For example, Liouville’s theorem can be algebraically succinct ( $\dot{\varrho} = 0$ ) but is meaningless unless  $\dot{\varrho}$  is thoroughly (and carefully) explained. Anyone who already understands what  $\dot{\varrho}$  represents will probably not need reminding that it equals zero. Second, empirical equations with numerical coefficients have been largely omitted, as have topics significantly more advanced than are found at undergraduate level. There are simply too many of these to be sensibly and confidently edited into a short handbook. Third, physical data are largely absent, although a periodic table, tables of physical constants, and data on the solar system are all included. Just a sighting of the marvellous (but dimensionally misnamed) *CRC Handbook of Chemistry and Physics* should be enough to convince the reader that a good science data book is thick.

Inevitably there is personal choice in what should or should not be included, and you may feel that an equation that meets the above criteria is missing. If this is the case, I would be delighted to hear from you so it can be considered for a subsequent edition. Contact details are at the end of this preface. Likewise, if you spot an error or an inconsistency then please let me know and I will post an erratum on the web page.

**Acknowledgments** This venture is founded on the generosity of colleagues in Glasgow and Cambridge whose inputs have strongly influenced the final product. The expertise of Dave Clarke, Declan Diver, Peter Duffett-Smith, Wolf-Gerrit Fröh, Martin Hendry, Rico Ignace, David Ireland, John Simmons, and Harry Ward have been central to its production, as have the linguistic skills of Katie Lowe. I would also like to thank Richard Barrett, Matthew Cartmell, Steve Gull, Martin Hendry, Jim Hough, Darren McDonald, and Ken Riley who all agreed to field-test the book and gave invaluable feedback.

My greatest thanks though are to John Shakeshaft who, with remarkable knowledge and skill, worked through the entire manuscript more than once during its production and whose legendary red pen hovered over (or descended upon) every equation in the book. What errors remain are, of course, my own, but I take comfort from the fact that without John they would be much more numerous.

**Contact information** A website containing up-to-date information on this handbook and contact details can be found through the Cambridge University Press web pages at [us.cambridge.org](http://us.cambridge.org) (North America) or [uk.cambridge.org](http://uk.cambridge.org) (United Kingdom), or directly at [radio.astro.gla.ac.uk/hbhome.html](http://radio.astro.gla.ac.uk/hbhome.html).

**Production notes** This book was typeset by the author in L<sup>A</sup>T<sub>E</sub>X 2<sub>E</sub> using the CUP Times fonts. The software packages used were *WinEdt*, MiK<sup>T</sup>E<sub>X</sub>, *Mayura Draw*, *Gnuplot*, *Ghostscript*, *Ghostview*, and *Maple V*.

**Comments on the 2002 edition** I am grateful to all those who have suggested improvements, in particular Martin Hendry, Wolfgang Jitschin, and Joseph Katz. Although this edition contains only minor revisions to the original its production was also an opportunity to update the physical constants and periodic table entries and to reflect recent developments in cosmology.

# How to use this book

The format is largely self-explanatory, but a few comments may be helpful. Although it is very tempting to flick through the pages to find what you are looking for, the best starting point is the index. I have tried to make this as extensive as possible, and many equations are indexed more than once. Equations are listed both with their equation number (in square brackets) and the page on which they can be found. The equations themselves are grouped into self-contained and boxed “panels” on the pages. Each panel represents a separate topic, and you will find descriptions of all the variables used at the right-hand side of the panel, usually adjacent to the first equation in which they are used. You should therefore not need to stray outside the panel to understand the notation. Both the panel as a whole and its individual entries may have footnotes, shown below the panel. Be aware of these, as they contain important additional information and conditions relevant to the topic.

Although the panels are self-contained they may use concepts defined elsewhere in the handbook. Often these are cross-referenced, but again the index will help you to locate them if necessary. Notations and definitions are uniform over subject areas unless stated otherwise.



# Chapter 1 Units, constants, and conversions

## 1.1 Introduction

The determination of physical constants and the definition of the units with which they are measured is a specialised and, to many, hidden branch of science.

A quantity with dimensions is one whose value must be expressed relative to one or more standard units. In the spirit of the rest of the book, this section is based around the International System of units (SI). This system uses seven base units<sup>1</sup> (the number is somewhat arbitrary), such as the kilogram and the second, and defines their magnitudes in terms of physical laws or, in the case of the kilogram, an object called the “international prototype of the kilogram” kept in Paris. For convenience there are also a number of derived standards, such as the volt, which are defined as set combinations of the basic seven. Most of the physical observables we regard as being in some sense fundamental, such as the charge on an electron, are now known to a relative standard uncertainty,<sup>2</sup>  $u_r$ , of less than  $10^{-7}$ . The least well determined is the Newtonian constant of gravitation, presently standing at a rather lamentable  $u_r$  of  $1.5 \times 10^{-3}$ , and the best is the Rydberg constant ( $u_r = 7.6 \times 10^{-12}$ ). The dimensionless electron g-factor, representing twice the magnetic moment of an electron measured in Bohr magnetons, is now known to a relative uncertainty of only  $4.1 \times 10^{-12}$ .

No matter which base units are used, physical quantities are expressed as the product of a numerical value and a unit. These two components have more-or-less equal standing and can be manipulated by following the usual rules of algebra. So, if  $1 \cdot \text{eV} = 160.218 \times 10^{-21} \cdot \text{J}$  then  $1 \cdot \text{J} = [1/(160.218 \times 10^{-21})] \cdot \text{eV}$ . A measurement of energy,  $U$ , with joule as the unit has a numerical value of  $U/\text{J}$ . The same measurement with electron volt as the unit has a numerical value of  $U/\text{eV} = (U/\text{J}) \cdot (\text{J}/\text{eV})$  and so on.

<sup>1</sup>The **metre** is the length of the path travelled by light in vacuum during a time interval of  $1/299\,792\,458$  of a second. The **kilogram** is the unit of mass; it is equal to the mass of the international prototype of the kilogram. The **second** is the duration of  $9\,192\,631\,770$  periods of the radiation corresponding to the transition between the two hyperfine levels of the ground state of the caesium 133 atom. The **ampere** is that constant current which, if maintained in two straight parallel conductors of infinite length, of negligible circular cross-section, and placed 1 metre apart in vacuum, would produce between these conductors a force equal to  $2 \times 10^{-7}$  newton per metre of length. The **kelvin**, unit of thermodynamic temperature, is the fraction  $1/273.16$  of the thermodynamic temperature of the triple point of water. The **mole** is the amount of substance of a system which contains as many elementary entities as there are atoms in 0.012 kilogram of carbon 12; its symbol is “mol.” When the mole is used, the elementary entities must be specified and may be atoms, molecules, ions, electrons, other particles, or specified groups of such particles. The **candela** is the luminous intensity, in a given direction, of a source that emits monochromatic radiation of frequency  $540 \times 10^{12}$  hertz and that has a radiant intensity in that direction of  $1/683$  watt per steradian.

<sup>2</sup>The relative standard uncertainty in  $x$  is defined as the estimated standard deviation in  $x$  divided by the modulus of  $x$  ( $x \neq 0$ ).

## 1.2 SI units

### SI base units

<i>physical quantity</i>	<i>name</i>	<i>symbol</i>
length	metre <sup>a</sup>	m
mass	kilogram	kg
time interval	second	s
electric current	ampere	A
thermodynamic temperature	kelvin	K
amount of substance	mole	mol
luminous intensity	candela	cd

<sup>a</sup>Or “meter”.

### SI derived units

<i>physical quantity</i>	<i>name</i>	<i>symbol</i>	<i>equivalent units</i>
catalytic activity	katal	kat	$\text{mol s}^{-1}$
electric capacitance	farad	F	$\text{C V}^{-1}$
electric charge	coulomb	C	$\text{A s}$
electric conductance	siemens	S	$\Omega^{-1}$
electric potential difference	volt	V	$\text{J C}^{-1}$
electric resistance	ohm	$\Omega$	$\text{V A}^{-1}$
energy, work, heat	joule	J	N m
force	newton	N	$\text{m kg s}^{-2}$
frequency	hertz	Hz	$\text{s}^{-1}$
illuminance	lux	lx	$\text{cd sr m}^{-2}$
inductance	henry	H	$\text{V A}^{-1} \text{s}$
luminous flux	lumen	lm	cd sr
magnetic flux	weber	Wb	V s
magnetic flux density	tesla	T	$\text{V s m}^{-2}$
plane angle	radian	rad	$\text{m m}^{-1}$
power, radiant flux	watt	W	$\text{J s}^{-1}$
pressure, stress	pascal	Pa	$\text{N m}^{-2}$
radiation absorbed dose	gray	Gy	$\text{J kg}^{-1}$
radiation dose equivalent <sup>a</sup>	sievert	Sv	$[\text{J kg}^{-1}]$
radioactive activity	becquerel	Bq	$\text{s}^{-1}$
solid angle	steradian	sr	$\text{m}^2 \text{ m}^{-2}$
temperature <sup>b</sup>	degree Celsius	$^{\circ}\text{C}$	K

<sup>a</sup>To distinguish it from the gray, units of  $\text{J kg}^{-1}$  should not be used for the sievert in practice.

<sup>b</sup>The Celsius temperature,  $T_{\text{C}}$ , is defined from the temperature in kelvin,  $T_{\text{K}}$ , by  $T_{\text{C}} = T_{\text{K}} - 273.15$ .

## SI prefixes<sup>a</sup>

<i>factor</i>	<i>prefix</i>	<i>symbol</i>	<i>factor</i>	<i>prefix</i>	<i>symbol</i>
$10^{24}$	yotta	Y	$10^{-24}$	yocto	y
$10^{21}$	zetta	Z	$10^{-21}$	zepto	z
$10^{18}$	exa	E	$10^{-18}$	atto	a
$10^{15}$	peta	P	$10^{-15}$	femto	f
$10^{12}$	tera	T	$10^{-12}$	pico	p
$10^9$	giga	G	$10^{-9}$	nano	n
$10^6$	mega	M	$10^{-6}$	micro	$\mu$
$10^3$	kilo	k	$10^{-3}$	milli	m
$10^2$	hecto	h	$10^{-2}$	centi	c
$10^1$	deca <sup>b</sup>	da	$10^{-1}$	deci	d

<sup>a</sup>The kilogram is the only SI unit with a prefix embedded in its name and symbol. For mass, the unit name “gram” and unit symbol “g” should be used with these prefixes, hence  $10^{-6}$  kg can be written as 1 mg. Otherwise, any prefix can be applied to any SI unit.

<sup>b</sup>Or “deka”.

## Recognised non-SI units

<i>physical quantity</i>	<i>name</i>	<i>symbol</i>	<i>SI value</i>
area	barn	b	$10^{-28} \text{ m}^2$
energy	electron volt	eV	$\simeq 1.602\,18 \times 10^{-19} \text{ J}$
length	ångström	Å	$10^{-10} \text{ m}$
	fermi <sup>a</sup>	fm	$10^{-15} \text{ m}$
	micron <sup>a</sup>	$\mu\text{m}$	$10^{-6} \text{ m}$
plane angle	degree	$^\circ$	$(\pi/180) \text{ rad}$
	arcminute	'	$(\pi/10\,800) \text{ rad}$
	arcsecond	"	$(\pi/648\,000) \text{ rad}$
pressure	bar	bar	$10^5 \text{ N m}^{-2}$
time	minute	min	60 s
	hour	h	3 600 s
	day	d	86 400 s
mass	unified atomic mass unit	u	$\simeq 1.660\,54 \times 10^{-27} \text{ kg}$
	tonne <sup>a,b</sup>	t	$10^3 \text{ kg}$
volume	litre <sup>c</sup>	l, L	$10^{-3} \text{ m}^3$

<sup>a</sup>These are non-SI names for SI quantities.

<sup>b</sup>Or “metric ton.”

<sup>c</sup>Or “liter”. The symbol “l” should be avoided.

### 1.3 Physical constants

The following 1998 CODATA recommended values for the fundamental physical constants can also be found on the Web at [physics.nist.gov/constants](http://physics.nist.gov/constants). Detailed background information is available in *Reviews of Modern Physics*, Vol. 72, No. 2, pp. 351–495, April 2000.

The digits in parentheses represent the  $1\sigma$  uncertainty in the previous two quoted digits. For example,  $G = (6.673 \pm 0.010) \times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$ . It is important to note that the uncertainties for many of the listed quantities are correlated, so that the uncertainty in any expression using them in combination cannot necessarily be computed from the data presented. Suitable covariance values are available in the above references.

### Summary of physical constants

speed of light in vacuum <sup>a</sup>	$c$	2.997 924 58	$\times 10^8 \text{ m s}^{-1}$
permeability of vacuum <sup>b</sup>	$\mu_0$	$4\pi$ $= 12.566\ 370\ 614\dots$	$\times 10^{-7} \text{ H m}^{-1}$ $\times 10^{-7} \text{ H m}^{-1}$
permittivity of vacuum	$\epsilon_0$	$1/(\mu_0 c^2)$ $= 8.854\ 187\ 817\dots$	$\text{F m}^{-1}$ $\times 10^{-12} \text{ F m}^{-1}$
constant of gravitation <sup>c</sup>	$G$	6.673(10)	$\times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$
Planck constant	$h$	6.626 068 76(52)	$\times 10^{-34} \text{ J s}$
$h/(2\pi)$	$\hbar$	1.054 571 596(82)	$\times 10^{-34} \text{ J s}$
elementary charge	$e$	1.602 176 462(63)	$\times 10^{-19} \text{ C}$
magnetic flux quantum, $h/(2e)$	$\Phi_0$	2.067 833 636(81)	$\times 10^{-15} \text{ Wb}$
electron volt	$\text{eV}$	1.602 176 462(63)	$\times 10^{-19} \text{ J}$
electron mass	$m_e$	9.109 381 88(72)	$\times 10^{-31} \text{ kg}$
proton mass	$m_p$	1.672 621 58(13)	$\times 10^{-27} \text{ kg}$
proton/electron mass ratio	$m_p/m_e$	1 836.152 667 5(39)	
unified atomic mass unit	$u$	1.660 538 73(13)	$\times 10^{-27} \text{ kg}$
fine-structure constant, $\mu_0 c e^2 / (2h)$	$\alpha$	7.297 352 533(27)	$\times 10^{-3}$
inverse	$1/\alpha$	137.035 999 76(50)	
Rydberg constant, $m_e c \alpha^2 / (2h)$	$R_\infty$	1.097 373 156 854 9(83)	$\times 10^7 \text{ m}^{-1}$
Avogadro constant	$N_A$	6.022 141 99(47)	$\times 10^{23} \text{ mol}^{-1}$
Faraday constant, $N_A e$	$F$	9.648 534 15(39)	$\times 10^4 \text{ C mol}^{-1}$
molar gas constant	$R$	8.314 472(15)	$\text{J mol}^{-1} \text{ K}^{-1}$
Boltzmann constant, $R/N_A$	$k$	1.380 650 3(24)	$\times 10^{-23} \text{ J K}^{-1}$
Stefan–Boltzmann constant, $\pi^2 k^4 / (60 \hbar^3 c^2)$	$\sigma$	5.670 400(40)	$\times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$
Bohr magneton, $e\hbar/(2m_e)$	$\mu_B$	9.274 008 99(37)	$\times 10^{-24} \text{ J T}^{-1}$

<sup>a</sup>By definition, the speed of light is exact.

<sup>b</sup>Also exact, by definition. Alternative units are  $\text{NA}^{-2}$ .

<sup>c</sup>The standard acceleration due to gravity,  $g$ , is defined as exactly  $9.806\ 65 \text{ m s}^{-2}$ .

**General constants**

speed of light in vacuum	$c$	2.997 924 58	$\times 10^8 \text{ m s}^{-1}$
permeability of vacuum	$\mu_0$	$4\pi$	$\times 10^{-7} \text{ H m}^{-1}$
		=12.566 370 614...	$\times 10^{-7} \text{ H m}^{-1}$
permittivity of vacuum	$\epsilon_0$	$1/(\mu_0 c^2)$	$\text{F m}^{-1}$
		=8.854 187 817...	$\times 10^{-12} \text{ F m}^{-1}$
impedance of free space	$Z_0$	$\mu_0 c$	$\Omega$
		=376.730 313 461...	$\Omega$
constant of gravitation	$G$	6.673(10)	$\times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$
Planck constant	$h$	6.626 068 76(52)	$\times 10^{-34} \text{ J s}$
in eV s		4.135 667 27(16)	$\times 10^{-15} \text{ eV s}$
$h/(2\pi)$	$\hbar$	1.054 571 596(82)	$\times 10^{-34} \text{ J s}$
in eV s		6.582 118 89(26)	$\times 10^{-16} \text{ eV s}$
Planck mass, $(\hbar c/G)^{1/2}$	$m_{\text{Pl}}$	2.176 7(16)	$\times 10^{-8} \text{ kg}$
Planck length, $\hbar/(m_{\text{Pl}}c) = (\hbar G/c^3)^{1/2}$	$l_{\text{Pl}}$	1.616 0(12)	$\times 10^{-35} \text{ m}$
Planck time, $l_{\text{Pl}}/c = (\hbar G/c^5)^{1/2}$	$t_{\text{Pl}}$	5.390 6(40)	$\times 10^{-44} \text{ s}$
elementary charge	$e$	1.602 176 462(63)	$\times 10^{-19} \text{ C}$
magnetic flux quantum, $h/(2e)$	$\Phi_0$	2.067 833 636(81)	$\times 10^{-15} \text{ Wb}$
Josephson frequency/voltage ratio	$2e/h$	4.835 978 98(19)	$\times 10^{14} \text{ Hz V}^{-1}$
Bohr magneton, $e\hbar/(2m_e)$	$\mu_B$	9.274 008 99(37)	$\times 10^{-24} \text{ J T}^{-1}$
in eV T $^{-1}$		5.788 381 749(43)	$\times 10^{-5} \text{ eV T}^{-1}$
$\mu_B/k$		0.671 713 1(12)	$\text{K T}^{-1}$
nuclear magneton, $e\hbar/(2m_p)$	$\mu_N$	5.050 783 17(20)	$\times 10^{-27} \text{ J T}^{-1}$
in eV T $^{-1}$		3.152 451 238(24)	$\times 10^{-8} \text{ eV T}^{-1}$
$\mu_N/k$		3.658 263 8(64)	$\times 10^{-4} \text{ K T}^{-1}$
Zeeman splitting constant	$\mu_B/(hc)$	46.686 452 1(19)	$\text{m}^{-1} \text{ T}^{-1}$

**Atomic constants<sup>a</sup>**

fine-structure constant, $\mu_0 ce^2/(2h)$	$\alpha$	7.297 352 533(27)	$\times 10^{-3}$
inverse	$1/\alpha$	137.035 999 76(50)	
Rydberg constant, $m_e c \alpha^2/(2h)$	$R_\infty$	1.097 373 156 854 9(83)	$\times 10^7 \text{ m}^{-1}$
$R_\infty c$		3.289 841 960 368(25)	$\times 10^{15} \text{ Hz}$
$R_\infty hc$		2.179 871 90(17)	$\times 10^{-18} \text{ J}$
$R_\infty hc/e$		13.605 691 72(53)	$\text{eV}$
Bohr radius <sup>b</sup> , $\alpha/(4\pi R_\infty)$	$a_0$	5.291 772 083(19)	$\times 10^{-11} \text{ m}$

<sup>a</sup>See also the Bohr model on page 95.<sup>b</sup>Fixed nucleus.

## Electron constants

electron mass	$m_e$	9.109 381 88(72)	$\times 10^{-31}$ kg
in MeV		0.510 998 902(21)	MeV
electron/proton mass ratio	$m_e/m_p$	5.446 170 232(12)	$\times 10^{-4}$
electron charge	$-e$	-1.602 176 462(63)	$\times 10^{-19}$ C
electron specific charge	$-e/m_e$	-1.758 820 174(71)	$\times 10^{11}$ C kg $^{-1}$
electron molar mass, $N_A m_e$	$M_e$	5.485 799 110(12)	$\times 10^{-7}$ kg mol $^{-1}$
Compton wavelength, $h/(m_e c)$	$\lambda_C$	2.426 310 215(18)	$\times 10^{-12}$ m
classical electron radius, $\alpha^2 a_0$	$r_e$	2.817 940 285(31)	$\times 10^{-15}$ m
Thomson cross section, $(8\pi/3)r_e^2$	$\sigma_T$	6.652 458 54(15)	$\times 10^{-29}$ m $^2$
electron magnetic moment	$\mu_e$	-9.284 763 62(37)	$\times 10^{-24}$ J T $^{-1}$
in Bohr magnetons, $\mu_e/\mu_B$		-1.001 159 652 186 9(41)	
in nuclear magnetons, $\mu_e/\mu_N$		-1 838.281 966 0(39)	
electron gyromagnetic ratio, $2 \mu_e /\hbar$	$\gamma_e$	1.760 859 794(71)	$\times 10^{11}$ s $^{-1}$ T $^{-1}$
electron g-factor, $2\mu_e/\mu_B$	$g_e$	-2.002 319 304 3737(82)	

## Proton constants

proton mass	$m_p$	1.672 621 58(13)	$\times 10^{-27}$ kg
in MeV		938.271 998(38)	MeV
proton/electron mass ratio	$m_p/m_e$	1 836.152 667 5(39)	
proton charge	$e$	1.602 176 462(63)	$\times 10^{-19}$ C
proton specific charge	$e/m_p$	9.578 834 08(38)	$\times 10^7$ C kg $^{-1}$
proton molar mass, $N_A m_p$	$M_p$	1.007 276 466 88(13)	$\times 10^{-3}$ kg mol $^{-1}$
proton Compton wavelength, $h/(m_p c)$	$\lambda_{C,p}$	1.321 409 847(10)	$\times 10^{-15}$ m
proton magnetic moment	$\mu_p$	1.410 606 633(58)	$\times 10^{-26}$ J T $^{-1}$
in Bohr magnetons, $\mu_p/\mu_B$		1.521 032 203(15)	$\times 10^{-3}$
in nuclear magnetons, $\mu_p/\mu_N$		2.792 847 337(29)	
proton gyromagnetic ratio, $2\mu_p/\hbar$	$\gamma_p$	2.675 222 12(11)	$\times 10^8$ s $^{-1}$ T $^{-1}$

## Neutron constants

neutron mass	$m_n$	1.674 927 16(13)	$\times 10^{-27}$ kg
in MeV		939.565 330(38)	MeV
neutron/electron mass ratio	$m_n/m_e$	1 838.683 655 0(40)	
neutron/proton mass ratio	$m_n/m_p$	1.001 378 418 87(58)	
neutron molar mass, $N_A m_n$	$M_n$	1.008 664 915 78(55)	$\times 10^{-3}$ kg mol $^{-1}$
neutron Compton wavelength, $h/(m_n c)$	$\lambda_{C,n}$	1.319 590 898(10)	$\times 10^{-15}$ m
neutron magnetic moment	$\mu_n$	-9.662 364 0(23)	$\times 10^{-27}$ J T $^{-1}$
in Bohr magnetons	$\mu_n/\mu_B$	-1.041 875 63(25)	$\times 10^{-3}$
in nuclear magnetons	$\mu_n/\mu_N$	-1.913 042 72(45)	
neutron gyromagnetic ratio, $2 \mu_n /\hbar$	$\gamma_n$	1.832 471 88(44)	$\times 10^8$ s $^{-1}$ T $^{-1}$

## Muon and tau constants

muon mass	$m_\mu$	1.883 531 09(16)	$\times 10^{-28}$ kg
in MeV		105.658 356 8(52)	MeV
tau mass	$m_\tau$	3.167 88(52)	$\times 10^{-27}$ kg
in MeV		1.777 05(29)	$\times 10^3$ MeV
muon/electron mass ratio	$m_\mu/m_e$	206.768 262(30)	
muon charge	$-e$	-1.602 176 462(63)	$\times 10^{-19}$ C
muon magnetic moment	$\mu_\mu$	-4.490 448 13(22)	$\times 10^{-26}$ JT <sup>-1</sup>
in Bohr magnetons, $\mu_\mu/\mu_B$		4.841 970 85(15)	$\times 10^{-3}$
in nuclear magnetons, $\mu_\mu/\mu_N$		8.890 597 70(27)	
muon g-factor	$g_\mu$	-2.002 331 832 0(13)	

## Bulk physical constants

Avogadro constant	$N_A$	6.022 141 99(47)	$\times 10^{23}$ mol <sup>-1</sup>
atomic mass constant <sup>a</sup>	$m_u$	1.660 538 73(13)	$\times 10^{-27}$ kg
in MeV		931.494 013(37)	MeV
Faraday constant	$F$	9.648 534 15(39)	$\times 10^4$ C mol <sup>-1</sup>
molar gas constant	$R$	8.314 472(15)	J mol <sup>-1</sup> K <sup>-1</sup>
Boltzmann constant, $R/N_A$	$k$	1.380 650 3(24)	$\times 10^{-23}$ JK <sup>-1</sup>
in eV K <sup>-1</sup>		8.617 342(15)	$\times 10^{-5}$ eV K <sup>-1</sup>
molar volume (ideal gas at stp) <sup>b</sup>	$V_m$	22.413 996(39)	$\times 10^{-3}$ m <sup>3</sup> mol <sup>-1</sup>
Stefan–Boltzmann constant, $\pi^2 k^4/(60\hbar^3 c^2)$	$\sigma$	5.670 400(40)	$\times 10^{-8}$ W m <sup>-2</sup> K <sup>-4</sup>
Wien's displacement law constant, <sup>c</sup> $b = \lambda_m T$	$b$	2.897 768 6(51)	$\times 10^{-3}$ m K

<sup>a</sup>= mass of <sup>12</sup>C/12. Alternative nomenclature for the unified atomic mass unit, u.

<sup>b</sup>Standard temperature and pressure (stp) are  $T = 273.15$  K ( $0^\circ\text{C}$ ) and  $P = 101\,325$  Pa (1 standard atmosphere).

<sup>c</sup>See also page 121.

## Mathematical constants

pi ( $\pi$ )	3.141 592 653 589 793 238 462 643 383 279 ...
exponential constant (e)	2.718 281 828 459 045 235 360 287 471 352 ...
Catalan's constant	0.915 965 594 177 219 015 054 603 514 932 ...
Euler's constant <sup>a</sup> ( $\gamma$ )	0.577 215 664 901 532 860 606 512 090 082 ...
Feigenbaum's constant ( $\alpha$ )	2.502 907 875 095 892 822 283 902 873 218 ...
Feigenbaum's constant ( $\delta$ )	4.669 201 609 102 990 671 853 203 820 466 ...
Gibbs constant	1.851 937 051 982 466 170 361 053 370 157 ...
golden mean	1.618 033 988 749 894 848 204 586 834 370 ...
Madelung constant <sup>b</sup>	1.747 564 594 633 182 190 636 212 035 544 ...

<sup>a</sup>See also Equation (2.119).

<sup>b</sup>NaCl structure.

## 1.4 Converting between units

The following table lists common (and not so common) measures of physical quantities. The numerical values given are the SI equivalent of one unit measure of the non-SI unit. Hence 1 astronomical unit equals  $149.5979 \times 10^9$  m. Those entries identified with a “\*” in the second column represent exact conversions; so 1 abampere equals exactly 10.0 A. Note that individual entries in this list are not recorded in the index, and that values are “international” unless otherwise stated.

There is a separate section on temperature conversions after this table.

<i>unit name</i>	<i>value in SI units</i>	
abampere	10.0*	A
abcoulomb	10.0*	C
abfarad	1.0*	$\times 10^9$ F
abhenry	1.0*	$\times 10^{-9}$ H
abmho	1.0*	$\times 10^9$ S
abohm	1.0*	$\times 10^{-9}$ Ω
abvolt	10.0*	$\times 10^{-9}$ V
acre	4.046 856	$\times 10^3$ m <sup>2</sup>
amagat (at stp)	44.614 774	mol m <sup>-3</sup>
ampere hour	3.6*	$\times 10^3$ C
ångström	100.0*	$\times 10^{-12}$ m
apostilb	1.0*	lm m <sup>-2</sup>
arcminute	290.888 2	$\times 10^{-6}$ rad
arcsecond	4.848 137	$\times 10^{-6}$ rad
are	100.0*	m <sup>2</sup>
astronomical unit	149.597 9	$\times 10^9$ m
atmosphere (standard)	101.325 0*	$\times 10^3$ Pa
atomic mass unit	1.660 540	$\times 10^{-27}$ kg
bar	100.0*	$\times 10^3$ Pa
barn	100.0*	$\times 10^{-30}$ m <sup>2</sup>
baromil	750.1	$\times 10^{-6}$ m
barrel (UK)	163.659 2	$\times 10^{-3}$ m <sup>3</sup>
barrel (US dry)	115.627 1	$\times 10^{-3}$ m <sup>3</sup>
barrel (US liquid)	119.240 5	$\times 10^{-3}$ m <sup>3</sup>
barrel (US oil)	158.987 3	$\times 10^{-3}$ m <sup>3</sup>
baud	1.0*	s <sup>-1</sup>
bayre	100.0*	$\times 10^{-3}$ Pa
biot	10.0	A
bolt (US)	36.576*	m
brewster	1.0*	$\times 10^{-12}$ m <sup>2</sup> N <sup>-1</sup>
British thermal unit	1.055 056	$\times 10^3$ J
bushel (UK)	36.36 872	$\times 10^{-3}$ m <sup>3</sup>
bushel (US)	35.23 907	$\times 10^{-3}$ m <sup>3</sup>
butt (UK)	477.339 4	$\times 10^{-3}$ m <sup>3</sup>
cable (US)	219.456*	m
calorie	4.186 8*	J

*continued on next page ...*

<i>unit name</i>	<i>value in SI units</i>	
candle power (spherical)	$4\pi$	lm
carat (metric)	200.0*	$\times 10^{-6}$ kg
cental	45.359 237	kg
centare	1.0*	$m^2$
centimetre of Hg (0 °C)	1.333 222	$\times 10^3$ Pa
centimetre of H <sub>2</sub> O (4 °C)	98.060 616	Pa
chain (engineers')	30.48*	m
chain (US)	20.116 8*	m
Chu	1.899 101	$\times 10^3$ J
clusec	1.333 224	$\times 10^{-6}$ W
cord	3.624 556	$m^3$
cubit	457.2*	$\times 10^{-3}$ m
cumec	1.0*	$m^3 s^{-1}$
cup (US)	236.588 2	$\times 10^{-6}$ m <sup>3</sup>
curie	37.0*	$\times 10^9$ Bq
darcy	986.923 3	$\times 10^{-15}$ m <sup>2</sup>
day	86.4*	$\times 10^3$ s
day (sidereal)	86.164 09	$\times 10^3$ s
debye	3.335 641	$\times 10^{-30}$ C m
degree (angle)	17.453 29	$\times 10^{-3}$ rad
denier	111.111 1	$\times 10^{-9}$ kg m <sup>-1</sup>
digit	19.05*	$\times 10^{-3}$ m
dioptre	1.0*	m <sup>-1</sup>
Dobson unit	10.0*	$\times 10^{-6}$ m
dram (avoirdupois)	1.771 845	$\times 10^{-3}$ kg
dyne	10.0*	$\times 10^{-6}$ N
dyne centimetres	100.0*	$\times 10^{-9}$ J
electron volt	160.217 7	$\times 10^{-21}$ J
ell	1.143*	m
em	4.233 333	$\times 10^{-3}$ m
emu of capacitance	1.0*	$\times 10^9$ F
emu of current	10.0*	A
emu of electric potential	10.0*	$\times 10^{-9}$ V
emu of inductance	1.0*	$\times 10^{-9}$ H
emu of resistance	1.0*	$\times 10^{-9}$ Ω
Eötvös unit	1.0*	$\times 10^{-9}$ m s <sup>-2</sup> m <sup>-1</sup>
esu of capacitance	1.112 650	$\times 10^{-12}$ F
esu of current	333.564 1	$\times 10^{-12}$ A
esu of electric potential	299.792 5	V
esu of inductance	898.755 2	$\times 10^9$ H
esu of resistance	898.755 2	$\times 10^9$ Ω
erg	100.0*	$\times 10^{-9}$ J
faraday	96.485 3	$\times 10^3$ C
fathom	1.828 804	m
fermi	1.0*	$\times 10^{-15}$ m
Finsen unit	10.0*	$\times 10^{-6}$ W m <sup>-2</sup>
firkin (UK)	40.914 81	$\times 10^{-3}$ m <sup>3</sup>

continued on next page ...

<i>unit name</i>	<i>value in SI units</i>	
firkin (US)	34.068 71	$\times 10^{-3} \text{ m}^3$
fluid ounce (UK)	28.413 08	$\times 10^{-6} \text{ m}^3$
fluid ounce (US)	29.573 53	$\times 10^{-6} \text{ m}^3$
foot	304.8*	$\times 10^{-3} \text{ m}$
foot (US survey)	304.800 6	$\times 10^{-3} \text{ m}$
foot of water ( $4^\circ\text{C}$ )	2.988 887	$\times 10^3 \text{ Pa}$
footcandle	10.763 91	lx
footlambert	3.426 259	cd m <sup>-2</sup>
footpoundal	42.140 11	$\times 10^{-3} \text{ J}$
footpounds (force)	1.355 818	J
fresnel	1.0*	$\times 10^{12} \text{ Hz}$
funal	1.0*	$\times 10^3 \text{ N}$
furlong	201.168*	m
g (standard acceleration)	9.806 65*	$\text{m s}^{-2}$
gal	10.0*	$\times 10^{-3} \text{ m s}^{-2}$
gallon (UK)	4.546 09*	$\times 10^{-3} \text{ m}^3$
gallon (US liquid)	3.785 412	$\times 10^{-3} \text{ m}^3$
gamma	1.0*	$\times 10^{-9} \text{ T}$
gauss	100.0*	$\times 10^{-6} \text{ T}$
gilbert	795.774 7	$\times 10^{-3} \text{ A turn}$
gill (UK)	142.065 4	$\times 10^{-6} \text{ m}^3$
gill (US)	118.294 1	$\times 10^{-6} \text{ m}^3$
gon	$\pi/200^*$	rad
grade	15.707 96	$\times 10^{-3} \text{ rad}$
grain	64.798 91*	$\times 10^{-6} \text{ kg}$
gram	1.0*	$\times 10^{-3} \text{ kg}$
gram-rad	100.0*	$\text{J kg}^{-1}$
gray	1.0*	$\text{J kg}^{-1}$
hand	101.6*	$\times 10^{-3} \text{ m}$
hartree	4.359 748	$\times 10^{-18} \text{ J}$
hectare	10.0*	$\times 10^3 \text{ m}^2$
hefner	902	$\times 10^{-3} \text{ cd}$
hogshead	238.669 7	$\times 10^{-3} \text{ m}^3$
horsepower (boiler)	9.809 50	$\times 10^3 \text{ W}$
horsepower (electric)	746*	W
horsepower (metric)	735.498 8	W
horsepower (UK)	745.699 9	W
hour	3.6*	$\times 10^3 \text{ s}$
hour (sidereal)	3.590 170	$\times 10^3 \text{ s}$
Hubble time	440	$\times 10^{15} \text{ s}$
Hubble distance	130	$\times 10^{24} \text{ m}$
hundredweight (UK long)	50.802 35	kg
hundredweight (US short)	45.359 24	kg
inch	25.4*	$\times 10^{-3} \text{ m}$
inch of mercury ( $0^\circ\text{C}$ )	3.386 389	$\times 10^3 \text{ Pa}$
inch of water ( $4^\circ\text{C}$ )	249.074 0	Pa
jansky	10.0*	$\times 10^{-27} \text{ W m}^{-2} \text{ Hz}^{-1}$

*continued on next page ...*

<i>unit name</i>	<i>value in SI units</i>	
jar	10/9*	$\times 10^{-9}$ F
kayser	100.0*	$\text{m}^{-1}$
kilocalorie	4.186 8*	$\times 10^3$ J
kilogram-force	9.806 65*	N
kilowatt hour	3.6*	$\times 10^6$ J
knot (international)	514.444 4	$\times 10^{-3}$ m s $^{-1}$
lambert	10/ $\pi$ *	$\times 10^3$ cd m $^{-2}$
langley	41.84*	$\times 10^3$ J m $^{-2}$
langmuir	133.322 4	$\times 10^{-6}$ Pa s
league (nautical, int.)	5.556*	$\times 10^3$ m
league (nautical, UK)	5.559 552	$\times 10^3$ m
league (statute)	4.828 032	$\times 10^3$ m
light year	9.460 73*	$\times 10^{15}$ m
ligne	2.256*	$\times 10^{-3}$ m
line	2.116 667	$\times 10^{-3}$ m
line (magnetic flux)	10.0*	$\times 10^{-9}$ Wb
link (engineers')	304.8*	$\times 10^{-3}$ m
link (US)	201.168 0	$\times 10^{-3}$ m
litre	1.0*	$\times 10^{-3}$ m $^3$
lumen (at 555 nm)	1.470 588	$\times 10^{-3}$ W
maxwell	10.0*	$\times 10^{-9}$ Wb
mho	1.0*	S
micron	1.0*	$\times 10^{-6}$ m
mil (length)	25.4*	$\times 10^{-6}$ m
mil (volume)	1.0*	$\times 10^{-6}$ m $^3$
mile (international)	1.609 344*	$\times 10^3$ m
mile (nautical, int.)	1.852*	$\times 10^3$ m
mile (nautical, UK)	1.853 184*	$\times 10^3$ m
mile per hour	447.04*	$\times 10^{-3}$ m s $^{-1}$
milliard	1.0*	$\times 10^9$ m $^3$
millibar	100.0*	Pa
millimetre of Hg (0 °C)	133.322 4	Pa
minim (UK)	59.193 90	$\times 10^{-9}$ m $^3$
minim (US)	61.611 51	$\times 10^{-9}$ m $^3$
minute (angle)	290.888 2	$\times 10^{-6}$ rad
minute	60.0*	s
minute (sidereal)	59.836 17	s
month (lunar)	2.551 444	$\times 10^6$ s
nit	1.0*	cd m $^{-2}$
noggin (UK)	142.065 4	$\times 10^{-6}$ m $^3$
oersted	1000/(4 $\pi$ )*	A m $^{-1}$
ounce (avoirdupois)	28.349 52	$\times 10^{-3}$ kg
ounce (UK fluid)	28.413 07	$\times 10^{-6}$ m $^3$
ounce (US fluid)	29.573 53	$\times 10^{-6}$ m $^3$
pace	762.0*	$\times 10^{-3}$ m
parsec	30.856 78	$\times 10^{15}$ m

continued on next page ...

<i>unit name</i>	<i>value in SI units</i>	
peck (UK)	9.092 18*	$\times 10^{-3} \text{ m}^3$
peck (US)	8.809 768	$\times 10^{-3} \text{ m}^3$
pennyweight (troy)	1.555 174	$\times 10^{-3} \text{ kg}$
perch	5.029 2*	m
phot	10.0*	$\times 10^3 \text{ lx}$
pica (printers')	4.217 518	$\times 10^{-3} \text{ m}$
pint (UK)	568.261 2	$\times 10^{-6} \text{ m}^3$
pint (US dry)	550.610 5	$\times 10^{-6} \text{ m}^3$
pint (US liquid)	473.176 5	$\times 10^{-6} \text{ m}^3$
point (printers')	351.459 8*	$\times 10^{-6} \text{ m}$
poise	100.0*	$\times 10^{-3} \text{ Pa s}$
pole	5.029 2*	m
poncelet	980.665*	W
pottle	2.273 045	$\times 10^{-3} \text{ m}^3$
pound (avoirdupois)	453.592 4	$\times 10^{-3} \text{ kg}$
poundal	138.255 0	$\times 10^{-3} \text{ N}$
pound-force	4.448 222	N
promaxwell	1.0*	Wb
psi	6.894 757	$\times 10^3 \text{ Pa}$
puncheon (UK)	317.974 6	$\times 10^{-3} \text{ m}^3$
quad	1.055 056	$\times 10^{18} \text{ J}$
quart (UK)	1.136 522	$\times 10^{-3} \text{ m}^3$
quart (US dry)	1.101 221	$\times 10^{-3} \text{ m}^3$
quart (US liquid)	946.352 9	$\times 10^{-6} \text{ m}^3$
quintal (metric)	100.0*	kg
rad	10.0*	$\times 10^{-3} \text{ Gy}$
rayleigh	$10/(4\pi)$	$\times 10^9 \text{ s}^{-1} \text{ m}^{-2} \text{ sr}^{-1}$
rem	10.0*	$\times 10^{-3} \text{ Sv}$
REN	1/4 000*	S
reyn	689.5	$\times 10^3 \text{ Pa s}$
rhe	10.0*	$\text{Pa}^{-1} \text{ s}^{-1}$
rod	5.029 2*	m
roentgen	258.0	$\times 10^{-6} \text{ C kg}^{-1}$
rood (UK)	1.011 714	$\times 10^3 \text{ m}^2$
rope (UK)	6.096*	m
rutherford	1.0*	$\times 10^6 \text{ Bq}$
rydberg	2.179 874	$\times 10^{-18} \text{ J}$
scruple	1.295 978	$\times 10^{-3} \text{ kg}$
seam	290.949 8	$\times 10^{-3} \text{ m}^3$
second (angle)	4.848 137	$\times 10^{-6} \text{ rad}$
second (sidereal)	997.269 6	$\times 10^{-3} \text{ s}$
shake	100.0*	$\times 10^{-10} \text{ s}$
shed	100.0*	$\times 10^{-54} \text{ m}^2$
slug	14.593 90	kg
square degree	$(\pi/180)^2*$	sr
statampere	333.564 1	$\times 10^{-12} \text{ A}$
statcoulomb	333.564 1	$\times 10^{-12} \text{ C}$

*continued on next page ...*

<i>unit name</i>	<i>value in SI units</i>	
statfarad	1.112 650	$\times 10^{-12}$ F
sthény	898.755 2	$\times 10^9$ H
statmho	1.112 650	$\times 10^{-12}$ S
stathom	898.755 2	$\times 10^9$ $\Omega$
statvolt	299.792 5	V
stere	1.0*	$m^3$
sthéne	1.0*	$\times 10^3$ N
stilb	10.0*	$\times 10^3$ cd m $^{-2}$
stokes	100.0*	$\times 10^{-6}$ m $^2$ s $^{-1}$
stone	6.350 293	kg
tablespoon (UK)	14.206 53	$\times 10^{-6}$ m $^3$
tablespoon (US)	14.786 76	$\times 10^{-6}$ m $^3$
teaspoon (UK)	4.735 513	$\times 10^{-6}$ m $^3$
teaspoon (US)	4.928 922	$\times 10^{-6}$ m $^3$
tex	1.0*	$\times 10^{-6}$ kg m $^{-1}$
therm (EEC)	105.506*	$\times 10^6$ J
therm (US)	105.480 4*	$\times 10^6$ J
thermie	4.185 407	$\times 10^6$ J
thou	25.4*	$\times 10^{-6}$ m
tog	100.0*	$\times 10^{-3}$ W $^{-1}$ m $^2$ K
ton (of TNT)	4.184*	$\times 10^9$ J
ton (UK long)	1.016 047	$\times 10^3$ kg
ton (US short)	907.184 7	kg
tonne (metric ton)	1.0*	$\times 10^3$ kg
torr	133.322 4	Pa
townsend	1.0*	$\times 10^{-21}$ V m $^2$
troy dram	3.887 935	$\times 10^{-3}$ kg
troy ounce	31.103 48	$\times 10^{-3}$ kg
troy pound	373.241 7	$\times 10^{-3}$ kg
tun	954.678 9	$\times 10^{-3}$ m $^3$
XU	100.209	$\times 10^{-15}$ m
yard	914.4*	$\times 10^{-3}$ m
year (365 days)	31.536*	$\times 10^6$ s
year (sidereal)	31.558 15	$\times 10^6$ s
year (tropical)	31.556 93	$\times 10^6$ s

## Temperature conversions

From degrees Celsius <sup>a</sup>	$T_K = T_C + 273.15$	(1.1)	$T_K$ temperature in kelvin
From degrees Fahrenheit	$T_K = \frac{T_F - 32}{1.8} + 273.15$	(1.2)	$T_C$ temperature in $^{\circ}\text{Celsius}$
From degrees Rankine	$T_K = \frac{T_R}{1.8}$	(1.3)	$T_F$ temperature in $^{\circ}\text{Fahrenheit}$
			$T_R$ temperature in $^{\circ}\text{Rankine}$

<sup>a</sup>The term “centigrade” is not used in SI, to avoid confusion with “10 $^{-2}$  of a degree”.

## 1.5 Dimensions

The following table lists the dimensions of common physical quantities, together with their conventional symbols and the SI units in which they are usually quoted. The dimensional basis used is length (L), mass (M), time (T), electric current (I), temperature ( $\Theta$ ), amount of substance (N), and luminous intensity (J).

<i>physical quantity</i>	<i>symbol</i>	<i>dimensions</i>	<i>SI units</i>
acceleration	$a$	$L T^{-2}$	$m s^{-2}$
action	$S$	$L^2 M T^{-1}$	$J s$
angular momentum	$L, J$	$L^2 M T^{-1}$	$m^2 kg s^{-1}$
angular speed	$\omega$	$T^{-1}$	$rad s^{-1}$
area	$A, S$	$L^2$	$m^2$
Avogadro constant	$N_A$	$N^{-1}$	$mol^{-1}$
bending moment	$G_b$	$L^2 M T^{-2}$	$N m$
Bohr magneton	$\mu_B$	$L^2 I$	$J T^{-1}$
Boltzmann constant	$k, k_B$	$L^2 M T^{-2} \Theta^{-1}$	$J K^{-1}$
bulk modulus	$K$	$L^{-1} M T^{-2}$	$Pa$
capacitance	$C$	$L^{-2} M^{-1} T^4 I^2$	$F$
charge (electric)	$q$	$T I$	$C$
charge density	$\rho$	$L^{-3} T I$	$C m^{-3}$
conductance	$G$	$L^{-2} M^{-1} T^3 I^2$	$S$
conductivity	$\sigma$	$L^{-3} M^{-1} T^3 I^2$	$S m^{-1}$
couple	$G, T$	$L^2 M T^{-2}$	$N m$
current	$I, i$	$I$	$A$
current density	$J, j$	$L^{-2} I$	$A m^{-2}$
density	$\rho$	$L^{-3} M$	$kg m^{-3}$
electric displacement	$D$	$L^{-2} T I$	$C m^{-2}$
electric field strength	$E$	$L M T^{-3} I^{-1}$	$V m^{-1}$
electric polarisability	$\alpha$	$M^{-1} T^4 I^2$	$C m^2 V^{-1}$
electric polarisation	$P$	$L^{-2} T I$	$C m^{-2}$
electric potential difference	$V$	$L^2 M T^{-3} I^{-1}$	$V$
energy	$E, U$	$L^2 M T^{-2}$	$J$
energy density	$u$	$L^{-1} M T^{-2}$	$J m^{-3}$
entropy	$S$	$L^2 M T^{-2} \Theta^{-1}$	$J K^{-1}$
Faraday constant	$F$	$T I N^{-1}$	$C mol^{-1}$
force	$F$	$L M T^{-2}$	$N$
frequency	$v, f$	$T^{-1}$	$Hz$
gravitational constant	$G$	$L^3 M^{-1} T^{-2}$	$m^3 kg^{-1} s^{-2}$
Hall coefficient	$R_H$	$L^3 T^{-1} I^{-1}$	$m^3 C^{-1}$
Hamiltonian	$H$	$L^2 M T^{-2}$	$J$
heat capacity	$C$	$L^2 M T^{-2} \Theta^{-1}$	$J K^{-1}$
Hubble constant <sup>1</sup>	$H$	$T^{-1}$	$s^{-1}$
illuminance	$E_v$	$L^{-2} J$	$lx$
impedance	$Z$	$L^2 M T^{-3} I^{-2}$	$\Omega$

*continued on next page ...*

<sup>1</sup>The Hubble constant is almost universally quoted in units of  $km s^{-1} Mpc^{-1}$ . There are about  $3.1 \times 10^{19}$  kilometres in a megaparsec.

<i>physical quantity</i>	<i>symbol</i>	<i>dimensions</i>	<i>SI units</i>
impulse	$I$	$L M T^{-1}$	N s
inductance	$L$	$L^2 M T^{-2} I^{-2}$	H
irradiance	$E_e$	$M T^{-3}$	$W m^{-2}$
Lagrangian	$L$	$L^2 M T^{-2}$	J
length	$L, l$	$L$	m
luminous intensity	$I_v$	J	cd
magnetic dipole moment	$\mathbf{m}, \mu$	$L^2 I$	$A m^2$
magnetic field strength	$\mathbf{H}$	$L^{-1} I$	$A m^{-1}$
magnetic flux	$\Phi$	$L^2 M T^{-2} I^{-1}$	Wb
magnetic flux density	$\mathbf{B}$	$M T^{-2} I^{-1}$	T
magnetic vector potential	$A$	$L M T^{-2} I^{-1}$	$Wb m^{-1}$
magnetisation	$\mathbf{M}$	$L^{-1} I$	$A m^{-1}$
mass	$m, M$	M	kg
mobility	$\mu$	$M^{-1} T^2 I$	$m^2 V^{-1} s^{-1}$
molar gas constant	$R$	$L^2 M T^{-2} \Theta^{-1} N^{-1}$	$J mol^{-1} K^{-1}$
moment of inertia	$I$	$L^2 M$	$kg m^2$
momentum	$\mathbf{p}$	$L M T^{-1}$	$kg m s^{-1}$
number density	$n$	$L^{-3}$	$m^{-3}$
permeability	$\mu$	$L M T^{-2} I^{-2}$	$H m^{-1}$
permittivity	$\epsilon$	$L^{-3} M^{-1} T^4 I^2$	$F m^{-1}$
Planck constant	$h$	$L^2 M T^{-1}$	Js
power	$P$	$L^2 M T^{-3}$	W
Poynting vector	$\mathbf{S}$	$M T^{-3}$	$W m^{-2}$
pressure	$p, P$	$L^{-1} M T^{-2}$	Pa
radiant intensity	$I_e$	$L^2 M T^{-3}$	$W sr^{-1}$
resistance	$R$	$L^2 M T^{-3} I^{-2}$	$\Omega$
Rydberg constant	$R_\infty$	$L^{-1}$	$m^{-1}$
shear modulus	$\mu, G$	$L^{-1} M T^{-2}$	Pa
specific heat capacity	$c$	$L^2 T^{-2} \Theta^{-1}$	$J kg^{-1} K^{-1}$
speed	$u, v, c$	$L T^{-1}$	$m s^{-1}$
Stefan–Boltzmann constant	$\sigma$	$M T^{-3} \Theta^{-4}$	$W m^{-2} K^{-4}$
stress	$\sigma, \tau$	$L^{-1} M T^{-2}$	Pa
surface tension	$\sigma, \gamma$	$M T^{-2}$	$N m^{-1}$
temperature	$T$	$\Theta$	K
thermal conductivity	$\lambda$	$L M T^{-3} \Theta^{-1}$	$W m^{-1} K^{-1}$
time	$t$	T	s
velocity	$\mathbf{v}, \mathbf{u}$	$L T^{-1}$	$m s^{-1}$
viscosity (dynamic)	$\eta, \mu$	$L^{-1} M T^{-1}$	Pa s
viscosity (kinematic)	$\nu$	$L^2 T^{-1}$	$m^2 s^{-1}$
volume	$V, v$	$L^3$	$m^3$
wavevector	$\mathbf{k}$	$L^{-1}$	$m^{-1}$
weight	$W$	$L M T^{-2}$	N
work	$W$	$L^2 M T^{-2}$	J
Young modulus	$E$	$L^{-1} M T^{-2}$	Pa

## 1.6 Miscellaneous

### Greek alphabet

$A$	$\alpha$	alpha	$N$	$\nu$	nu
$B$	$\beta$	beta	$\Xi$	$\xi$	xi
$\Gamma$	$\gamma$	gamma	$O$	$o$	omicron
$\Delta$	$\delta$	delta	$\Pi$	$\pi$	pi
$E$	$\epsilon$	epsilon	$P$	$\rho$	rho
$Z$	$\zeta$	zeta	$\Sigma$	$\sigma$	sigma
$H$	$\eta$	eta	$T$	$\tau$	tau
$\Theta$	$\theta$	theta	$\Upsilon$	$\upsilon$	upsilon
$I$	$\iota$	iota	$\Phi$	$\phi$	phi
$K$	$\kappa$	kappa	$X$	$\chi$	chi
$\Lambda$	$\lambda$	lambda	$\Psi$	$\psi$	psi
$M$	$\mu$	mu	$\Omega$	$\omega$	omega

### $\pi$ ( $\pi$ ) to 1 000 decimal places

3.1415926535 8979323846 2643383279 5028841971 6939937510 5820974944 5923078164 0628620899 8628034825 3421170679  
 8214808651 3282306647 0938446095 5058223172 5359408128 4811174502 8410270193 8521105559 6446229489 5493038196  
 4428810975 6659334461 2847564823 3786783165 2712019091 4564856692 3460348610 4543266482 1339360726 0249141273  
 7245870066 0631558817 4881520920 9628292540 9171536436 7892590360 011305305 4882046652 1384146951 9415116094  
 3305727036 5759591953 0921861173 8193261179 3105118548 0744623799 6274956735 1885752724 8912279381 8301194912  
 9833673362 4406566430 8602139494 6395224737 1907021798 6094370277 0539217176 2931767523 8467481846 7669405132  
 0005681271 4526356082 7785771342 7577896091 7363717872 1468440901 2249534301 4654958537 1050792279 6892589235  
 4201995611 2129021960 8640344181 5981362977 4771309960 5187072113 4999999837 2978049951 0597317328 1609631859  
 5024459455 3469083026 4252230825 3344685035 2619311881 7101000313 7838752886 5875332083 8142061717 7669147303  
 5982534904 2875546873 1159562863 8823537875 9375195778 1857780532 1712268066 1300192787 6611195909 2164201989

### e to 1 000 decimal places

2.7182818284 5904523536 0287471352 6624977572 4709369995 9574966967 6277240766 3035354759 4571382178 5251664274  
 2746639193 2003059921 8174135966 2904357290 0334295260 5956307381 3232862794 3490763233 8298807531 9525101901  
 1573834187 9307021540 8914993488 4167509244 7614606680 8226480016 8477411853 7423454424 3710753907 7744992069  
 5517027618 3860626133 1384583000 7520449338 2656029760 6737113200 7093287091 2744374704 7230696977 2093101416  
 9283681902 5515108657 4637721112 5238978442 5056953696 7707854499 6996794686 4454905987 9316368892 3009879312  
 7736178215 4249992295 7635148220 8269895193 6680331825 2886939849 6465105820 9392398294 8879332036 2509443117  
 3012381970 6841614039 7019837679 3206832823 7646480429 5311802328 7825098194 5581530175 6717361332 0698112509  
 9618188159 3041690351 5988885193 4580727386 6738589422 8792284998 9208680582 5749279610 4841984443 6346324496  
 8487560233 6248270419 7862320900 2160990235 3043699418 4914631409 3431738143 6405462531 5209618369 0888707016  
 7683964243 7814059271 4563549061 3031072085 1038375051 0115747704 1718986106 8739696552 1267154688 9570350354

# Chapter 2 Mathematics

## 2.1 Notation

Mathematics is, of course, a vast subject, and so here we concentrate on those mathematical methods and relationships that are most often applied in the physical sciences and engineering.

Although there is a high degree of consistency in accepted mathematical notation, there is some variation. For example the spherical harmonics,  $Y_l^m$ , can be written  $Y_{lm}$ , and there is some freedom with their signs. In general, the conventions chosen here follow common practice as closely as possible, whilst maintaining consistency with the rest of the handbook.

In particular:

scalars	$a$	general vectors	$\mathbf{a}$
unit vectors	$\hat{\mathbf{a}}$	scalar product	$\mathbf{a} \cdot \mathbf{b}$
vector cross-product	$\mathbf{a} \times \mathbf{b}$	gradient operator	$\nabla$
Laplacian operator	$\nabla^2$	derivative	$\frac{df}{dx}$ etc.
partial derivatives	$\frac{\partial f}{\partial x}$ etc.	derivative of $r$ with respect to $t$	$\dot{r}$
$n$ th derivative	$\frac{d^n f}{dx^n}$	closed loop integral	$\oint_L dl$
closed surface integral	$\oint_S ds$	matrix	$\mathbf{A}$ or $a_{ij}$
mean value (of $x$ )	$\langle x \rangle$	binomial coefficient	$\binom{n}{r}$
factorial	!	unit imaginary ( $i^2 = -1$ )	$i$
exponential constant	$e$	modulus (of $x$ )	$ x $
natural logarithm	$\ln$	log to base 10	$\log_{10}$

## 2.2 Vectors and matrices

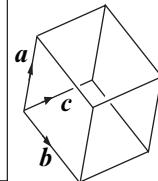
### Vector algebra

Scalar product <sup>a</sup>	$\mathbf{a} \cdot \mathbf{b} =  \mathbf{a}   \mathbf{b}  \cos \theta$	(2.1)
Vector product <sup>b</sup>	$\mathbf{a} \times \mathbf{b} =  \mathbf{a}   \mathbf{b}  \sin \theta \hat{\mathbf{n}} = \begin{vmatrix} \hat{\mathbf{x}} & \hat{\mathbf{y}} & \hat{\mathbf{z}} \\ a_x & a_y & a_z \\ b_x & b_y & b_z \end{vmatrix}$	(2.2)
	$\mathbf{a} \cdot \mathbf{b} = \mathbf{b} \cdot \mathbf{a}$	(2.3)
Product rules	$\mathbf{a} \times \mathbf{b} = -\mathbf{b} \times \mathbf{a}$	(2.4)
	$\mathbf{a} \cdot (\mathbf{b} + \mathbf{c}) = (\mathbf{a} \cdot \mathbf{b}) + (\mathbf{a} \cdot \mathbf{c})$	(2.5)
	$\mathbf{a} \times (\mathbf{b} + \mathbf{c}) = (\mathbf{a} \times \mathbf{b}) + (\mathbf{a} \times \mathbf{c})$	(2.6)
Lagrange's identity	$(\mathbf{a} \times \mathbf{b}) \cdot (\mathbf{c} \times \mathbf{d}) = (\mathbf{a} \cdot \mathbf{c})(\mathbf{b} \cdot \mathbf{d}) - (\mathbf{a} \cdot \mathbf{d})(\mathbf{b} \cdot \mathbf{c})$	(2.7)
Scalar triple product	$(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c} = \begin{vmatrix} a_x & a_y & a_z \\ b_x & b_y & b_z \\ c_x & c_y & c_z \end{vmatrix}$	(2.8)
	$= (\mathbf{b} \times \mathbf{c}) \cdot \mathbf{a} = (\mathbf{c} \times \mathbf{a}) \cdot \mathbf{b}$	(2.9)
	$= \text{volume of parallelepiped}$	(2.10)
Vector triple product	$(\mathbf{a} \times \mathbf{b}) \times \mathbf{c} = (\mathbf{a} \cdot \mathbf{c})\mathbf{b} - (\mathbf{b} \cdot \mathbf{c})\mathbf{a}$	(2.11)
	$\mathbf{a} \times (\mathbf{b} \times \mathbf{c}) = (\mathbf{a} \cdot \mathbf{c})\mathbf{b} - (\mathbf{a} \cdot \mathbf{b})\mathbf{c}$	(2.12)
Reciprocal vectors	$\mathbf{a}' = (\mathbf{b} \times \mathbf{c}) / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$	(2.13)
	$\mathbf{b}' = (\mathbf{c} \times \mathbf{a}) / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$	(2.14)
	$\mathbf{c}' = (\mathbf{a} \times \mathbf{b}) / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$	(2.15)
	$(\mathbf{a}' \cdot \mathbf{a}) = (\mathbf{b}' \cdot \mathbf{b}) = (\mathbf{c}' \cdot \mathbf{c}) = 1$	(2.16)
Vector $\mathbf{a}$ with respect to a nonorthogonal basis $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ <sup>c</sup>	$\mathbf{a} = (\mathbf{e}'_1 \cdot \mathbf{a})\mathbf{e}_1 + (\mathbf{e}'_2 \cdot \mathbf{a})\mathbf{e}_2 + (\mathbf{e}'_3 \cdot \mathbf{a})\mathbf{e}_3$	(2.17)

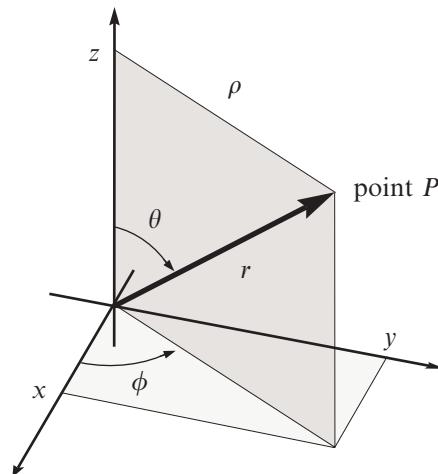
<sup>a</sup>Also known as the “dot product” or the “inner product.”

<sup>b</sup>Also known as the “cross-product.”  $\hat{\mathbf{n}}$  is a unit vector making a right-handed set with  $\mathbf{a}$  and  $\mathbf{b}$ .

<sup>c</sup>The prime ('') denotes a reciprocal vector.



## Common three-dimensional coordinate systems



$$x = \rho \cos \phi = r \sin \theta \cos \phi \quad (2.18)$$

$$\rho = (x^2 + y^2)^{1/2} \quad (2.21)$$

$$y = \rho \sin \phi = r \sin \theta \sin \phi \quad (2.19)$$

$$r = (x^2 + y^2 + z^2)^{1/2} \quad (2.22)$$

$$z = r \cos \theta \quad (2.20)$$

$$\theta = \arccos(z/r) \quad (2.23)$$

$$\phi = \arctan(y/x) \quad (2.24)$$

coordinate system: rectangular spherical polar cylindrical polar

coordinates of  $P$ :  $(x, y, z)$   $(r, \theta, \phi)$   $(\rho, \phi, z)$

volume element:  $dx dy dz$   $r^2 \sin \theta dr d\theta d\phi$   $\rho d\rho dz d\phi$

metric elements<sup>a</sup>  $(h_1, h_2, h_3)$ :  $(1, 1, 1)$   $(1, r, r \sin \theta)$   $(1, \rho, 1)$

<sup>a</sup>In an orthogonal coordinate system (parameterised by coordinates  $q_1, q_2, q_3$ ), the differential line element  $dl$  is obtained from  $(dl)^2 = (h_1 dq_1)^2 + (h_2 dq_2)^2 + (h_3 dq_3)^2$ .

## Gradient

Rectangular coordinates	$\nabla f = \frac{\partial f}{\partial x} \hat{x} + \frac{\partial f}{\partial y} \hat{y} + \frac{\partial f}{\partial z} \hat{z}$	(2.25)	$f$ scalar field $\hat{x}$ unit vector
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Cylindrical coordinates	$\nabla f = \frac{\partial f}{\partial \rho} \hat{\rho} + \frac{1}{r} \frac{\partial f}{\partial \phi} \hat{\phi} + \frac{\partial f}{\partial z} \hat{z}$	(2.26)	$\rho$ distance from the $z$ axis
----------------------------	--	--------	---

Spherical polar coordinates	$\nabla f = \frac{\partial f}{\partial r} \hat{r} + \frac{1}{r} \frac{\partial f}{\partial \theta} \hat{\theta} + \frac{1}{r \sin \theta} \frac{\partial f}{\partial \phi} \hat{\phi}$	(2.27)	
--------------------------------	--	--------	--

General orthogonal coordinates	$\nabla f = \frac{\hat{q}_1}{h_1} \frac{\partial f}{\partial q_1} + \frac{\hat{q}_2}{h_2} \frac{\partial f}{\partial q_2} + \frac{\hat{q}_3}{h_3} \frac{\partial f}{\partial q_3}$	(2.28)	$q_i$ basis $h_i$ metric elements
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## Divergence

Rectangular coordinates	$\nabla \cdot \mathbf{A} = \frac{\partial A_x}{\partial x} + \frac{\partial A_y}{\partial y} + \frac{\partial A_z}{\partial z}$	(2.29)	$\mathbf{A}$ vector field $A_i$ $i$ th component of $\mathbf{A}$
Cylindrical coordinates	$\nabla \cdot \mathbf{A} = \frac{1}{\rho} \frac{\partial(\rho A_\rho)}{\partial \rho} + \frac{1}{\rho} \frac{\partial A_\phi}{\partial \phi} + \frac{\partial A_z}{\partial z}$	(2.30)	$\rho$ distance from the $z$ axis
Spherical polar coordinates	$\nabla \cdot \mathbf{A} = \frac{1}{r^2} \frac{\partial(r^2 A_r)}{\partial r} + \frac{1}{r \sin \theta} \frac{\partial(A_\theta \sin \theta)}{\partial \theta} + \frac{1}{r \sin \theta} \frac{\partial A_\phi}{\partial \phi}$	(2.31)	
General orthogonal coordinates	$\nabla \cdot \mathbf{A} = \frac{1}{h_1 h_2 h_3} \left[ \frac{\partial}{\partial q_1} (A_1 h_2 h_3) + \frac{\partial}{\partial q_2} (A_2 h_3 h_1) + \frac{\partial}{\partial q_3} (A_3 h_1 h_2) \right]$	(2.32)	$q_i$ basis $h_i$ metric elements

## Curl

Rectangular coordinates	$\nabla \times \mathbf{A} = \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ \partial/\partial x & \partial/\partial y & \partial/\partial z \\ A_x & A_y & A_z \end{vmatrix}$	(2.33)	$\hat{x}$ unit vector $\mathbf{A}$ vector field $A_i$ $i$ th component of $\mathbf{A}$
Cylindrical coordinates	$\nabla \times \mathbf{A} = \begin{vmatrix} \hat{r}/\rho & \hat{\phi} & \hat{z}/\rho \\ \partial/\partial \rho & \partial/\partial \phi & \partial/\partial z \\ A_\rho & \rho A_\phi & A_z \end{vmatrix}$	(2.34)	$\rho$ distance from the $z$ axis
Spherical polar coordinates	$\nabla \times \mathbf{A} = \begin{vmatrix} \hat{r}/(r^2 \sin \theta) & \hat{\theta}/(r \sin \theta) & \hat{\phi}/r \\ \partial/\partial r & \partial/\partial \theta & \partial/\partial \phi \\ A_r & r A_\theta & r A_\phi \sin \theta \end{vmatrix}$	(2.35)	
General orthogonal coordinates	$\nabla \times \mathbf{A} = \frac{1}{h_1 h_2 h_3} \begin{vmatrix} \hat{q}_1 h_1 & \hat{q}_2 h_2 & \hat{q}_3 h_3 \\ \partial/\partial q_1 & \partial/\partial q_2 & \partial/\partial q_3 \\ h_1 A_1 & h_2 A_2 & h_3 A_3 \end{vmatrix}$	(2.36)	$q_i$ basis $h_i$ metric elements

## Radial forms<sup>a</sup>

$\nabla r = \frac{\mathbf{r}}{r}$	$\nabla(1/r) = \frac{-\mathbf{r}}{r^3}$
$\nabla \cdot \mathbf{r} = 3$	$\nabla \cdot (\mathbf{r}/r^2) = \frac{1}{r^2}$
$\nabla r^2 = 2\mathbf{r}$	$\nabla(1/r^2) = \frac{-2\mathbf{r}}{r^4}$
$\nabla \cdot (\mathbf{r}\mathbf{r}) = 4\mathbf{r}$	$\nabla \cdot (\mathbf{r}/r^3) = 4\pi\delta(\mathbf{r})$

<sup>a</sup>Note that the curl of any purely radial function is zero.  $\delta(\mathbf{r})$  is the Dirac delta function.

## Laplacian (scalar)

$$\text{Rectangular coordinates } \nabla^2 f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} + \frac{\partial^2 f}{\partial z^2} \quad (2.45)$$

$$\text{Cylindrical coordinates } \nabla^2 f = \frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial f}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 f}{\partial \phi^2} + \frac{\partial^2 f}{\partial z^2} \quad (2.46)$$

$$\text{Spherical polar coordinates } \nabla^2 f = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial f}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial f}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 f}{\partial \phi^2} \quad (2.47)$$

$$\text{General orthogonal coordinates } \nabla^2 f = \frac{1}{h_1 h_2 h_3} \left[ \frac{\partial}{\partial q_1} \left( \frac{h_2 h_3}{h_1} \frac{\partial f}{\partial q_1} \right) + \frac{\partial}{\partial q_2} \left( \frac{h_3 h_1}{h_2} \frac{\partial f}{\partial q_2} \right) + \frac{\partial}{\partial q_3} \left( \frac{h_1 h_2}{h_3} \frac{\partial f}{\partial q_3} \right) \right] \quad (2.48)$$

*f* scalar field $\rho$  distance from the *z* axis $q_i$  basis  
 $h_i$  metric elements

## Differential operator identities

$$\nabla(fg) \equiv f \nabla g + g \nabla f \quad (2.49)$$

$$\nabla \cdot (f \mathbf{A}) \equiv f \nabla \cdot \mathbf{A} + \mathbf{A} \cdot \nabla f \quad (2.50)$$

$$\nabla \times (f \mathbf{A}) \equiv f \nabla \times \mathbf{A} + (\nabla f) \times \mathbf{A} \quad (2.51)$$

$$\nabla(A \cdot \mathbf{B}) \equiv A \times (\nabla \times \mathbf{B}) + (\mathbf{A} \cdot \nabla) \mathbf{B} + \mathbf{B} \times (\nabla \times \mathbf{A}) + (\mathbf{B} \cdot \nabla) \mathbf{A} \quad (2.52)$$

$$\nabla \cdot (A \times \mathbf{B}) \equiv \mathbf{B} \cdot (\nabla \times \mathbf{A}) - \mathbf{A} \cdot (\nabla \times \mathbf{B}) \quad (2.53)$$

$$\nabla \times (A \times \mathbf{B}) \equiv A(\nabla \cdot \mathbf{B}) - \mathbf{B}(\nabla \cdot \mathbf{A}) + (\mathbf{B} \cdot \nabla) \mathbf{A} - (\mathbf{A} \cdot \nabla) \mathbf{B} \quad (2.54)$$

$$\nabla \cdot (\nabla f) \equiv \nabla^2 f \equiv \Delta f \quad (2.55)$$

$$\nabla \times (\nabla f) \equiv \mathbf{0} \quad (2.56)$$

$$\nabla \cdot (\nabla \times \mathbf{A}) \equiv 0 \quad (2.57)$$

$$\nabla \times (\nabla \times \mathbf{A}) \equiv \nabla(\nabla \cdot \mathbf{A}) - \nabla^2 \mathbf{A} \quad (2.58)$$

 $f, g$  scalar fields  
 $\mathbf{A}, \mathbf{B}$  vector fields

## Vector integral transformations

$$\text{Gauss's (Divergence) theorem} \quad \int_V (\nabla \cdot \mathbf{A}) dV = \oint_{S_c} \mathbf{A} \cdot d\mathbf{s} \quad (2.59)$$

$\mathbf{A}$	vector field
$dV$	volume element
$S_c$	closed surface
$V$	volume enclosed
$S$	surface
$ds$	surface element
$L$	loop bounding $S$
$dI$	line element

$$\text{Stokes's theorem} \quad \int_S (\nabla \times \mathbf{A}) \cdot d\mathbf{s} = \oint_L \mathbf{A} \cdot d\mathbf{l} \quad (2.60)$$

 $f, g$  scalar fields

$$\text{Green's first theorem} \quad \oint_S (f \nabla g) \cdot d\mathbf{s} = \int_V \nabla \cdot (f \nabla g) dV \quad (2.61)$$

$$= \int_V [f \nabla^2 g + (\nabla f) \cdot (\nabla g)] dV \quad (2.62)$$

$$\text{Green's second theorem} \quad \oint_S [f(\nabla g) - g(\nabla f)] \cdot d\mathbf{s} = \int_V (f \nabla^2 g - g \nabla^2 f) dV \quad (2.63)$$

## Matrix algebra<sup>a</sup>

Matrix definition	$\mathbf{A} = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix}$	(2.64)	$\mathbf{A}$ $m$ by $n$ matrix $a_{ij}$ matrix elements
Matrix addition	$\mathbf{C} = \mathbf{A} + \mathbf{B}$ if $c_{ij} = a_{ij} + b_{ij}$	(2.65)	
Matrix multiplication	$\mathbf{C} = \mathbf{AB}$ if $c_{ij} = a_{ik}b_{kj}$	(2.66)	
	$(\mathbf{AB})\mathbf{C} = \mathbf{A}(\mathbf{BC})$	(2.67)	
	$\mathbf{A}(\mathbf{B} + \mathbf{C}) = \mathbf{AB} + \mathbf{AC}$	(2.68)	
Transpose matrix <sup>b</sup>	$\tilde{a}_{ij} = a_{ji}$	(2.69)	$\tilde{a}_{ij}$ transpose matrix (sometimes $a_{ij}^T$ , or $a'_{ij}$ )
	$(\widetilde{\mathbf{AB}} \dots \mathbf{N}) = \tilde{\mathbf{N}} \dots \tilde{\mathbf{B}} \tilde{\mathbf{A}}$	(2.70)	
Adjoint matrix (definition 1) <sup>c</sup>	$\mathbf{A}^\dagger = \tilde{\mathbf{A}}^*$	(2.71)	*
	$(\mathbf{AB} \dots \mathbf{N})^\dagger = \mathbf{N}^\dagger \dots \mathbf{B}^\dagger \mathbf{A}^\dagger$	(2.72)	† complex conjugate (of each component)
Hermitian matrix <sup>d</sup>	$\mathbf{H}^\dagger = \mathbf{H}$	(2.73)	† adjoint (or Hermitian conjugate)
examples:			<b>H</b> Hermitian (or self-adjoint) matrix
	$\mathbf{A} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$	$\mathbf{B} = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \\ b_{31} & b_{32} & b_{33} \end{pmatrix}$	
	$\tilde{\mathbf{A}} = \begin{pmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{32} \\ a_{13} & a_{23} & a_{33} \end{pmatrix}$	$\mathbf{A} + \mathbf{B} = \begin{pmatrix} a_{11} + b_{11} & a_{12} + b_{12} & a_{13} + b_{13} \\ a_{21} + b_{21} & a_{22} + b_{22} & a_{23} + b_{23} \\ a_{31} + b_{31} & a_{32} + b_{32} & a_{33} + b_{33} \end{pmatrix}$	
	$\mathbf{AB} = \begin{pmatrix} a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} & a_{11}b_{13} + a_{12}b_{23} + a_{13}b_{33} \\ a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} & a_{21}b_{13} + a_{22}b_{23} + a_{23}b_{33} \\ a_{31}b_{11} + a_{32}b_{21} + a_{33}b_{31} & a_{31}b_{12} + a_{32}b_{22} + a_{33}b_{32} & a_{31}b_{13} + a_{32}b_{23} + a_{33}b_{33} \end{pmatrix}$		

<sup>a</sup>Terms are implicitly summed over repeated suffices; hence  $a_{ik}b_{kj}$  equals  $\sum_k a_{ik}b_{kj}$ .

<sup>b</sup>See also Equation (2.85).

<sup>c</sup>Or “Hermitian conjugate matrix.” The term “adjoint” is used in quantum physics for the transpose conjugate of a matrix and in linear algebra for the transpose matrix of its cofactors. These definitions are not compatible, but both are widely used [cf. Equation (2.80)].

<sup>d</sup>Hermitian matrices must also be square (see next table).

Square matrices<sup>a</sup>

Trace	$\text{tr} \mathbf{A} = a_{ii}$	(2.74)	<b>A</b>	square matrix
	$\text{tr}(\mathbf{AB}) = \text{tr}(\mathbf{BA})$	(2.75)	$a_{ij}$	matrix elements
	$\det \mathbf{A} = \epsilon_{ijk\dots} a_{1i} a_{2j} a_{3k} \dots$	(2.76)	$a_{ii}$	implicitly $= \sum_i a_{ii}$
Determinant <sup>b</sup>	$= (-1)^{i+1} a_{i1} M_{i1}$	(2.77)	$\text{tr}$	trace
	$= a_{i1} C_{i1}$	(2.78)	$\det$	determinant (or $ \mathbf{A} $ )
	$\det(\mathbf{AB}\dots\mathbf{N}) = \det \mathbf{A} \det \mathbf{B} \dots \det \mathbf{N}$	(2.79)	$M_{ij}$	minor of element $a_{ij}$
Adjoint matrix (definition 2) <sup>c</sup>	$\text{adj} \mathbf{A} = \tilde{C}_{ij} = C_{ji}$	(2.80)	$C_{ij}$	cofactor of the element $a_{ij}$
Inverse matrix ( $\det \mathbf{A} \neq 0$ )	$a_{ij}^{-1} = \frac{C_{ji}}{\det \mathbf{A}} = \frac{\text{adj} \mathbf{A}}{\det \mathbf{A}}$	(2.81)	$\text{adj}$	adjoint (sometimes written $\tilde{\mathbf{A}}$ )
	$\mathbf{AA}^{-1} = \mathbf{1}$	(2.82)	$\sim$	transpose
	$(\mathbf{AB}\dots\mathbf{N})^{-1} = \mathbf{N}^{-1} \dots \mathbf{B}^{-1} \mathbf{A}^{-1}$	(2.83)	<b>1</b>	unit matrix
Orthogonality condition	$a_{ij} a_{ik} = \delta_{jk}$	(2.84)	$\delta_{jk}$	Kronecker delta ( $= 1$ if $i=j$ , $= 0$ otherwise)
	i.e., $\tilde{\mathbf{A}} = \mathbf{A}^{-1}$	(2.85)		
Symmetry	If $\mathbf{A} = \tilde{\mathbf{A}}$ , $\mathbf{A}$ is symmetric	(2.86)		
	If $\mathbf{A} = -\tilde{\mathbf{A}}$ , $\mathbf{A}$ is antisymmetric	(2.87)		
Unitary matrix	$\mathbf{U}^\dagger = \mathbf{U}^{-1}$	(2.88)	<b>U</b>	unitary matrix
examples:				
	$\mathbf{A} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$		$\mathbf{B} = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$	
	$\text{tr} \mathbf{A} = a_{11} + a_{22} + a_{33}$			
				$\text{tr} \mathbf{B} = b_{11} + b_{22}$
	$\det \mathbf{A} = a_{11} a_{22} a_{33} - a_{11} a_{23} a_{32} - a_{21} a_{12} a_{33} + a_{21} a_{13} a_{32} + a_{31} a_{12} a_{23} - a_{31} a_{13} a_{22}$			
	$\det \mathbf{B} = b_{11} b_{22} - b_{12} b_{21}$			
	$\mathbf{A}^{-1} = \frac{1}{\det \mathbf{A}} \begin{pmatrix} a_{22} a_{33} - a_{23} a_{32} & -a_{12} a_{33} + a_{13} a_{32} & a_{12} a_{23} - a_{13} a_{22} \\ -a_{21} a_{33} + a_{23} a_{31} & a_{11} a_{33} - a_{13} a_{31} & -a_{11} a_{23} + a_{13} a_{21} \\ a_{21} a_{32} - a_{22} a_{31} & -a_{11} a_{32} + a_{12} a_{31} & a_{11} a_{22} - a_{12} a_{21} \end{pmatrix}$			
	$\mathbf{B}^{-1} = \frac{1}{\det \mathbf{B}} \begin{pmatrix} b_{22} & -b_{12} \\ -b_{21} & b_{11} \end{pmatrix}$			

<sup>a</sup>Terms are implicitly summed over repeated suffices; hence  $a_{ik} b_{kj}$  equals  $\sum_k a_{ik} b_{kj}$ .<sup>b</sup> $\epsilon_{ijk\dots}$  is defined as the natural extension of Equation (2.443) to  $n$ -dimensions (see page 50).  $M_{ij}$  is the determinant of the matrix  $\mathbf{A}$  with the  $i$ th row and the  $j$ th column deleted. The cofactor  $C_{ij} = (-1)^{i+j} M_{ij}$ .<sup>c</sup>Or “adjugate matrix.” See the footnote to Equation (2.71) for a discussion of the term “adjoint.”

## Commutators

Commutator definition	$[\mathbf{A}, \mathbf{B}] = \mathbf{AB} - \mathbf{BA} = -[\mathbf{B}, \mathbf{A}]$	(2.89)	$[\cdot, \cdot]$ commutator $\dagger$ adjoint
Adjoint	$[\mathbf{A}, \mathbf{B}]^\dagger = [\mathbf{B}^\dagger, \mathbf{A}^\dagger]$	(2.90)	
Distribution	$[\mathbf{A} + \mathbf{B}, \mathbf{C}] = [\mathbf{A}, \mathbf{C}] + [\mathbf{B}, \mathbf{C}]$	(2.91)	
Association	$[\mathbf{AB}, \mathbf{C}] = \mathbf{A}[\mathbf{B}, \mathbf{C}] + [\mathbf{A}, \mathbf{C}]\mathbf{B}$	(2.92)	
Jacobi identity	$[\mathbf{A}, [\mathbf{B}, \mathbf{C}]] = [\mathbf{B}, [\mathbf{A}, \mathbf{C}]] - [\mathbf{C}, [\mathbf{A}, \mathbf{B}]]$	(2.93)	

## Pauli matrices

Pauli matrices	$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \mathbf{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	(2.94)	$\sigma_i$ Pauli spin matrices $\mathbf{1}$ $2 \times 2$ unit matrix $i$ $i^2 = -1$
Anticommutation	$\sigma_i \sigma_j + \sigma_j \sigma_i = 2\delta_{ij}\mathbf{1}$	(2.95)	$\delta_{ij}$ Kronecker delta
Cyclic permutation	$\sigma_i \sigma_j = i \sigma_k$	(2.96)	
	$(\sigma_i)^2 = \mathbf{1}$	(2.97)	

## Rotation matrices<sup>a</sup>

Rotation about $x_1$	$\mathbf{R}_1(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & \sin\theta \\ 0 & -\sin\theta & \cos\theta \end{pmatrix}$	(2.98)	$\mathbf{R}_i(\theta)$ matrix for rotation about the $i$ th axis $\theta$ rotation angle
Rotation about $x_2$	$\mathbf{R}_2(\theta) = \begin{pmatrix} \cos\theta & 0 & -\sin\theta \\ 0 & 1 & 0 \\ \sin\theta & 0 & \cos\theta \end{pmatrix}$	(2.99)	
Rotation about $x_3$	$\mathbf{R}_3(\theta) = \begin{pmatrix} \cos\theta & \sin\theta & 0 \\ -\sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$	(2.100)	$\alpha$ rotation about $x_3$ $\beta$ rotation about $x'_2$ $\gamma$ rotation about $x''_3$
Euler angles	$\mathbf{R}(\alpha, \beta, \gamma) = \begin{pmatrix} \cos\gamma \cos\beta \cos\alpha - \sin\gamma \sin\alpha & \cos\gamma \cos\beta \sin\alpha + \sin\gamma \cos\alpha & -\cos\gamma \sin\beta \\ -\sin\gamma \cos\beta \cos\alpha - \cos\gamma \sin\alpha & -\sin\gamma \cos\beta \sin\alpha + \cos\gamma \cos\alpha & \sin\gamma \sin\beta \\ \sin\beta \cos\alpha & \sin\beta \sin\alpha & \cos\beta \end{pmatrix}$	(2.101)	$\mathbf{R}$ rotation matrix

<sup>a</sup>Angles are in the right-handed sense for rotation of axes, or the left-handed sense for rotation of vectors. i.e., a vector  $\mathbf{v}$  is given a right-handed rotation of  $\theta$  about the  $x_3$ -axis using  $\mathbf{R}_3(-\theta)\mathbf{v} \mapsto \mathbf{v}'$ . Conventionally,  $x_1 \equiv x$ ,  $x_2 \equiv y$ , and  $x_3 \equiv z$ .

## 2.3 Series, summations, and progressions

### Progressions and summations

	$S_n = a + (a+d) + (a+2d) + \dots$		$n$ number of terms $S_n$ sum of $n$ successive terms $a$ first term $d$ common difference $l$ last term
Arithmetic progression	$+ [a + (n-1)d]$	(2.102)	
	$= \frac{n}{2} [2a + (n-1)d]$	(2.103)	
	$= \frac{n}{2}(a+l)$	(2.104)	$r$ common ratio
Geometric progression	$S_n = a + ar + ar^2 + \dots + ar^{n-1}$	(2.105)	
	$= a \frac{1-r^n}{1-r}$	(2.106)	
	$S_\infty = \frac{a}{1-r} \quad ( r  < 1)$	(2.107)	
Arithmetic mean	$\langle x \rangle_a = \frac{1}{n}(x_1 + x_2 + \dots + x_n)$	(2.108)	$\langle \cdot \rangle_a$ arithmetic mean
Geometric mean	$\langle x \rangle_g = (x_1 x_2 x_3 \dots x_n)^{1/n}$	(2.109)	$\langle \cdot \rangle_g$ geometric mean
Harmonic mean	$\langle x \rangle_h = n \left( \frac{1}{x_1} + \frac{1}{x_2} + \dots + \frac{1}{x_n} \right)^{-1}$	(2.110)	$\langle \cdot \rangle_h$ harmonic mean
Relative mean magnitudes	$\langle x \rangle_a \geq \langle x \rangle_g \geq \langle x \rangle_h \quad \text{if } x_i > 0 \text{ for all } i$	(2.111)	
Summation formulas	$\sum_{i=1}^n i = \frac{n}{2}(n+1)$	(2.112)	$i$ dummy integer
	$\sum_{i=1}^n i^2 = \frac{n}{6}(n+1)(2n+1)$	(2.113)	
	$\sum_{i=1}^n i^3 = \frac{n^2}{4}(n+1)^2$	(2.114)	
	$\sum_{i=1}^n i^4 = \frac{n}{30}(n+1)(2n+1)(3n^2+3n-1)$	(2.115)	
	$\sum_{i=1}^{\infty} \frac{(-1)^{i+1}}{i} = 1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \dots = \ln 2$	(2.116)	
	$\sum_{i=1}^{\infty} \frac{(-1)^{i+1}}{2i-1} = 1 - \frac{1}{3} + \frac{1}{5} - \frac{1}{7} + \dots = \frac{\pi}{4}$	(2.117)	
Euler's constant <sup>a</sup>	$\sum_{i=1}^{\infty} \frac{1}{i^2} = 1 + \frac{1}{4} + \frac{1}{9} + \frac{1}{16} + \dots = \frac{\pi^2}{6}$	(2.118)	$\gamma$ Euler's constant
	$\gamma = \lim_{n \rightarrow \infty} \left( 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} - \ln n \right)$	(2.119)	

<sup>a</sup> $\gamma \approx 0.577215664\dots$

## Power series

Binomial series <sup>a</sup>	$(1+x)^n = 1 + nx + \frac{n(n-1)}{2!}x^2 + \frac{n(n-1)(n-2)}{3!}x^3 + \dots$	(2.120)
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Binomial coefficient <sup>b</sup>	${}^n C_r \equiv \binom{n}{r} \equiv \frac{n!}{r!(n-r)!}$	(2.121)
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Binomial theorem	$(a+b)^n = \sum_{k=0}^n \binom{n}{k} a^{n-k} b^k$	(2.122)
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Taylor series (about $a$ ) <sup>c</sup>	$f(a+x) = f(a) + xf^{(1)}(a) + \frac{x^2}{2!}f^{(2)}(a) + \dots + \frac{x^{n-1}}{(n-1)!}f^{(n-1)}(a) + \dots$	(2.123)
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Taylor series (3-D)	$f(\mathbf{a}+\mathbf{x}) = f(\mathbf{a}) + (\mathbf{x} \cdot \nabla)f _{\mathbf{a}} + \frac{(\mathbf{x} \cdot \nabla)^2}{2!}f _{\mathbf{a}} + \frac{(\mathbf{x} \cdot \nabla)^3}{3!}f _{\mathbf{a}} + \dots$	(2.124)
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Maclaurin series	$f(x) = f(0) + xf^{(1)}(0) + \frac{x^2}{2!}f^{(2)}(0) + \dots + \frac{x^{n-1}}{(n-1)!}f^{(n-1)}(0) + \dots$	(2.125)
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<sup>a</sup>If  $n$  is a positive integer the series terminates and is valid for all  $x$ . Otherwise the (infinite) series is convergent for  $|x| < 1$ .

<sup>b</sup>The coefficient of  $x^r$  in the binomial series.

<sup>c</sup> $xf^{(n)}(a)$  is  $x$  times the  $n$ th derivative of the function  $f(x)$  with respect to  $x$  evaluated at  $a$ , taken as well behaved around  $a$ .  $(\mathbf{x} \cdot \nabla)^n f|_{\mathbf{a}}$  is its extension to three dimensions.

## Limits

$n^c x^n \rightarrow 0$ as $n \rightarrow \infty$ if $ x  < 1$ (for any fixed $c$ )	(2.126)
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$x^n / n! \rightarrow 0$ as $n \rightarrow \infty$ (for any fixed $x$ )	(2.127)
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$(1 + x/n)^n \rightarrow e^x$ as $n \rightarrow \infty$	(2.128)
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$x \ln x \rightarrow 0$ as $x \rightarrow 0$	(2.129)
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$\frac{\sin x}{x} \rightarrow 1$ as $x \rightarrow 0$	(2.130)
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If $f(a) = g(a) = 0$ or $\infty$ then $\lim_{x \rightarrow a} \frac{f(x)}{g(x)} = \frac{f'(a)}{g'(a)}$ (l'Hôpital's rule)	(2.131)
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## Series expansions

$\exp(x)$	$1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$	(2.132) (for all $x$ )
$\ln(1+x)$	$x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \dots$	(2.133) ( $-1 < x \leq 1$ )
$\ln\left(\frac{1+x}{1-x}\right)$	$2\left(x + \frac{x^3}{3} + \frac{x^5}{5} + \frac{x^7}{7} + \dots\right)$	(2.134) ( $ x  < 1$ )
$\cos(x)$	$1 - \frac{x^2}{2!} + \frac{x^4}{4!} - \frac{x^6}{6!} + \dots$	(2.135) (for all $x$ )
$\sin(x)$	$x - \frac{x^3}{3!} + \frac{x^5}{5!} - \frac{x^7}{7!} + \dots$	(2.136) (for all $x$ )
$\tan(x)$	$x + \frac{x^3}{3} + \frac{2x^5}{15} + \frac{17x^7}{315} \dots$	(2.137) ( $ x  < \pi/2$ )
$\sec(x)$	$1 + \frac{x^2}{2} + \frac{5x^4}{24} + \frac{61x^6}{720} + \dots$	(2.138) ( $ x  < \pi/2$ )
$\csc(x)$	$\frac{1}{x} + \frac{x}{6} + \frac{7x^3}{360} + \frac{31x^5}{15120} + \dots$	(2.139) ( $ x  < \pi$ )
$\cot(x)$	$\frac{1}{x} - \frac{x}{3} - \frac{x^3}{45} - \frac{2x^5}{945} - \dots$	(2.140) ( $ x  < \pi$ )
$\arcsin(x)^a$	$x + \frac{1}{2} \frac{x^3}{3} + \frac{1 \cdot 3}{2 \cdot 4} \frac{x^5}{5} + \frac{1 \cdot 3 \cdot 5}{2 \cdot 4 \cdot 6} \frac{x^7}{7} \dots$	(2.141) ( $ x  < 1$ )
$\arctan(x)^b$	$\begin{cases} x - \frac{x^3}{3} + \frac{x^5}{5} - \frac{x^7}{7} + \dots & ( x  \leq 1) \\ \frac{\pi}{2} - \frac{1}{x} + \frac{1}{3x^3} - \frac{1}{5x^5} + \dots & (x > 1) \\ -\frac{\pi}{2} - \frac{1}{x} + \frac{1}{3x^3} - \frac{1}{5x^5} + \dots & (x < -1) \end{cases}$	(2.142)
$\cosh(x)$	$1 + \frac{x^2}{2!} + \frac{x^4}{4!} + \frac{x^6}{6!} + \dots$	(2.143) (for all $x$ )
$\sinh(x)$	$x + \frac{x^3}{3!} + \frac{x^5}{5!} + \frac{x^7}{7!} + \dots$	(2.144) (for all $x$ )
$\tanh(x)$	$x - \frac{x^3}{3} + \frac{2x^5}{15} - \frac{17x^7}{315} + \dots$	(2.145) ( $ x  < \pi/2$ )

<sup>a</sup> $\arccos(x) = \pi/2 - \arcsin(x)$ . Note that  $\arcsin(x) \equiv \sin^{-1}(x)$  etc.

<sup>b</sup> $\text{arccot}(x) = \pi/2 - \arctan(x)$ .

## Inequalities

Triangle inequality	$ a_1  -  a_2  \leq  a_1 + a_2  \leq  a_1  +  a_2 ;$	(2.146)
	$\left  \sum_{i=1}^n a_i \right  \leq \sum_{i=1}^n  a_i $	(2.147)
	if $a_1 \geq a_2 \geq a_3 \geq \dots \geq a_n$	(2.148)
Chebyshev inequality	and $b_1 \geq b_2 \geq b_3 \geq \dots \geq b_n$	(2.149)
	then $n \sum_{i=1}^n a_i b_i \geq \left( \sum_{i=1}^n a_i \right) \left( \sum_{i=1}^n b_i \right)$	(2.150)
Cauchy inequality	$\left( \sum_{i=1}^n a_i b_i \right)^2 \leq \sum_{i=1}^n a_i^2 \sum_{i=1}^n b_i^2$	(2.151)
Schwarz inequality	$\left[ \int_a^b f(x)g(x) dx \right]^2 \leq \int_a^b [f(x)]^2 dx \int_a^b [g(x)]^2 dx$	(2.152)

## 2.4 Complex variables

### Complex numbers

Cartesian form	$z = x + iy$	(2.153)	$z$	complex variable
Polar form	$z = r e^{i\theta} = r(\cos \theta + i \sin \theta)$	(2.154)	$i$	$i^2 = -1$
Modulus <sup>a</sup>	$ z  = r = (x^2 + y^2)^{1/2}$	(2.155)	$x, y$	real variables
	$ z_1 \cdot z_2  =  z_1  \cdot  z_2 $	(2.156)	$r$	amplitude (real)
Argument	$\theta = \arg z = \arctan \frac{y}{x}$	(2.157)	$\theta$	phase (real)
	$\arg(z_1 z_2) = \arg z_1 + \arg z_2$	(2.158)	$ z $	modulus of $z$
Complex conjugate	$z^* = x - iy = re^{-i\theta}$	(2.159)	$\arg z$	argument of $z$
	$\arg(z^*) = -\arg z$	(2.160)	$z^*$	complex conjugate of
	$z \cdot z^* =  z ^2$	(2.161)	$z = re^{i\theta}$	
Logarithm <sup>b</sup>	$\ln z = \ln r + i(\theta + 2\pi n)$	(2.162)	$n$	integer

<sup>a</sup>Or “magnitude.”

<sup>b</sup>The principal value of  $\ln z$  is given by  $n=0$  and  $-\pi < \theta \leq \pi$ .

## Complex analysis<sup>a</sup>

Cauchy–Riemann equations <sup>b</sup>	if $f(z) = u(x, y) + i v(x, y)$	(2.163)	$z$ complex variable
	then $\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}$		$i$ $i^2 = -1$
Cauchy–Goursat theorem <sup>c</sup>	$\frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}$	(2.164)	$x, y$ real variables
	$\oint_c f(z) dz = 0$		$f(z)$ function of $z$
Cauchy integral formula <sup>d</sup>	$f(z_0) = \frac{1}{2\pi i} \oint_c \frac{f(z)}{z - z_0} dz$	(2.166)	$u, v$ real functions
	$f^{(n)}(z_0) = \frac{n!}{2\pi i} \oint_c \frac{f(z)}{(z - z_0)^{n+1}} dz$		$(n)$ $n$ th derivative
Laurent expansion <sup>e</sup>	$f(z) = \sum_{n=-\infty}^{\infty} a_n (z - z_0)^n$	(2.168)	$a_n$ Laurent coefficients
	where $a_n = \frac{1}{2\pi i} \oint_c \frac{f(z')}{(z' - z_0)^{n+1}} dz'$		$a_{-1}$ residue of $f(z)$ at $z_0$
Residue theorem	$\oint_c f(z) dz = 2\pi i \sum \text{enclosed residues}$	(2.170)	$z'$ dummy variable

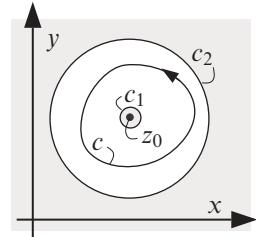
<sup>a</sup>Closed contour integrals are taken in the counterclockwise sense, once.

<sup>b</sup>Necessary condition for  $f(z)$  to be analytic at a given point.

<sup>c</sup>If  $f(z)$  is analytic within and on a simple closed curve  $c$ . Sometimes called “Cauchy’s theorem.”

<sup>d</sup>If  $f(z)$  is analytic within and on a simple closed curve  $c$ , encircling  $z_0$ .

<sup>e</sup>Of  $f(z)$ , (analytic) in the annular region between concentric circles,  $c_1$  and  $c_2$ , centred on  $z_0$ .  $c$  is any closed curve in this region encircling  $z_0$ .



## 2.5 Trigonometric and hyperbolic formulas

### Trigonometric relationships

$$\sin(A \pm B) = \sin A \cos B \pm \cos A \sin B \quad (2.171)$$

$$\cos(A \pm B) = \cos A \cos B \mp \sin A \sin B \quad (2.172)$$

$$\tan(A \pm B) = \frac{\tan A \pm \tan B}{1 \mp \tan A \tan B} \quad (2.173)$$

$$\cos A \cos B = \frac{1}{2} [\cos(A+B) + \cos(A-B)] \quad (2.174)$$

$$\sin A \cos B = \frac{1}{2} [\sin(A+B) + \sin(A-B)] \quad (2.175)$$

$$\sin A \sin B = \frac{1}{2} [\cos(A-B) - \cos(A+B)] \quad (2.176)$$

$$\cos^2 A + \sin^2 A = 1 \quad (2.177)$$

$$\sec^2 A - \tan^2 A = 1 \quad (2.178)$$

$$\csc^2 A - \cot^2 A = 1 \quad (2.179)$$

$$\sin 2A = 2 \sin A \cos A \quad (2.180)$$

$$\cos 2A = \cos^2 A - \sin^2 A \quad (2.181)$$

$$\tan 2A = \frac{2 \tan A}{1 - \tan^2 A} \quad (2.182)$$

$$\sin 3A = 3 \sin A - 4 \sin^3 A \quad (2.183)$$

$$\cos 3A = 4 \cos^3 A - 3 \cos A \quad (2.184)$$

$$\sin A + \sin B = 2 \sin \frac{A+B}{2} \cos \frac{A-B}{2} \quad (2.185)$$

$$\sin A - \sin B = 2 \cos \frac{A+B}{2} \sin \frac{A-B}{2} \quad (2.186)$$

$$\cos A + \cos B = 2 \cos \frac{A+B}{2} \cos \frac{A-B}{2} \quad (2.187)$$

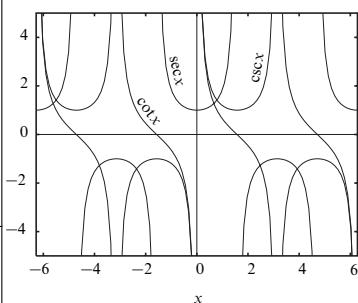
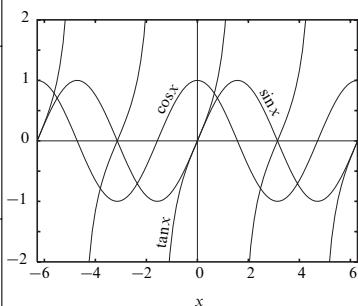
$$\cos A - \cos B = -2 \sin \frac{A+B}{2} \sin \frac{A-B}{2} \quad (2.188)$$

$$\cos^2 A = \frac{1}{2}(1 + \cos 2A) \quad (2.189)$$

$$\sin^2 A = \frac{1}{2}(1 - \cos 2A) \quad (2.190)$$

$$\cos^3 A = \frac{1}{4}(3 \cos A + \cos 3A) \quad (2.191)$$

$$\sin^3 A = \frac{1}{4}(3 \sin A - \sin 3A) \quad (2.192)$$



## Hyperbolic relationships<sup>a</sup>

$$\sinh(x \pm y) = \sinh x \cosh y \pm \cosh x \sinh y \quad (2.193)$$

$$\cosh(x \pm y) = \cosh x \cosh y \pm \sinh x \sinh y \quad (2.194)$$

$$\tanh(x \pm y) = \frac{\tanh x \pm \tanh y}{1 \pm \tanh x \tanh y} \quad (2.195)$$

$$\cosh x \cosh y = \frac{1}{2} [\cosh(x+y) + \cosh(x-y)] \quad (2.196)$$

$$\sinh x \cosh y = \frac{1}{2} [\sinh(x+y) + \sinh(x-y)] \quad (2.197)$$

$$\sinh x \sinh y = \frac{1}{2} [\cosh(x+y) - \cosh(x-y)] \quad (2.198)$$

$$\cosh^2 x - \sinh^2 x = 1 \quad (2.199)$$

$$\operatorname{sech}^2 x + \tanh^2 x = 1 \quad (2.200)$$

$$\coth^2 x - \operatorname{csch}^2 x = 1 \quad (2.201)$$

$$\sinh 2x = 2 \sinh x \cosh x \quad (2.202)$$

$$\cosh 2x = \cosh^2 x + \sinh^2 x \quad (2.203)$$

$$\tanh 2x = \frac{2 \tanh x}{1 + \tanh^2 x} \quad (2.204)$$

$$\sinh 3x = 3 \sinh x + 4 \sinh^3 x \quad (2.205)$$

$$\cosh 3x = 4 \cosh^3 x - 3 \cosh x \quad (2.206)$$

$$\sinh x + \sinh y = 2 \sinh \frac{x+y}{2} \cosh \frac{x-y}{2} \quad (2.207)$$

$$\sinh x - \sinh y = 2 \cosh \frac{x+y}{2} \sinh \frac{x-y}{2} \quad (2.208)$$

$$\cosh x + \cosh y = 2 \cosh \frac{x+y}{2} \cosh \frac{x-y}{2} \quad (2.209)$$

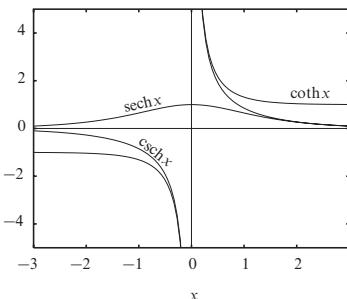
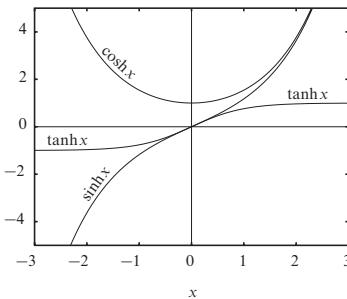
$$\cosh x - \cosh y = 2 \sinh \frac{x+y}{2} \sinh \frac{x-y}{2} \quad (2.210)$$

$$\cosh^2 x = \frac{1}{2} (\cosh 2x + 1) \quad (2.211)$$

$$\sinh^2 x = \frac{1}{2} (\cosh 2x - 1) \quad (2.212)$$

$$\cosh^3 x = \frac{1}{4} (3 \cosh x + \cosh 3x) \quad (2.213)$$

$$\sinh^3 x = \frac{1}{4} (\sinh 3x - 3 \sinh x) \quad (2.214)$$



<sup>a</sup>These can be derived from trigonometric relationships by using the substitutions  $\cos x \mapsto \cosh x$  and  $\sin x \mapsto i \sinh x$ .

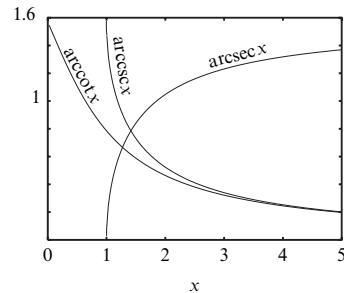
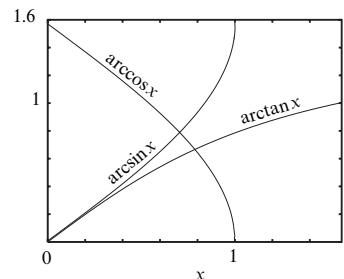
## Trigonometric and hyperbolic definitions

de Moivre's theorem	$(\cos x + i \sin x)^n = e^{inx} = \cos nx + i \sin nx$	(2.215)	
$\cos x = \frac{1}{2} (e^{ix} + e^{-ix})$	(2.216)	$\cosh x = \frac{1}{2} (e^x + e^{-x})$	(2.217)
$\sin x = \frac{1}{2i} (e^{ix} - e^{-ix})$	(2.218)	$\sinh x = \frac{1}{2} (e^x - e^{-x})$	(2.219)
$\tan x = \frac{\sin x}{\cos x}$	(2.220)	$\tanh x = \frac{\sinh x}{\cosh x}$	(2.221)
$\cos ix = \cosh x$	(2.222)	$\cosh ix = \cos x$	(2.223)
$\sin ix = i \sinh x$	(2.224)	$\sinh ix = i \sin x$	(2.225)
$\cot x = (\tan x)^{-1}$	(2.226)	$\coth x = (\tanh x)^{-1}$	(2.227)
$\sec x = (\cos x)^{-1}$	(2.228)	$\operatorname{sech} x = (\cosh x)^{-1}$	(2.229)
$\csc x = (\sin x)^{-1}$	(2.230)	$\operatorname{csch} x = (\sinh x)^{-1}$	(2.231)

## Inverse trigonometric functions<sup>a</sup>

$\arcsin x = \arctan \left[ \frac{x}{(1-x^2)^{1/2}} \right]$	(2.232)
$\arccos x = \arctan \left[ \frac{(1-x^2)^{1/2}}{x} \right]$	(2.233)
$\operatorname{arccsc} x = \arctan \left[ \frac{1}{(x^2-1)^{1/2}} \right]$	(2.234)
$\operatorname{arcsec} x = \arctan \left[ (x^2-1)^{1/2} \right]$	(2.235)
$\operatorname{arccot} x = \arctan \left( \frac{1}{x} \right)$	(2.236)
$\arccos x = \frac{\pi}{2} - \arcsin x$	(2.237)

<sup>a</sup>Valid in the angle range  $0 \leq \theta \leq \pi/2$ . Note that  $\arcsin x \equiv \sin^{-1} x$  etc.



## Inverse hyperbolic functions

$$\text{arsinh } x \equiv \sinh^{-1} x = \ln \left[ x + (x^2 + 1)^{1/2} \right] \quad (2.238)$$

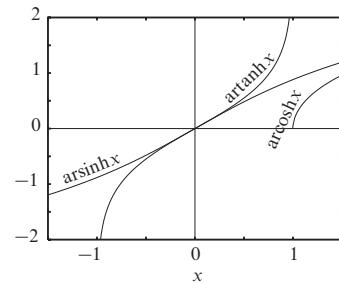
$$\text{arcosh } x \equiv \cosh^{-1} x = \ln \left[ x + (x^2 - 1)^{1/2} \right] \quad (2.239)$$

$$\text{artanh } x \equiv \tanh^{-1} x = \frac{1}{2} \ln \left( \frac{1+x}{1-x} \right) \quad (2.240)$$

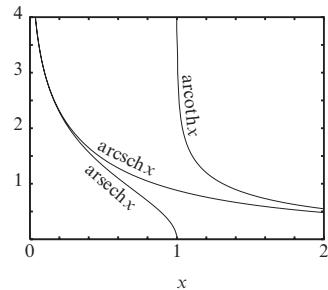
$$\text{arcoth } x \equiv \coth^{-1} x = \frac{1}{2} \ln \left( \frac{x+1}{x-1} \right) \quad (2.241)$$

$$\text{arsech } x \equiv \text{sech}^{-1} x = \ln \left[ \frac{1}{x} + \frac{(1-x^2)^{1/2}}{x} \right] \quad (2.242)$$

$$\text{arcsch } x \equiv \text{csch}^{-1} x = \ln \left[ \frac{1}{x} + \frac{(1+x^2)^{1/2}}{x} \right] \quad (2.243)$$



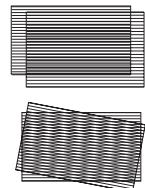
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## 2.6 Mensuration

### Moiré fringes<sup>a</sup>

Parallel pattern	$d_M = \left  \frac{1}{d_1} - \frac{1}{d_2} \right ^{-1}$	$d_M$ Moiré fringe spacing $d_{1,2}$ grating spacings
Rotational pattern <sup>b</sup>	$d_M = \frac{d}{2 \sin(\theta/2) }$	$d$ common grating spacing $\theta$ relative rotation angle ( $ \theta  \leq \pi/2$ )



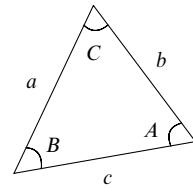
<sup>a</sup>From overlapping linear gratings.

<sup>b</sup>From identical gratings, spacing  $d$ , with a relative rotation  $\theta$ .

## Plane triangles

Sine formula <sup>a</sup>	$\frac{a}{\sin A} = \frac{b}{\sin B} = \frac{c}{\sin C}$	(2.246)
	$a^2 = b^2 + c^2 - 2bc \cos A$	(2.247)
Cosine formulas	$\cos A = \frac{b^2 + c^2 - a^2}{2bc}$	(2.248)
	$a = b \cos C + c \cos B$	(2.249)
Tangent formula	$\tan \frac{A-B}{2} = \frac{a-b}{a+b} \cot \frac{C}{2}$	(2.250)
	area $= \frac{1}{2}ab \sin C$	(2.251)
Area	$= \frac{a^2 \sin B \sin C}{2 \sin A}$	(2.252)
	$= [s(s-a)(s-b)(s-c)]^{1/2}$	(2.253)
	where $s = \frac{1}{2}(a+b+c)$	(2.254)

<sup>a</sup>The diameter of the circumscribed circle equals  $a/\sin A$ .

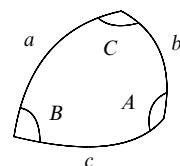


## Spherical triangles<sup>a</sup>

Sine formula	$\frac{\sin a}{\sin A} = \frac{\sin b}{\sin B} = \frac{\sin c}{\sin C}$	(2.255)
Cosine formulas	$\cos a = \cos b \cos c + \sin b \sin c \cos A$	(2.256)
	$\cos A = -\cos B \cos C + \sin B \sin C \cos a$	(2.257)
Analogue formula	$\sin a \cos B = \cos b \sin c - \sin b \cos c \cos A$	(2.258)
Four-parts formula	$\cos a \cos C = \sin a \cot b - \sin C \cot B$	(2.259)
Area <sup>b</sup>	$E = A + B + C - \pi$	(2.260)

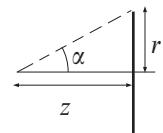
<sup>a</sup>On a unit sphere.

<sup>b</sup>Also called the “spherical excess.”



## Perimeter, area, and volume

Perimeter of circle	$P = 2\pi r$	(2.261)	$P$ perimeter
Area of circle	$A = \pi r^2$	(2.262)	$r$ radius
Surface area of sphere <sup>a</sup>	$A = 4\pi R^2$	(2.263)	$A$ area
Volume of sphere	$V = \frac{4}{3}\pi R^3$	(2.264)	$R$ sphere radius
$P = 4aE(\pi/2, e)$		(2.265)	$V$ volume
Perimeter of ellipse <sup>b</sup>	$\simeq 2\pi \left( \frac{a^2 + b^2}{2} \right)^{1/2}$	(2.266)	$a$ semi-major axis $b$ semi-minor axis $E$ elliptic integral of the second kind (p. 45) $e$ eccentricity $(= 1 - b^2/a^2)$
Area of ellipse	$A = \pi ab$	(2.267)	$c$ third semi-axis
Volume of ellipsoid <sup>c</sup>	$V = 4\pi \frac{abc}{3}$	(2.268)	$h$ height
Surface area of cylinder	$A = 2\pi r(h+r)$	(2.269)	$l$ slant height
Volume of cylinder	$V = \pi r^2 h$	(2.270)	$A_b$ base area
Area of circular cone <sup>d</sup>	$A = \pi rl$	(2.271)	$r_1$ inner radius $r_2$ outer radius
Volume of cone or pyramid	$V = A_b h / 3$	(2.272)	
Surface area of torus	$A = \pi^2(r_1 + r_2)(r_2 - r_1)$	(2.273)	
Volume of torus	$V = \frac{\pi^2}{4}(r_2^2 - r_1^2)(r_2 - r_1)$	(2.274)	
Area <sup>d</sup> of spherical cap, depth $d$	$A = 2\pi R d$	(2.275)	$d$ cap depth
Volume of spherical cap, depth $d$	$V = \pi d^2 \left( R - \frac{d}{3} \right)$	(2.276)	$\Omega$ solid angle
Solid angle of a circle from a point on its axis, $z$ from centre	$\Omega = 2\pi \left[ 1 - \frac{z}{(z^2 + r^2)^{1/2}} \right]$	(2.277)	$z$ distance from centre
	$= 2\pi(1 - \cos\alpha)$	(2.278)	$\alpha$ half-angle subtended

<sup>a</sup>Sphere defined by  $x^2 + y^2 + z^2 = R^2$ .<sup>b</sup>The approximation is exact when  $e=0$  and  $e \approx 0.91$ , giving a maximum error of 11% at  $e=1$ .<sup>c</sup>Ellipsoid defined by  $x^2/a^2 + y^2/b^2 + z^2/c^2 = 1$ .<sup>d</sup>Curved surface only.

## Conic sections

	<i>parabola</i>	<i>ellipse</i>	<i>hyperbola</i>
equation	$y^2 = 4ax$	$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$	$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$
parametric form	$x = t^2/(4a)$ $y = t$	$x = a \cos t$ $y = b \sin t$	$x = \pm a \cosh t$ $y = b \sinh t$
foci	$(a, 0)$	$(\pm \sqrt{a^2 - b^2}, 0)$	$(\pm \sqrt{a^2 + b^2}, 0)$
eccentricity	$e = 1$	$e = \frac{\sqrt{a^2 - b^2}}{a}$	$e = \frac{\sqrt{a^2 + b^2}}{a}$
directrices	$x = -a$	$x = \pm \frac{a}{e}$	$x = \pm \frac{a}{e}$

## Platonic solids<sup>a</sup>

<i>solid (faces,edges,vertices)</i>	<i>volume</i>	<i>surface area</i>	<i>circumradius</i>	<i>inradius</i>
tetrahedron (4,6,4)	$\frac{a^3 \sqrt{2}}{12}$	$a^2 \sqrt{3}$	$\frac{a \sqrt{6}}{4}$	$\frac{a \sqrt{6}}{12}$
cube (6,12,8)	$a^3$	$6a^2$	$\frac{a \sqrt{3}}{2}$	$\frac{a}{2}$
octahedron (8,12,6)	$\frac{a^3 \sqrt{2}}{3}$	$2a^2 \sqrt{3}$	$\frac{a}{\sqrt{2}}$	$\frac{a}{\sqrt{6}}$
dodecahedron (12,30,20)	$\frac{a^3(15+7\sqrt{5})}{4}$	$3a^2 \sqrt{5(5+2\sqrt{5})}$	$\frac{a}{4} \sqrt{3}(1+\sqrt{5})$	$\frac{a}{4} \sqrt{\frac{50+22\sqrt{5}}{5}}$
icosahedron (20,30,12)	$\frac{5a^3(3+\sqrt{5})}{12}$	$5a^2 \sqrt{3}$	$\frac{a}{4} \sqrt{2(5+\sqrt{5})}$	$\frac{a}{4} \left(\sqrt{3} + \sqrt{\frac{5}{3}}\right)$

<sup>a</sup>Of side  $a$ . Both regular and irregular polyhedra follow the Euler relation, faces – edges + vertices = 2.

## Curve measure

Length of plane curve	$l = \int_a^b \left[ 1 + \left( \frac{dy}{dx} \right)^2 \right]^{1/2} dx \quad (2.279)$	$a$	start point
Surface of revolution	$A = 2\pi \int_a^b y \left[ 1 + \left( \frac{dy}{dx} \right)^2 \right]^{1/2} dx \quad (2.280)$	$b$	end point
Volume of revolution	$V = \pi \int_a^b y^2 dx \quad (2.281)$	$y(x)$	plane curve
Radius of curvature	$\rho = \left[ 1 + \left( \frac{dy}{dx} \right)^2 \right]^{3/2} \left( \frac{d^2y}{dx^2} \right)^{-1} \quad (2.282)$	$l$	length
		$A$	surface area
		$V$	volume
		$\rho$	radius of curvature

## Differential geometry<sup>a</sup>

Unit tangent	$\hat{\tau} = \frac{\dot{\mathbf{r}}}{ \dot{\mathbf{r}} } = \frac{\dot{\mathbf{r}}}{v} \quad (2.283)$	$\tau$	tangent
Unit principal normal	$\hat{\mathbf{n}} = \frac{\ddot{\mathbf{r}} - v\hat{\tau}}{ \ddot{\mathbf{r}} - v\hat{\tau} } \quad (2.284)$	$r$	curve parameterised by $\mathbf{r}(t)$
Unit binormal	$\hat{\mathbf{b}} = \hat{\tau} \times \hat{\mathbf{n}} \quad (2.285)$	$v$	$ \dot{\mathbf{r}}(t) $
Curvature	$\kappa = \frac{ \ddot{\mathbf{r}} \times \ddot{\mathbf{r}} }{ \dot{\mathbf{r}} ^3} \quad (2.286)$	$n$	principal normal
Radius of curvature	$\rho = \frac{1}{\kappa} \quad (2.287)$	$b$	binormal
Torsion	$\lambda = \frac{\dot{\mathbf{r}} \cdot (\ddot{\mathbf{r}} \times \ddot{\mathbf{r}})}{ \ddot{\mathbf{r}} \times \ddot{\mathbf{r}} ^2} \quad (2.288)$	$\kappa$	curvature
	$\dot{\hat{\tau}} = \kappa v \hat{\mathbf{n}} \quad (2.289)$	$\rho$	radius of curvature
Frenet's formulas	$\dot{\hat{\mathbf{n}}} = -\kappa v \hat{\tau} + \lambda v \hat{\mathbf{b}} \quad (2.290)$	$\lambda$	torsion
	$\dot{\hat{\mathbf{b}}} = -\lambda v \hat{\mathbf{n}} \quad (2.291)$		

<sup>a</sup>For a continuous curve in three dimensions, traced by the position vector  $\mathbf{r}(t)$ .

## 2.7 Differentiation

### Derivatives (general)

Power	$\frac{d}{dx}(u^n) = nu^{n-1} \frac{du}{dx}$	(2.292)	$n$ power index
Product	$\frac{d}{dx}(uv) = u \frac{dv}{dx} + v \frac{du}{dx}$	(2.293)	$u, v$ functions of $x$
Quotient	$\frac{d}{dx}\left(\frac{u}{v}\right) = \frac{1}{v} \frac{du}{dx} - \frac{u}{v^2} \frac{dv}{dx}$	(2.294)	
Function of a function <sup>a</sup>	$\frac{d}{dx}[f(u)] = \frac{d}{du}[f(u)] \cdot \frac{du}{dx}$	(2.295)	$f(u)$ function of $u(x)$
Leibniz theorem	$\begin{aligned} \frac{d^n}{dx^n}[uv] &= \binom{n}{0} v \frac{d^n u}{dx^n} + \binom{n}{1} \frac{dv}{dx} \frac{d^{n-1} u}{dx^{n-1}} + \dots \\ &\quad + \binom{n}{k} \frac{d^k v}{dx^k} \frac{d^{n-k} u}{dx^{n-k}} + \dots + \binom{n}{n} u \frac{d^n v}{dx^n} \end{aligned}$	(2.296)	$\binom{n}{k}$ binomial coefficient
Differentiation under the integral sign	$\frac{d}{dq} \left[ \int_p^q f(x) dx \right] = f(q) \quad (p \text{ constant})$	(2.297)	
	$\frac{d}{dp} \left[ \int_p^q f(x) dx \right] = -f(p) \quad (q \text{ constant})$	(2.298)	
General integral	$\frac{d}{dx} \left[ \int_{u(x)}^{v(x)} f(t) dt \right] = f(v) \frac{dv}{dx} - f(u) \frac{du}{dx}$	(2.299)	
Logarithm	$\frac{d}{dx}(\log_b  ax ) = (x \ln b)^{-1}$	(2.300)	$b$ $a$ log base constant
Exponential	$\frac{d}{dx}(e^{ax}) = ae^{ax}$	(2.301)	
	$\frac{dx}{dy} = \left( \frac{dy}{dx} \right)^{-1}$	(2.302)	
Inverse functions	$\frac{d^2 x}{dy^2} = -\frac{d^2 y}{dx^2} \left( \frac{dy}{dx} \right)^{-3}$	(2.303)	
	$\frac{d^3 x}{dy^3} = \left[ 3 \left( \frac{d^2 y}{dx^2} \right)^2 - \frac{dy}{dx} \frac{d^3 y}{dx^3} \right] \left( \frac{dy}{dx} \right)^{-5}$	(2.304)	

<sup>a</sup>The “chain rule.”

**Trigonometric derivatives<sup>a</sup>**

$$\frac{d}{dx}(\sin ax) = a \cos ax \quad (2.305) \quad \frac{d}{dx}(\cos ax) = -a \sin ax \quad (2.306)$$

$$\frac{d}{dx}(\tan ax) = a \sec^2 ax \quad (2.307) \quad \frac{d}{dx}(\csc ax) = -a \csc ax \cdot \cot ax \quad (2.308)$$

$$\frac{d}{dx}(\sec ax) = a \sec ax \cdot \tan ax \quad (2.309) \quad \frac{d}{dx}(\cot ax) = -a \csc^2 ax \quad (2.310)$$

$$\frac{d}{dx}(\arcsin ax) = a(1-a^2x^2)^{-1/2} \quad (2.311) \quad \frac{d}{dx}(\arccos ax) = -a(1-a^2x^2)^{-1/2} \quad (2.312)$$

$$\frac{d}{dx}(\arctan ax) = a(1+a^2x^2)^{-1} \quad (2.313) \quad \frac{d}{dx}(\text{arccsc } ax) = -\frac{a}{|ax|}(a^2x^2-1)^{-1/2} \quad (2.314)$$

$$\frac{d}{dx}(\text{arcsec } ax) = \frac{a}{|ax|}(a^2x^2-1)^{-1/2} \quad (2.315) \quad \frac{d}{dx}(\text{arccot } ax) = -a(a^2x^2+1)^{-1} \quad (2.316)$$

<sup>a</sup> $a$  is a constant.

**Hyperbolic derivatives<sup>a</sup>**

$$\frac{d}{dx}(\sinh ax) = a \cosh ax \quad (2.317) \quad \frac{d}{dx}(\cosh ax) = a \sinh ax \quad (2.318)$$

$$\frac{d}{dx}(\tanh ax) = a \operatorname{sech}^2 ax \quad (2.319) \quad \frac{d}{dx}(\operatorname{csch} ax) = -a \operatorname{csch} ax \cdot \coth ax \quad (2.320)$$

$$\frac{d}{dx}(\operatorname{sech} ax) = -a \operatorname{sech} ax \cdot \tanh ax \quad (2.321) \quad \frac{d}{dx}(\coth ax) = -a \operatorname{csch}^2 ax \quad (2.322)$$

$$\frac{d}{dx}(\text{arsinh } ax) = a(a^2x^2+1)^{-1/2} \quad (2.323) \quad \frac{d}{dx}(\text{arcosh } ax) = a(a^2x^2-1)^{-1/2} \quad (2.324)$$

$$\frac{d}{dx}(\text{artanh } ax) = a(1-a^2x^2)^{-1} \quad (2.325) \quad \frac{d}{dx}(\text{arcsch } ax) = -\frac{a}{|ax|}(1+a^2x^2)^{-1/2} \quad (2.326)$$

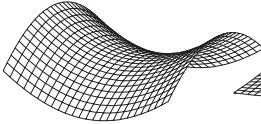
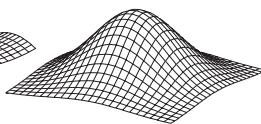
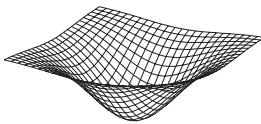
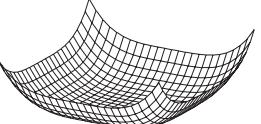
$$\frac{d}{dx}(\text{arsech } ax) = -\frac{a}{|ax|}(1-a^2x^2)^{-1/2} \quad (2.327) \quad \frac{d}{dx}(\text{arcoth } ax) = a(1-a^2x^2)^{-1} \quad (2.328)$$

<sup>a</sup> $a$  is a constant.

## Partial derivatives

Total differential	$df = \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} dy + \frac{\partial f}{\partial z} dz$	(2.329)	f	$f(x,y,z)$
Reciprocity	$\frac{\partial g}{\partial x} \Big _y \frac{\partial x}{\partial y} \Big _g \frac{\partial y}{\partial g} \Big _x = -1$	(2.330)	g	$g(x,y)$
Chain rule	$\frac{\partial f}{\partial u} = \frac{\partial f}{\partial x} \frac{\partial x}{\partial u} + \frac{\partial f}{\partial y} \frac{\partial y}{\partial u} + \frac{\partial f}{\partial z} \frac{\partial z}{\partial u}$	(2.331)		
Jacobian	$J = \frac{\partial(x,y,z)}{\partial(u,v,w)} = \begin{vmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} & \frac{\partial x}{\partial w} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} & \frac{\partial y}{\partial w} \\ \frac{\partial z}{\partial u} & \frac{\partial z}{\partial v} & \frac{\partial z}{\partial w} \end{vmatrix}$	(2.332)	J	Jacobian
Change of variable	$\int_V f(x,y,z) dx dy dz = \int_{V'} f(u,v,w) J du dv dw$	(2.333)	u	$u(x,y,z)$
Euler–Lagrange equation	if $I = \int_a^b F(x,y,y') dx$ then $\delta I = 0$ when $\frac{\partial F}{\partial y} = \frac{d}{dx} \left( \frac{\partial F}{\partial y'} \right)$	(2.334)	v	$v(x,y,z)$
			w	$w(x,y,z)$
			V	volume in $(x,y,z)$
			$V'$	volume in $(u,v,w)$ mapped to by $V$
			$y'$	$dy/dx$
			a,b	fixed end points

## Stationary points<sup>a</sup>

	saddle point		maximum		minimum		quartic minimum
Stationary point if $\frac{\partial f}{\partial x} = \frac{\partial f}{\partial y} = 0$ at $(x_0, y_0)$	(necessary condition)						
Additional sufficient conditions							
for maximum	$\frac{\partial^2 f}{\partial x^2} < 0$ , and $\frac{\partial^2 f}{\partial x^2} \frac{\partial^2 f}{\partial y^2} > \left( \frac{\partial^2 f}{\partial x \partial y} \right)^2$						
for minimum	$\frac{\partial^2 f}{\partial x^2} > 0$ , and $\frac{\partial^2 f}{\partial x^2} \frac{\partial^2 f}{\partial y^2} > \left( \frac{\partial^2 f}{\partial x \partial y} \right)^2$						
for saddle point	$\frac{\partial^2 f}{\partial x^2} \frac{\partial^2 f}{\partial y^2} < \left( \frac{\partial^2 f}{\partial x \partial y} \right)^2$						

<sup>a</sup>Of a function  $f(x,y)$  at the point  $(x_0, y_0)$ . Note that at, for example, a quartic minimum  $\frac{\partial^2 f}{\partial x^2} = \frac{\partial^2 f}{\partial y^2} = 0$ .

## Differential equations

Laplace	$\nabla^2 f = 0$	(2.339)	$f$	$f(x, y, z)$
Diffusion <sup>a</sup>	$\frac{\partial f}{\partial t} = D \nabla^2 f$	(2.340)	$D$	diffusion coefficient
Helmholtz	$\nabla^2 f + \alpha^2 f = 0$	(2.341)	$\alpha$	constant
Wave	$\nabla^2 f = \frac{1}{c^2} \frac{\partial^2 f}{\partial t^2}$	(2.342)	$c$	wave speed
Legendre	$\frac{d}{dx} \left[ (1-x^2) \frac{dy}{dx} \right] + l(l+1)y = 0$	(2.343)	$l$	integer
Associated Legendre	$\frac{d}{dx} \left[ (1-x^2) \frac{dy}{dx} \right] + \left[ l(l+1) - \frac{m^2}{1-x^2} \right] y = 0$	(2.344)	$m$	integer
Bessel	$x^2 \frac{d^2 y}{dx^2} + x \frac{dy}{dx} + (x^2 - m^2)y = 0$	(2.345)		
Hermite	$\frac{d^2 y}{dx^2} - 2x \frac{dy}{dx} + 2\alpha y = 0$	(2.346)		
Laguerre	$x \frac{d^2 y}{dx^2} + (1-x) \frac{dy}{dx} + \alpha y = 0$	(2.347)		
Associated Laguerre	$x \frac{d^2 y}{dx^2} + (1+k-x) \frac{dy}{dx} + \alpha y = 0$	(2.348)	$k$	integer
Chebyshev	$(1-x^2) \frac{d^2 y}{dx^2} - x \frac{dy}{dx} + n^2 y = 0$	(2.349)	$n$	integer
Euler (or Cauchy)	$x^2 \frac{d^2 y}{dx^2} + ax \frac{dy}{dx} + by = f(x)$	(2.350)	$a, b$	constants
Bernoulli	$\frac{dy}{dx} + p(x)y = q(x)y^a$	(2.351)	$p, q$	functions of $x$
Airy	$\frac{d^2 y}{dx^2} = xy$	(2.352)		

<sup>a</sup>Also known as the “conduction equation.” For thermal conduction,  $f \equiv T$  and  $D$ , the thermal diffusivity,  $\equiv \kappa \equiv \lambda / (\rho c_p)$ , where  $T$  is the temperature distribution,  $\lambda$  the thermal conductivity,  $\rho$  the density, and  $c_p$  the specific heat capacity of the material.

## 2.8 Integration

### Standard forms<sup>a</sup>

$$\int u \, dv = [uv] - \int v \, du \quad (2.353) \quad \int uw \, dx = v \int u \, dx - \int \left( \int u \, dx \right) \frac{dv}{dx} \, dx \quad (2.354)$$

$$\int x^n \, dx = \frac{x^{n+1}}{n+1} \quad (n \neq -1) \quad (2.355) \quad \int \frac{1}{x} \, dx = \ln|x| \quad (2.356)$$

$$\int e^{ax} \, dx = \frac{1}{a} e^{ax} \quad (2.357) \quad \int x e^{ax} \, dx = e^{ax} \left( \frac{x}{a} - \frac{1}{a^2} \right) \quad (2.358)$$

$$\int \ln ax \, dx = x(\ln ax - 1) \quad (2.359) \quad \int \frac{f'(x)}{f(x)} \, dx = \ln f(x) \quad (2.360)$$

$$\int x \ln ax \, dx = \frac{x^2}{2} \left( \ln ax - \frac{1}{2} \right) \quad (2.361) \quad \int b^{ax} \, dx = \frac{b^{ax}}{a \ln b} \quad (b > 0) \quad (2.362)$$

$$\int \frac{1}{a+bx} \, dx = \frac{1}{b} \ln(a+bx) \quad (2.363) \quad \int \frac{1}{x(a+bx)} \, dx = -\frac{1}{a} \ln \frac{a+bx}{x} \quad (2.364)$$

$$\int \frac{1}{(a+bx)^2} \, dx = \frac{-1}{b(a+bx)} \quad (2.365) \quad \int \frac{1}{a^2+b^2x^2} \, dx = \frac{1}{ab} \arctan \left( \frac{bx}{a} \right) \quad (2.366)$$

$$\int \frac{1}{x(x^n+a)} \, dx = \frac{1}{an} \ln \left| \frac{x^n}{x^n+a} \right| \quad (2.367) \quad \int \frac{1}{x^2-a^2} \, dx = \frac{1}{2a} \ln \left| \frac{x-a}{x+a} \right| \quad (2.368)$$

$$\int \frac{x}{x^2 \pm a^2} \, dx = \frac{1}{2} \ln|x^2 \pm a^2| \quad (2.369) \quad \int \frac{x}{(x^2 \pm a^2)^n} \, dx = \frac{-1}{2(n-1)(x^2 \pm a^2)^{n-1}} \quad (2.370)$$

$$\int \frac{1}{(a^2-x^2)^{1/2}} \, dx = \arcsin \left( \frac{x}{a} \right) \quad (2.371) \quad \int \frac{1}{(x^2 \pm a^2)^{1/2}} \, dx = \ln|x + (x^2 \pm a^2)^{1/2}| \quad (2.372)$$

$$\int \frac{x}{(x^2 \pm a^2)^{1/2}} \, dx = (x^2 \pm a^2)^{1/2} \quad (2.373) \quad \int \frac{1}{x(x^2-a^2)^{1/2}} \, dx = \frac{1}{a} \operatorname{arcsec} \left( \frac{x}{a} \right) \quad (2.374)$$

<sup>a</sup> $a$  and  $b$  are non-zero constants.

## Trigonometric and hyperbolic integrals

$$\int \sin x \, dx = -\cos x \quad (2.375) \quad \int \sinh x \, dx = \cosh x \quad (2.376)$$

$$\int \cos x \, dx = \sin x \quad (2.377) \quad \int \cosh x \, dx = \sinh x \quad (2.378)$$

$$\int \tan x \, dx = -\ln |\cos x| \quad (2.379) \quad \int \tanh x \, dx = \ln(\cosh x) \quad (2.380)$$

$$\int \csc x \, dx = \ln \left| \tan \frac{x}{2} \right| \quad (2.381) \quad \int \operatorname{csch} x \, dx = \ln \left| \tanh \frac{x}{2} \right| \quad (2.382)$$

$$\int \sec x \, dx = \ln |\sec x + \tan x| \quad (2.383) \quad \int \operatorname{sech} x \, dx = 2 \arctan(e^x) \quad (2.384)$$

$$\int \cot x \, dx = \ln |\sin x| \quad (2.385) \quad \int \coth x \, dx = \ln |\sinh x| \quad (2.386)$$

$$\int \sin mx \cdot \sin nx \, dx = \frac{\sin(m-n)x}{2(m-n)} - \frac{\sin(m+n)x}{2(m+n)} \quad (m^2 \neq n^2) \quad (2.387)$$

$$\int \sin mx \cdot \cos nx \, dx = -\frac{\cos(m-n)x}{2(m-n)} - \frac{\cos(m+n)x}{2(m+n)} \quad (m^2 \neq n^2) \quad (2.388)$$

$$\int \cos mx \cdot \cos nx \, dx = \frac{\sin(m-n)x}{2(m-n)} + \frac{\sin(m+n)x}{2(m+n)} \quad (m^2 \neq n^2) \quad (2.389)$$

## Named integrals

Error function	$\operatorname{erf}(x) = \frac{2}{\pi^{1/2}} \int_0^x \exp(-t^2) \, dt$	(2.390)
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Complementary error function	$\operatorname{erfc}(x) = 1 - \operatorname{erf}(x) = \frac{2}{\pi^{1/2}} \int_x^\infty \exp(-t^2) \, dt$	(2.391)
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Fresnel integrals <sup>a</sup>	$C(x) = \int_0^x \cos \frac{\pi t^2}{2} \, dt; \quad S(x) = \int_0^x \sin \frac{\pi t^2}{2} \, dt$	(2.392)
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$$C(x) + iS(x) = \frac{1+i}{2} \operatorname{erf} \left[ \frac{\pi^{1/2}}{2} (1-i)x \right] \quad (2.393)$$

Exponential integral	$Ei(x) = \int_{-\infty}^x \frac{e^t}{t} \, dt \quad (x > 0)$	(2.394)
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Gamma function	$\Gamma(x) = \int_0^\infty t^{x-1} e^{-t} \, dt \quad (x > 0)$	(2.395)
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Elliptic integrals (trigonometric form)	$F(\phi, k) = \int_0^\phi \frac{1}{(1-k^2 \sin^2 \theta)^{1/2}} \, d\theta \quad (\text{first kind})$	(2.396)
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$$E(\phi, k) = \int_0^\phi (1-k^2 \sin^2 \theta)^{1/2} \, d\theta \quad (\text{second kind}) \quad (2.397)$$

<sup>a</sup>See also page 167.

## Definite integrals

$$\int_0^\infty e^{-ax^2} dx = \frac{1}{2} \left( \frac{\pi}{a} \right)^{1/2} \quad (a > 0) \quad (2.398)$$

$$\int_0^\infty xe^{-ax^2} dx = \frac{1}{2a} \quad (a > 0) \quad (2.399)$$

$$\int_0^\infty x^n e^{-ax} dx = \frac{n!}{a^{n+1}} \quad (a > 0; n = 0, 1, 2, \dots) \quad (2.400)$$

$$\int_{-\infty}^\infty \exp(2bx - ax^2) dx = \left( \frac{\pi}{a} \right)^{1/2} \exp\left( \frac{b^2}{a} \right) \quad (a > 0) \quad (2.401)$$

$$\int_0^\infty x^n e^{-ax^2} dx = \begin{cases} 1 \cdot 3 \cdot 5 \cdots (n-1)(2a)^{-(n+1)/2} (\pi/2)^{1/2} & n > 0 \text{ and even} \\ 2 \cdot 4 \cdot 6 \cdots (n-1)(2a)^{-(n+1)/2} & n > 1 \text{ and odd} \end{cases} \quad (2.402)$$

$$\int_0^1 x^p (1-x)^q dx = \frac{p! q!}{(p+q+1)!} \quad (p, q \text{ integers } > 0) \quad (2.403)$$

$$\int_0^\infty \cos(ax^2) dx = \int_0^\infty \sin(ax^2) dx = \frac{1}{2} \left( \frac{\pi}{2a} \right)^{1/2} \quad (a > 0) \quad (2.404)$$

$$\int_0^\infty \frac{\sin x}{x} dx = \int_0^\infty \frac{\sin^2 x}{x^2} dx = \frac{\pi}{2} \quad (2.405)$$

$$\int_0^\infty \frac{1}{(1+x)x^a} dx = \frac{\pi}{\sin a\pi} \quad (0 < a < 1) \quad (2.406)$$

## 2.9 Special functions and polynomials

### Gamma function

Definition	$\Gamma(z) = \int_0^\infty t^{z-1} e^{-t} dt \quad [\Re(z) > 0]$	(2.407)
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$$n! = \Gamma(n+1) = n\Gamma(n) \quad (n = 0, 1, 2, \dots) \quad (2.408)$$

Relations	$\Gamma(1/2) = \pi^{1/2}$	(2.409)
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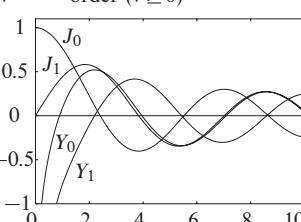
$$\binom{z}{w} = \frac{z!}{w!(z-w)!} = \frac{\Gamma(z+1)}{\Gamma(w+1)\Gamma(z-w+1)} \quad (2.410)$$

Stirling's formulas (for $ z , n \gg 1$ )	$\Gamma(z) \simeq e^{-z} z^{z-(1/2)} (2\pi)^{1/2} \left( 1 + \frac{1}{12z} + \frac{1}{288z^2} - \dots \right)$	(2.411)
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$$n! \simeq n^{n+(1/2)} e^{-n} (2\pi)^{1/2} \quad (2.412)$$

$$\ln(n!) \simeq n \ln n - n \quad (2.413)$$

## Bessel functions

Series expansion $J_v(x) = \left(\frac{x}{2}\right)^v \sum_{k=0}^{\infty} \frac{(-x^2/4)^k}{k! \Gamma(v+k+1)}$ $Y_v(x) = \frac{J_v(x) \cos(\pi v) - J_{-v}(x)}{\sin(\pi v)}$	$J_v(x)$ Bessel function of the first kind $Y_v(x)$ Bessel function of the second kind $\Gamma(v)$ Gamma function $v$ order ( $v \geq 0$ )
Approximations $J_v(x) \approx \begin{cases} \frac{1}{\Gamma(v+1)} \left(\frac{x}{2}\right)^v & (0 \leq x \ll v) \\ \left(\frac{2}{\pi x}\right)^{1/2} \cos\left(x - \frac{1}{2}v\pi - \frac{\pi}{4}\right) & (x \gg v) \end{cases}$ $Y_v(x) \approx \begin{cases} \frac{-\Gamma(v)}{\pi} \left(\frac{x}{2}\right)^{-v} & (0 < x \ll v) \\ \left(\frac{2}{\pi x}\right)^{1/2} \sin\left(x - \frac{1}{2}v\pi - \frac{\pi}{4}\right) & (x \gg v) \end{cases}$	
Modified Bessel functions $I_v(x) = (-i)^v J_v(ix)$ $K_v(x) = \frac{\pi}{2} i^{v+1} [J_v(ix) + i Y_v(ix)]$	$I_v(x)$ modified Bessel function of the first kind $K_v(x)$ modified Bessel function of the second kind
Spherical Bessel function $j_v(x) = \left(\frac{\pi}{2x}\right)^{1/2} J_{v+\frac{1}{2}}(x)$	$j_v(x)$ spherical Bessel function of the first kind [similarly for $y_v(x)$ ]

## Legendre polynomials<sup>a</sup>

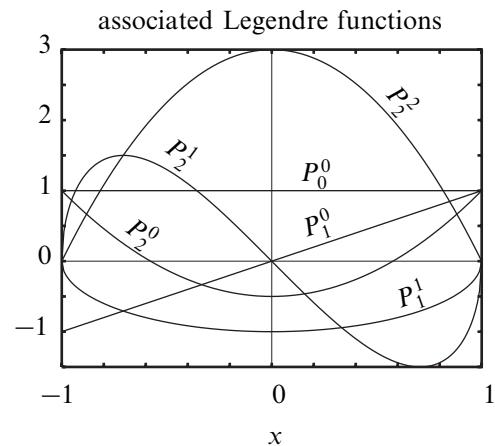
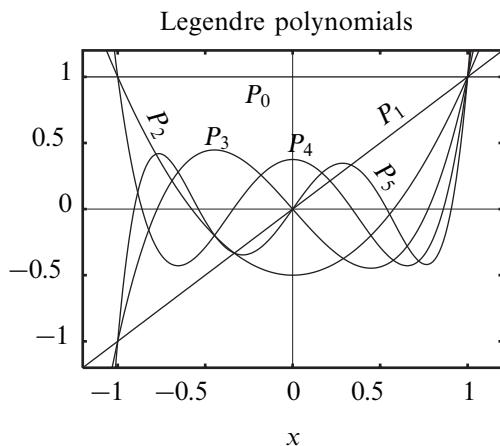
Legendre equation $(1-x^2) \frac{d^2 P_l(x)}{dx^2} - 2x \frac{dP_l(x)}{dx} + l(l+1)P_l(x) = 0$	$P_l$ Legendre polynomials $l$ order ( $l \geq 0$ )
Rodrigues' formula $P_l(x) = \frac{1}{2^l l!} \frac{d^l}{dx^l} (x^2 - 1)^l$	
Recurrence relation $(l+1)P_{l+1}(x) = (2l+1)xP_l(x) - lP_{l-1}(x)$	
Orthogonality $\int_{-1}^1 P_l(x) P_{l'}(x) dx = \frac{2}{2l+1} \delta_{ll'}$	$\delta_{ll'}$ Kronecker delta
Explicit form $P_l(x) = 2^{-l} \sum_{m=0}^{l/2} (-1)^m \binom{l}{m} \binom{2l-2m}{l} x^{l-2m}$	$\binom{l}{m}$ binomial coefficients
Expansion of plane wave $\exp(i k z) = \exp(i k r \cos \theta)$ $= \sum_{l=0}^{\infty} (2l+1) i^l j_l(kr) P_l(\cos \theta)$	$k$ wavenumber $z$ propagation axis $z = r \cos \theta$ $j_l$ spherical Bessel function of the first kind (order $l$ )
$P_0(x) = 1$ $P_1(x) = x$	$P_2(x) = (3x^2 - 1)/2$ $P_3(x) = (5x^3 - 3x)/2$
	$P_4(x) = (35x^4 - 30x^2 + 3)/8$ $P_5(x) = (63x^5 - 70x^3 + 15x)/8$

<sup>a</sup>Of the first kind.

## Associated Legendre functions<sup>a</sup>

Associated Legendre equation	$\frac{d}{dx} \left[ (1-x^2) \frac{dP_l^m(x)}{dx} \right] + \left[ l(l+1) - \frac{m^2}{1-x^2} \right] P_l^m(x) = 0 \quad (2.428)$	$P_l^m$ associated Legendre functions
From Legendre polynomials	$P_l^m(x) = (1-x^2)^{m/2} \frac{d^m}{dx^m} P_l(x), \quad 0 \leq m \leq l \quad (2.429)$	$P_l$ Legendre polynomials
	$P_l^{-m}(x) = (-1)^m \frac{(l-m)!}{(l+m)!} P_l^m(x) \quad (2.430)$	
Recurrence relations	$P_m^m(x) = x(2m+1)P_m^m(x) \quad (2.431)$ $P_m^m(x) = (-1)^m (2m-1)!! (1-x^2)^{m/2} \quad (2.432)$ $(l-m+1)P_{l+1}^m(x) = (2l+1)xP_l^m(x) - (l+m)P_{l-1}^m(x) \quad (2.433)$	!! $5!! = 5 \cdot 3 \cdot 1$ etc.
Orthogonality	$\int_{-1}^1 P_l^m(x) P_{l'}^m(x) dx = \frac{(l+m)!}{(l-m)!} \frac{2}{2l+1} \delta_{ll'} \quad (2.434)$	$\delta_{ll'}$ Kronecker delta
	$P_0^0(x) = 1$	$P_1^0(x) = x$
	$P_2^0(x) = (3x^2 - 1)/2$	$P_1^1(x) = -(1-x^2)^{1/2}$
		$P_2^1(x) = -3x(1-x^2)^{1/2}$
		$P_2^2(x) = 3(1-x^2)$

<sup>a</sup>Of the first kind.  $P_l^m(x)$  can be defined with a  $(-1)^m$  factor in Equation (2.429) as well as Equation (2.430).



## Spherical harmonics

Differential equation	$\left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} \right] Y_l^m + l(l+1)Y_l^m = 0 \quad (2.435)$	$Y_l^m$	spherical harmonics	
Definition <sup>a</sup>	$Y_l^m(\theta, \phi) = (-1)^m \left[ \frac{2l+1}{4\pi} \frac{(l-m)!}{(l+m)!} \right]^{1/2} P_l^m(\cos \theta) e^{im\phi} \quad (2.436)$	$P_l^m$	associated Legendre functions	
Orthogonality	$\int_{\phi=0}^{2\pi} \int_{\theta=0}^{\pi} Y_l^{m*}(\theta, \phi) Y_{l'}^{m'}(\theta, \phi) \sin \theta d\theta d\phi = \delta_{mm'} \delta_{ll'} \quad (2.437)$	$Y^*$	complex conjugate	
Laplace series	$f(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l a_{lm} Y_l^m(\theta, \phi) \quad (2.438)$ where $a_{lm} = \int_{\phi=0}^{2\pi} \int_{\theta=0}^{\pi} Y_l^{m*}(\theta, \phi) f(\theta, \phi) \sin \theta d\theta d\phi \quad (2.439)$	$\delta_{ll'}$	Kronecker delta	
Solution to Laplace equation	if $\nabla^2 \psi(r, \theta, \phi) = 0$ , then $\psi(r, \theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l Y_l^m(\theta, \phi) \cdot [a_{lm} r^l + b_{lm} r^{-(l+1)}] \quad (2.440)$	$f$	continuous function	
	$Y_0^0(\theta, \phi) = \sqrt{\frac{1}{4\pi}}$	$Y_1^0(\theta, \phi) = \sqrt{\frac{3}{4\pi}} \cos \theta$	$\psi$	continuous function
	$Y_1^{\pm 1}(\theta, \phi) = \mp \sqrt{\frac{3}{8\pi}} \sin \theta e^{\pm i\phi}$	$Y_2^0(\theta, \phi) = \sqrt{\frac{5}{4\pi}} \left( \frac{3}{2} \cos^2 \theta - \frac{1}{2} \right)$	$a, b$	constants
	$Y_2^{\pm 1}(\theta, \phi) = \mp \sqrt{\frac{15}{8\pi}} \sin \theta \cos \theta e^{\pm i\phi}$	$Y_2^{\pm 2}(\theta, \phi) = \sqrt{\frac{15}{32\pi}} \sin^2 \theta e^{\pm 2i\phi}$		
	$Y_3^0(\theta, \phi) = \frac{1}{2} \sqrt{\frac{7}{4\pi}} (5 \cos^2 \theta - 3) \cos \theta$	$Y_3^{\pm 1}(\theta, \phi) = \mp \frac{1}{4} \sqrt{\frac{21}{4\pi}} \sin \theta (5 \cos^2 \theta - 1) e^{\pm i\phi}$		
	$Y_3^{\pm 2}(\theta, \phi) = \frac{1}{4} \sqrt{\frac{105}{2\pi}} \sin^2 \theta \cos \theta e^{\pm 2i\phi}$	$Y_3^{\pm 3}(\theta, \phi) = \mp \frac{1}{4} \sqrt{\frac{35}{4\pi}} \sin^3 \theta e^{\pm 3i\phi}$		

<sup>a</sup>Defined for  $-l \leq m \leq l$ , using the sign convention of the Condon–Shortley phase. Other sign conventions are possible.

## Delta functions

Kronecker delta	$\delta_{ij} = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{if } i \neq j \end{cases}$	(2.441)	$\delta_{ij}$ Kronecker delta $i,j,k,\dots$ indices (=1,2 or 3)
	$\delta_{ii} = 3$	(2.442)	
Three-dimensional Levi–Civita symbol (permutation tensor) <sup>a</sup>	$\epsilon_{123} = \epsilon_{231} = \epsilon_{312} = 1$		$\epsilon_{ijk}$ Levi–Civita symbol (see also page 25)
	$\epsilon_{132} = \epsilon_{213} = \epsilon_{321} = -1$	(2.443)	
	all other $\epsilon_{ijk} = 0$		
	$\epsilon_{ijk}\epsilon_{klm} = \delta_{il}\delta_{jm} - \delta_{im}\delta_{jl}$	(2.444)	
	$\delta_{ij}\epsilon_{ijk} = 0$	(2.445)	
	$\epsilon_{ilm}\epsilon_{jlm} = 2\delta_{ij}$	(2.446)	
Dirac delta function	$\epsilon_{ijk}\epsilon_{ijk} = 6$	(2.447)	$\delta(x)$ Dirac delta function $f(x)$ smooth function of $x$ $a,b$ constants
	$\int_a^b \delta(x) dx = \begin{cases} 1 & \text{if } a < 0 < b \\ 0 & \text{otherwise} \end{cases}$	(2.448)	
	$\int_a^b f(x)\delta(x-x_0) dx = f(x_0)$	(2.449)	
	$\delta(x-x_0)f(x) = \delta(x-x_0)f(x_0)$	(2.450)	
	$\delta(-x) = \delta(x)$	(2.451)	
	$\delta(ax) =  a ^{-1}\delta(x) \quad (a \neq 0)$	(2.452)	
	$\delta(x) \simeq n\pi^{-1/2}e^{-n^2x^2} \quad (n \gg 1)$	(2.453)	

<sup>a</sup>The general symbol  $\epsilon_{ijk\dots}$  is defined to be +1 for even permutations of the suffices, −1 for odd permutations, and 0 if a suffix is repeated. The sequence (1,2,3,...,n) is taken to be even. Swapping adjacent suffices an odd (or even) number of times gives an odd (or even) permutation.

## 2.10 Roots of quadratic and cubic equations

### Quadratic equations

Equation	$ax^2 + bx + c = 0 \quad (a \neq 0)$	(2.454)	$x$ variable $a,b,c$ real constants
Solutions	$x_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$	(2.455)	$x_1, x_2$ quadratic roots
	$= \frac{-2c}{b \pm \sqrt{b^2 - 4ac}}$	(2.456)	
Solution combinations	$x_1 + x_2 = -b/a$	(2.457)	
	$x_1 x_2 = c/a$	(2.458)	

## Cubic equations

Equation	$ax^3 + bx^2 + cx + d = 0 \quad (a \neq 0)$	(2.459)	x variable $a, b, c, d$ real constants
	$p = \frac{1}{3} \left( \frac{3c}{a} - \frac{b^2}{a^2} \right)$	(2.460)	
Intermediate definitions	$q = \frac{1}{27} \left( \frac{2b^3}{a^3} - \frac{9bc}{a^2} + \frac{27d}{a} \right)$	(2.461)	$D$ discriminant
	$D = \left( \frac{p}{3} \right)^3 + \left( \frac{q}{2} \right)^2$	(2.462)	
If $D \geq 0$ , also define:			If $D < 0$ , also define:
	$u = \left( \frac{-q}{2} + D^{1/2} \right)^{1/3}$	(2.463)	$\phi = \arccos \left[ \frac{-q}{2} \left( \frac{ p }{3} \right)^{-3/2} \right]$
	$v = \left( \frac{-q}{2} - D^{1/2} \right)^{1/3}$	(2.464)	$y_1 = 2 \left( \frac{ p }{3} \right)^{1/2} \cos \frac{\phi}{3}$
	$y_1 = u + v$	(2.465)	$y_{2,3} = -2 \left( \frac{ p }{3} \right)^{1/2} \cos \frac{\phi \pm \pi}{3}$
	$y_{2,3} = \frac{-(u+v)}{2} \pm i \frac{u-v}{2} 3^{1/2}$	(2.466)	(2.469)
1 real, 2 complex roots (if $D = 0$ : 3 real roots, at least 2 equal)			3 distinct real roots
Solutions <sup>a</sup>	$x_n = y_n - \frac{b}{3a}$	(2.470)	$x_n$ cubic roots ( $n = 1, 2, 3$ )
Solution combinations	$x_1 + x_2 + x_3 = -b/a$	(2.471)	
	$x_1 x_2 + x_1 x_3 + x_2 x_3 = c/a$	(2.472)	
	$x_1 x_2 x_3 = -d/a$	(2.473)	

<sup>a</sup> $y_n$  are solutions to the reduced equation  $y^3 + py + q = 0$ .

## 2.11 Fourier series and transforms

### Fourier series

	$f(x) = \frac{a_0}{2} + \sum_{n=1}^{\infty} \left( a_n \cos \frac{n\pi x}{L} + b_n \sin \frac{n\pi x}{L} \right) \quad (2.474)$	$f(x)$ periodic function, period $2L$
Real form	$a_n = \frac{1}{L} \int_{-L}^L f(x) \cos \frac{n\pi x}{L} dx \quad (2.475)$	$a_n, b_n$ Fourier coefficients
	$b_n = \frac{1}{L} \int_{-L}^L f(x) \sin \frac{n\pi x}{L} dx \quad (2.476)$	
Complex form	$f(x) = \sum_{n=-\infty}^{\infty} c_n \exp \left( \frac{i n \pi x}{L} \right) \quad (2.477)$	$c_n$ complex Fourier coefficient
	$c_n = \frac{1}{2L} \int_{-L}^L f(x) \exp \left( \frac{-i n \pi x}{L} \right) dx \quad (2.478)$	
Parseval's theorem	$\frac{1}{2L} \int_{-L}^L  f(x) ^2 dx = \frac{a_0^2}{4} + \frac{1}{2} \sum_{n=1}^{\infty} (a_n^2 + b_n^2) \quad (2.479)$	modulus
	$= \sum_{n=-\infty}^{\infty}  c_n ^2 \quad (2.480)$	

### Fourier transform<sup>a</sup>

Definition 1	$F(s) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i s x} dx \quad (2.481)$	$f(x)$ function of $x$
	$f(x) = \int_{-\infty}^{\infty} F(s) e^{2\pi i s x} ds \quad (2.482)$	$F(s)$ Fourier transform of $f(x)$
Definition 2	$F(s) = \int_{-\infty}^{\infty} f(x) e^{-i s x} dx \quad (2.483)$	
	$f(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} F(s) e^{i s x} ds \quad (2.484)$	
Definition 3	$F(s) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(x) e^{-i s x} dx \quad (2.485)$	
	$f(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} F(s) e^{i s x} ds \quad (2.486)$	

<sup>a</sup>All three (and more) definitions are used, but definition 1 is probably the best.

## Fourier transform theorems<sup>a</sup>

Convolution	$f(x) * g(x) = \int_{-\infty}^{\infty} f(u)g(x-u) du$	(2.487)	$f, g$ general functions * convolution
Convolution rules	$f * g = g * f$	(2.488)	$f$ $f(x) \rightleftharpoons F(s)$
	$f * (g * h) = (f * g) * h$	(2.489)	$g$ $g(x) \rightleftharpoons G(s)$
Convolution theorem	$f(x)g(x) \rightleftharpoons F(s) * G(s)$	(2.490)	$\rightleftharpoons$ Fourier transform relation
Autocorrelation	$f^*(x) \star f(x) = \int_{-\infty}^{\infty} f^*(u-x)f(u) du$	(2.491)	* correlation
Wiener–Khintchine theorem	$f^*(x) \star f(x) \rightleftharpoons  F(s) ^2$	(2.492)	$f^*$ complex conjugate of $f$
Cross-correlation	$f^*(x) \star g(x) = \int_{-\infty}^{\infty} f^*(u-x)g(u) du$	(2.493)	$h, j$ real functions
Correlation theorem	$h(x) \star j(x) \rightleftharpoons H(s)J^*(s)$	(2.494)	$H$ $H(s) \rightleftharpoons h(x)$
Parseval's relation <sup>b</sup>	$\int_{-\infty}^{\infty} f(x)g^*(x) dx = \int_{-\infty}^{\infty} F(s)G^*(s) ds$	(2.495)	$J$ $J(s) \rightleftharpoons j(x)$
Parseval's theorem <sup>c</sup>	$\int_{-\infty}^{\infty}  f(x) ^2 dx = \int_{-\infty}^{\infty}  F(s) ^2 ds$	(2.496)	
Derivatives	$\frac{df(x)}{dx} \rightleftharpoons 2\pi i s F(s)$	(2.497)	
	$\frac{d}{dx} [f(x) * g(x)] = \frac{df(x)}{dx} * g(x) = \frac{dg(x)}{dx} * f(x)$	(2.498)	

<sup>a</sup>Defining the Fourier transform as  $F(s) = \int_{-\infty}^{\infty} f(x)e^{-2\pi i xs} dx$ .

<sup>b</sup>Also called the “power theorem.”

<sup>c</sup>Also called “Rayleigh’s theorem.”

## Fourier symmetry relationships

$f(x)$	$\rightleftharpoons$	$F(s)$	definitions
even	$\rightleftharpoons$	even	real: $f(x) = f^*(x)$
odd	$\rightleftharpoons$	odd	imaginary: $f(x) = -f^*(x)$
real, even	$\rightleftharpoons$	real, even	even: $f(x) = f(-x)$
real, odd	$\rightleftharpoons$	imaginary, odd	odd: $f(x) = -f(-x)$
imaginary, even	$\rightleftharpoons$	imaginary, even	Hermitian: $f(x) = f^*(-x)$
complex, even	$\rightleftharpoons$	complex, even	anti-Hermitian: $f(x) = -f^*(-x)$
complex, odd	$\rightleftharpoons$	complex, odd	
real, asymmetric	$\rightleftharpoons$	complex, Hermitian	
imaginary, asymmetric	$\rightleftharpoons$	complex, anti-Hermitian	

## Fourier transform pairs<sup>a</sup>

$$f(x) \Leftrightarrow F(s) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i s x} dx \quad (2.499)$$

$$f(ax) \Leftrightarrow \frac{1}{|a|} F(s/a) \quad (a \neq 0, \text{ real}) \quad (2.500)$$

$$f(x-a) \Leftrightarrow e^{-2\pi i a s} F(s) \quad (a \text{ real}) \quad (2.501)$$

$$\frac{d^n}{dx^n} f(x) \Leftrightarrow (2\pi i s)^n F(s) \quad (2.502)$$

$$\delta(x) \Leftrightarrow 1 \quad (2.503)$$

$$\delta(x-a) \Leftrightarrow e^{-2\pi i a s} \quad (2.504)$$

$$e^{-a|x|} \Leftrightarrow \frac{2a}{a^2 + 4\pi^2 s^2} \quad (a > 0) \quad (2.505)$$

$$x e^{-a|x|} \Leftrightarrow \frac{8i\pi a s}{(a^2 + 4\pi^2 s^2)^2} \quad (a > 0) \quad (2.506)$$

$$e^{-x^2/a^2} \Leftrightarrow a\sqrt{\pi} e^{-\pi^2 a^2 s^2} \quad (2.507)$$

$$\sin ax \Leftrightarrow \frac{1}{2i} \left[ \delta\left(s - \frac{a}{2\pi}\right) - \delta\left(s + \frac{a}{2\pi}\right) \right] \quad (2.508)$$

$$\cos ax \Leftrightarrow \frac{1}{2} \left[ \delta\left(s - \frac{a}{2\pi}\right) + \delta\left(s + \frac{a}{2\pi}\right) \right] \quad (2.509)$$

$$\sum_{m=-\infty}^{\infty} \delta(x-ma) \Leftrightarrow \frac{1}{a} \sum_{n=-\infty}^{\infty} \delta\left(s - \frac{n}{a}\right) \quad (2.510)$$

$$f(x) = \begin{cases} 0 & x < 0 \\ 1 & x > 0 \end{cases} \quad (\text{"step"}) \Leftrightarrow \frac{1}{2} \delta(s) - \frac{i}{2\pi s} \quad (2.511)$$

$$f(x) = \begin{cases} 1 & |x| \leq a \\ 0 & |x| > a \end{cases} \quad (\text{"top hat"}) \Leftrightarrow \frac{\sin 2\pi a s}{\pi s} = 2a \operatorname{sinc} 2as \quad (2.512)$$

$$f(x) = \begin{cases} \left(1 - \frac{|x|}{a}\right) & |x| \leq a \\ 0 & |x| > a \end{cases} \quad (\text{"triangle"}) \Leftrightarrow \frac{1}{2\pi^2 a s^2} (1 - \cos 2\pi a s) = a \operatorname{sinc}^2 as \quad (2.513)$$

<sup>a</sup>Equation (2.499) defines the Fourier transform used for these pairs. Note that  $\operatorname{sinc} x \equiv (\sin \pi x)/(\pi x)$ .

## 2.12 Laplace transforms

### Laplace transform theorems

	$\mathcal{L}\{\}$	Laplace transform
Definition <sup>a</sup>	$F(s) = \mathcal{L}\{f(t)\} = \int_0^\infty f(t)e^{-st} dt$	(2.514)
Convolution <sup>b</sup>	$F(s) \cdot G(s) = \mathcal{L}\left\{ \int_0^\infty f(t-z)g(z) dz \right\}$	(2.515)
	$= \mathcal{L}\{f(t) * g(t)\}$	(2.516)
Inverse <sup>c</sup>	$f(t) = \frac{1}{2\pi i} \int_{\gamma-i\infty}^{\gamma+i\infty} e^{st} F(s) ds$	(2.517)
	$= \sum \text{residues} \quad (\text{for } t > 0)$	(2.518)
Transform of derivative	$\mathcal{L}\left\{ \frac{d^n f(t)}{dt^n} \right\} = s^n \mathcal{L}\{f(t)\} - \sum_{r=0}^{n-1} s^{n-r-1} \frac{d^r f(t)}{dt^r} \Big _{t=0}$	(2.519)
Derivative of transform	$\frac{d^n F(s)}{ds^n} = \mathcal{L}\{(-t)^n f(t)\}$	(2.520)
Substitution	$F(s-a) = \mathcal{L}\{e^{at} f(t)\}$	(2.521)
Translation	$e^{-as} F(s) = \mathcal{L}\{u(t-a)f(t-a)\}$	(2.522)
	where $u(t) = \begin{cases} 0 & (t < 0) \\ 1 & (t > 0) \end{cases}$	(2.523)

<sup>a</sup>If  $|e^{-s_0 t} f(t)|$  is finite for sufficiently large  $t$ , the Laplace transform exists for  $s > s_0$ .

<sup>b</sup>Also known as the “faltung (or folding) theorem.”

<sup>c</sup>Also known as the “Bromwich integral.”  $\gamma$  is chosen so that the singularities in  $F(s)$  are left of the integral line.

## Laplace transform pairs

$$f(t) \implies F(s) = \mathcal{L}\{f(t)\} = \int_0^{\infty} f(t)e^{-st} dt \quad (2.524)$$

$$\delta(t) \implies 1 \quad (2.525)$$

$$1 \implies 1/s \quad (s > 0) \quad (2.526)$$

$$t^n \implies \frac{n!}{s^{n+1}} \quad (s > 0, n > -1) \quad (2.527)$$

$$t^{1/2} \implies \sqrt{\frac{\pi}{4s^3}} \quad (2.528)$$

$$t^{-1/2} \implies \sqrt{\frac{\pi}{s}} \quad (2.529)$$

$$e^{at} \implies \frac{1}{s-a} \quad (s > a) \quad (2.530)$$

$$te^{at} \implies \frac{1}{(s-a)^2} \quad (s > a) \quad (2.531)$$

$$(1-at)e^{-at} \implies \frac{s}{(s+a)^2} \quad (2.532)$$

$$t^2 e^{-at} \implies \frac{2}{(s+a)^3} \quad (2.533)$$

$$\sin at \implies \frac{a}{s^2 + a^2} \quad (s > 0) \quad (2.534)$$

$$\cos at \implies \frac{s}{s^2 + a^2} \quad (s > 0) \quad (2.535)$$

$$\sinh at \implies \frac{a}{s^2 - a^2} \quad (s > a) \quad (2.536)$$

$$\cosh at \implies \frac{s}{s^2 - a^2} \quad (s > a) \quad (2.537)$$

$$e^{-bt} \sin at \implies \frac{a}{(s+b)^2 + a^2} \quad (2.538)$$

$$e^{-bt} \cos at \implies \frac{s+b}{(s+b)^2 + a^2} \quad (2.539)$$

$$e^{-at} f(t) \implies F(s+a) \quad (2.540)$$

## 2.13 Probability and statistics

### Discrete statistics

Mean	$\langle x \rangle = \frac{1}{N} \sum_{i=1}^N x_i$	(2.541)	$x_i$	data series
Variance <sup>a</sup>	$\text{var}[x] = \frac{1}{N-1} \sum_{i=1}^N (x_i - \langle x \rangle)^2$	(2.542)	$N$	series length
Standard deviation	$\sigma[x] = (\text{var}[x])^{1/2}$	(2.543)	$\langle \cdot \rangle$	mean value
Skewness	$\text{skew}[x] = \frac{N}{(N-1)(N-2)} \sum_{i=1}^N \left( \frac{x_i - \langle x \rangle}{\sigma} \right)^3$	(2.544)	$\text{var}[\cdot]$	unbiased variance
Kurtosis	$\text{kurt}[x] \simeq \left[ \frac{1}{N} \sum_{i=1}^N \left( \frac{x_i - \langle x \rangle}{\sigma} \right)^4 \right] - 3$	(2.545)	$\sigma$	standard deviation
Correlation coefficient <sup>b</sup>	$r = \frac{\sum_{i=1}^N (x_i - \langle x \rangle)(y_i - \langle y \rangle)}{\sqrt{\sum_{i=1}^N (x_i - \langle x \rangle)^2} \sqrt{\sum_{i=1}^N (y_i - \langle y \rangle)^2}}$	(2.546)	$x, y$	data series to correlate
			$r$	correlation coefficient

<sup>a</sup>If  $\langle x \rangle$  is derived from the data,  $\{x_i\}$ , the relation is as shown. If  $\langle x \rangle$  is known independently, then an unbiased estimate is obtained by dividing the right-hand side by  $N$  rather than  $N-1$ .

<sup>b</sup>Also known as “Pearson’s  $r$ .”

### Discrete probability distributions

distribution	$\text{pr}(x)$	mean	variance	domain	
Binomial	$\binom{n}{x} p^x (1-p)^{n-x}$	$np$	$np(1-p)$	$(x=0,1,\dots,n)$	(2.547) $\binom{n}{x}$ binomial coefficient
Geometric	$(1-p)^{x-1} p$	$1/p$	$(1-p)/p^2$	$(x=1,2,3,\dots)$	(2.548)
Poisson	$\lambda^x \exp(-\lambda)/x!$	$\lambda$	$\lambda$	$(x=0,1,2,\dots)$	(2.549)

## Continuous probability distributions

<i>distribution</i>	$\text{pr}(x)$	<i>mean</i>	<i>variance</i>	<i>domain</i>	
Uniform	$\frac{1}{b-a}$	$\frac{a+b}{2}$	$\frac{(b-a)^2}{12}$	$(a \leq x \leq b)$	(2.550)
Exponential	$\lambda \exp(-\lambda x)$	$1/\lambda$	$1/\lambda^2$	$(x \geq 0)$	(2.551)
Normal/ Gaussian	$\frac{1}{\sigma\sqrt{2\pi}} \exp\left[\frac{-(x-\mu)^2}{2\sigma^2}\right]$	$\mu$	$\sigma^2$	$(-\infty < x < \infty)$	(2.552)
Chi-squared <sup>a</sup>	$\frac{e^{-x/2} x^{(r/2)-1}}{2^{r/2} \Gamma(r/2)}$	$r$	$2r$	$(x \geq 0)$	(2.553)
Rayleigh	$\frac{x}{\sigma^2} \exp\left(\frac{-x^2}{2\sigma^2}\right)$	$\sigma\sqrt{\pi/2}$	$2\sigma^2\left(1 - \frac{\pi}{4}\right)$	$(x \geq 0)$	(2.554)
Cauchy/ Lorentzian	$\frac{a}{\pi(a^2+x^2)}$	(none)	(none)	$(-\infty < x < \infty)$	(2.555)

<sup>a</sup>With  $r$  degrees of freedom.  $\Gamma$  is the gamma function.

## Multivariate normal distribution

Density function	$\text{pr}(\mathbf{x}) = \frac{\exp[-\frac{1}{2}(\mathbf{x}-\boldsymbol{\mu})\mathbf{C}^{-1}(\mathbf{x}-\boldsymbol{\mu})^T]}{(2\pi)^{k/2}[\det(\mathbf{C})]^{1/2}}$	$\text{pr}$ probability density $k$ number of dimensions $\mathbf{C}$ covariance matrix $\mathbf{x}$ variable ( $k$ dimensional) $\boldsymbol{\mu}$ vector of means $T$ transpose $\det$ determinant $\mu_i$ mean of $i$ th variable $\sigma_{ij}$ components of $\mathbf{C}$
Mean	$\boldsymbol{\mu} = (\mu_1, \mu_2, \dots, \mu_k)$	(2.557)
Covariance	$\mathbf{C} = \sigma_{ij} = \langle x_i x_j \rangle - \langle x_i \rangle \langle x_j \rangle$	(2.558)
Correlation coefficient	$r = \frac{\sigma_{ij}}{\sigma_i \sigma_j}$	(2.559)
Box–Muller transformation	$x_1 = (-2 \ln y_1)^{1/2} \cos 2\pi y_2$	(2.560)
	$x_2 = (-2 \ln y_1)^{1/2} \sin 2\pi y_2$	(2.561)
	$y_i$	normally distributed deviates deviates distributed uniformly between 0 and 1

## Random walk

One-dimensional	$\text{pr}(x) = \frac{1}{(2\pi Nl^2)^{1/2}} \exp\left(\frac{-x^2}{2Nl^2}\right)$	(2.562)	x displacement after $N$ steps (can be positive or negative)
rms displacement	$x_{\text{rms}} = N^{1/2}l$	(2.563)	$\text{pr}(x)$ probability density of $x$ ( $\int_{-\infty}^{\infty} \text{pr}(x) dx = 1$ )
Three-dimensional	$\text{pr}(r) = \left(\frac{a}{\pi^{1/2}}\right)^3 \exp(-a^2 r^2)$	(2.564)	$N$ number of steps $l$ step length (all equal)
	where $a = \left(\frac{3}{2Nl^2}\right)^{1/2}$		$x_{\text{rms}}$ root-mean-squared displacement from start point
Mean distance	$\langle r \rangle = \left(\frac{8}{3\pi}\right)^{1/2} N^{1/2}l$	(2.565)	$r$ radial distance from start point
rms distance	$r_{\text{rms}} = N^{1/2}l$	(2.566)	$\text{pr}(r)$ probability density of $r$ ( $\int_0^{\infty} 4\pi r^2 \text{pr}(r) dr = 1$ )
			$a$ (most probable distance) $^{-1}$
			$\langle r \rangle$ mean distance from start point
			$r_{\text{rms}}$ root-mean-squared distance from start point

## Bayesian inference

Conditional probability	$\text{pr}(x) = \int \text{pr}(x y') \text{pr}(y') dy'$	(2.567)	$\text{pr}(x)$ probability (density) of $x$ $\text{pr}(x y')$ conditional probability of $x$ given $y'$
Joint probability	$\text{pr}(x,y) = \text{pr}(x) \text{pr}(y x)$	(2.568)	$\text{pr}(x,y)$ joint probability of $x$ and $y$
Bayes' theorem <sup>a</sup>	$\text{pr}(y x) = \frac{\text{pr}(x y) \text{pr}(y)}{\text{pr}(x)}$	(2.569)	

<sup>a</sup>In this expression,  $\text{pr}(y|x)$  is known as the posterior probability,  $\text{pr}(x|y)$  the likelihood, and  $\text{pr}(y)$  the prior probability.

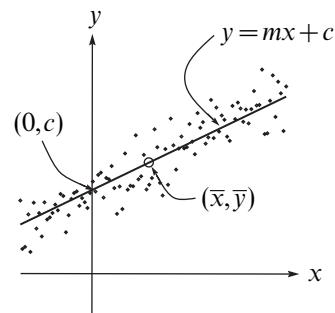
## 2.14 Numerical methods

### Straight-line fitting<sup>a</sup>

Data	$(\{x_i\}, \{y_i\})$	$n$ points	(2.570)
Weights <sup>b</sup>	$\{w_i\}$		(2.571)
Model	$y = mx + c$		(2.572)
Residuals	$d_i = y_i - mx_i - c$		(2.573)
Weighted centre	$(\bar{x}, \bar{y}) = \frac{1}{\sum w_i} (\sum w_i x_i, \sum w_i y_i)$		(2.574)
Weighted moment	$D = \sum w_i (x_i - \bar{x})^2$		(2.575)
Gradient	$m = \frac{1}{D} \sum w_i (x_i - \bar{x}) y_i$		(2.576)
	$\text{var}[m] \simeq \frac{1}{D} \frac{\sum w_i d_i^2}{n-2}$		(2.577)
Intercept	$c = \bar{y} - m \bar{x}$		(2.578)
	$\text{var}[c] \simeq \left( \frac{1}{\sum w_i} + \frac{\bar{x}^2}{D} \right) \frac{\sum w_i d_i^2}{n-2}$		(2.579)

<sup>a</sup>Least-squares fit of data to  $y = mx + c$ . Errors on  $y$ -values only.

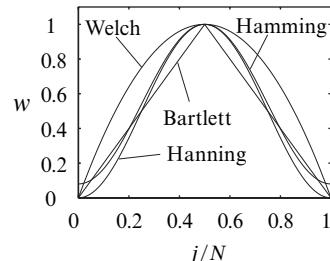
<sup>b</sup>If the errors on  $y_i$  are uncorrelated, then  $w_i = 1/\text{var}[y_i]$ .



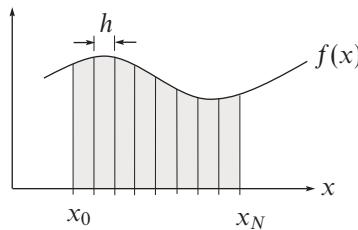
### Time series analysis<sup>a</sup>

Discrete convolution	$(r \star s)_j = \sum_{k=-(M/2)+1}^{M/2} s_{j-k} r_k$	(2.580)	$r_i$ response function $s_i$ time series $M$ response function duration
Bartlett (triangular) window	$w_j = 1 - \left  \frac{j - N/2}{N/2} \right $	(2.581)	$w_j$ windowing function $N$ length of time series
Welch (quadratic) window	$w_j = 1 - \left[ \frac{j - N/2}{N/2} \right]^2$	(2.582)	
Hanning window	$w_j = \frac{1}{2} \left[ 1 - \cos \left( \frac{2\pi j}{N} \right) \right]$	(2.583)	
Hamming window	$w_j = 0.54 - 0.46 \cos \left( \frac{2\pi j}{N} \right)$	(2.584)	

<sup>a</sup>The time series runs from  $j=0 \dots (N-1)$ , and the windowing functions peak at  $j=N/2$ .



## Numerical integration



Trapezoidal rule

$$\int_{x_0}^{x_N} f(x) dx \simeq \frac{h}{2} (f_0 + 2f_1 + 2f_2 + \dots + 2f_{N-1} + f_N) \quad (2.585)$$

$h = (x_N - x_0)/N$   
(subinterval width)  
 $f_i = f(x_i)$   
 $N$  number of subintervals

Simpson's rule<sup>a</sup>

$$\int_{x_0}^{x_N} f(x) dx \simeq \frac{h}{3} (f_0 + 4f_1 + 2f_2 + 4f_3 + \dots + 4f_{N-1} + f_N) \quad (2.586)$$

<sup>a</sup> $N$  must be even. Simpson's rule is exact for quadratics and cubics.

## Numerical differentiation<sup>a</sup>

$$\frac{df}{dx} \simeq \frac{1}{12h} [-f(x+2h) + 8f(x+h) - 8f(x-h) + f(x-2h)] \quad (2.587)$$

$$\sim \frac{1}{2h} [f(x+h) - f(x-h)] \quad (2.588)$$

$$\frac{d^2f}{dx^2} \simeq \frac{1}{12h^2} [-f(x+2h) + 16f(x+h) - 30f(x) + 16f(x-h) - f(x-2h)] \quad (2.589)$$

$$\sim \frac{1}{h^2} [f(x+h) - 2f(x) + f(x-h)] \quad (2.590)$$

$$\frac{d^3f}{dx^3} \sim \frac{1}{2h^3} [f(x+2h) - 2f(x+h) + 2f(x-h) - f(x-2h)] \quad (2.591)$$

<sup>a</sup>Derivatives of  $f(x)$  at  $x$ .  $h$  is a small interval in  $x$ .

Relations containing “ $\simeq$ ” are  $O(h^4)$ ; those containing “ $\sim$ ” are  $O(h^2)$ .

## Numerical solutions to $f(x)=0$

Secant method

$$x_{n+1} = x_n - \frac{x_n - x_{n-1}}{f(x_n) - f(x_{n-1})} f(x_n) \quad (2.592)$$

$f$  function of  $x$   
 $x_n$   $f(x_\infty) = 0$

Newton–Raphson method

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)} \quad (2.593)$$

$f'$   $= df/dx$

## Numerical solutions to ordinary differential equations<sup>a</sup>

	if	$\frac{dy}{dx} = f(x, y)$	(2.594)
Euler's method	and	$h = x_{n+1} - x_n$	(2.595)
	then	$y_{n+1} = y_n + hf(x_n, y_n) + O(h^2)$	(2.596)
	if	$\frac{dy}{dx} = f(x, y)$	(2.597)
	and	$h = x_{n+1} - x_n$	(2.598)
Runge–Kutta method (fourth-order)		$k_1 = hf(x_n, y_n)$	(2.599)
		$k_2 = hf(x_n + h/2, y_n + k_1/2)$	(2.600)
		$k_3 = hf(x_n + h/2, y_n + k_2/2)$	(2.601)
		$k_4 = hf(x_n + h, y_n + k_3)$	(2.602)
	then	$y_{n+1} = y_n + \frac{k_1}{6} + \frac{k_2}{3} + \frac{k_3}{3} + \frac{k_4}{6} + O(h^5)$	(2.603)

<sup>a</sup>Ordinary differential equations (ODEs) of the form  $\frac{dy}{dx} = f(x, y)$ . Higher order equations should be reduced to a set of coupled first-order equations and solved in parallel.

# Chapter 3 Dynamics and mechanics

## 3.1 Introduction

Unusually in physics, there is no pithy phrase that sums up the study of *dynamics* (the way in which forces produce motion), *kinematics* (the motion of matter), *mechanics* (the study of the forces and the motion they produce), and *statics* (the way forces combine to produce equilibrium). We will take the phrase *dynamics and mechanics* to encompass all the above, although it clearly does not!

To some extent this is because the equations governing the motion of matter include some of our oldest insights into the physical world and are consequentially steeped in tradition. One of the more delightful, or for some annoying, facets of this is the occasional use of arcane vocabulary in the description of motion. The epitome must be what Goldstein<sup>1</sup> calls “the jabberwockian sounding statement” *the polhode rolls without slipping on the herpolhode lying in the invariable plane*, describing “Poincaré’s construction” – a method of visualising the free motion of a spinning rigid body. Despite this, dynamics and mechanics, including fluid mechanics, is arguably the most practically applicable of all the branches of physics.

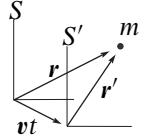
Moreover, and in common with electromagnetism, the study of dynamics and mechanics has spawned a good deal of mathematical apparatus that has found uses in other fields. Most notably, the ideas behind the generalised dynamics of Lagrange and Hamilton lie behind much of quantum mechanics.

<sup>1</sup>H. Goldstein, *Classical Mechanics*, 2nd ed., 1980, Addison-Wesley.

### 3.2 Frames of reference

#### Galilean transformations

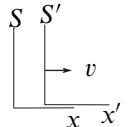
Time and position <sup>a</sup>	$\mathbf{r} = \mathbf{r}' + vt$	(3.1)	$\mathbf{r}, \mathbf{r}'$	position in frames $S$ and $S'$
	$t = t'$	(3.2)	$\mathbf{v}$	velocity of $S'$ in $S$
Velocity	$\mathbf{u} = \mathbf{u}' + \mathbf{v}$	(3.3)	$t, t'$	time in $S$ and $S'$
Momentum	$\mathbf{p} = \mathbf{p}' + m\mathbf{v}$	(3.4)	$\mathbf{u}, \mathbf{u}'$	velocity in frames $S$ and $S'$
Angular momentum	$\mathbf{J} = \mathbf{J}' + mr' \times \mathbf{v} + \mathbf{v} \times \mathbf{p}' t$	(3.5)	$\mathbf{p}, \mathbf{p}'$	particle momentum in frames $S$ and $S'$
Kinetic energy	$T = T' + mu' \cdot v + \frac{1}{2}mv^2$	(3.6)	$m$	particle mass
			$\mathbf{J}, \mathbf{J}'$	angular momentum in frames $S$ and $S'$
			$T, T'$	kinetic energy in frames $S$ and $S'$



<sup>a</sup>Frames coincide at  $t=0$ .

#### Lorentz (spacetime) transformations<sup>a</sup>

Lorentz factor	$\gamma = \left(1 - \frac{v^2}{c^2}\right)^{-1/2}$	(3.7)	$\gamma$	Lorentz factor
Time and position			$v$	velocity of $S'$ in $S$
$x = \gamma(x' + vt')$ ; $x' = \gamma(x - vt)$		(3.8)	$c$	speed of light
$y = y'$ ; $y' = y$		(3.9)	$x, x'$	x-position in frames $S$ and $S'$ (similarly for $y$ and $z$ )
$z = z'$ ; $z' = z$		(3.10)	$t, t'$	time in frames $S$ and $S'$
$t = \gamma \left(t' + \frac{v}{c^2}x'\right)$ ; $t' = \gamma \left(t - \frac{v}{c^2}x\right)$		(3.11)	$X$	spacetime four-vector
Differential four-vector <sup>b</sup>	$dX = (cdt, -dx, -dy, -dz)$	(3.12)		

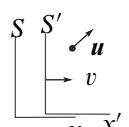


<sup>a</sup>For frames  $S$  and  $S'$  coincident at  $t=0$  in relative motion along  $x$ . See page 141 for the transformations of electromagnetic quantities.

<sup>b</sup>Covariant components, using the  $(1, -1, -1, -1)$  signature.

#### Velocity transformations<sup>a</sup>

Velocity			$\gamma$	Lorentz factor $= [1 - (v/c)^2]^{-1/2}$
$u_x = \frac{u'_x + v}{1 + u'_x v / c^2}$ ; $u'_x = \frac{u_x - v}{1 - u_x v / c^2}$		(3.13)	$v$	velocity of $S'$ in $S$
$u_y = \frac{u'_y}{\gamma(1 + u'_x v / c^2)}$ ; $u'_y = \frac{u_y}{\gamma(1 - u_x v / c^2)}$		(3.14)	$c$	speed of light
$u_z = \frac{u'_z}{\gamma(1 + u'_x v / c^2)}$ ; $u'_z = \frac{u_z}{\gamma(1 - u_x v / c^2)}$		(3.15)	$u_i, u'_i$	particle velocity components in frames $S$ and $S'$



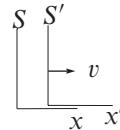
<sup>a</sup>For frames  $S$  and  $S'$  coincident at  $t=0$  in relative motion along  $x$ .

## Momentum and energy transformations<sup>a</sup>

Momentum and energy	$\gamma$	Lorentz factor $= [1 - (v/c)^2]^{-1/2}$
$p_x = \gamma(p'_x + vE'/c^2); \quad p'_x = \gamma(p_x - vE/c^2)$ (3.16)	$v$	velocity of $S'$ in $S$
$p_y = p'_y; \quad p'_y = p_y$ (3.17)	$c$	speed of light
$p_z = p'_z; \quad p'_z = p_z$ (3.18)	$p_x, p'_x$	$x$ components of momentum in $S$ and $S'$ (sim. for $y$ and $z$ )
$E = \gamma(E' + vp'_x); \quad E' = \gamma(E - vp_x)$ (3.19)	$E, E'$	energy in $S$ and $S'$
$E^2 - p^2 c^2 = E'^2 - p'^2 c^2 = m_0^2 c^4$ (3.20)	$m_0$	(rest) mass
$\mathbf{P} = (E/c, -p_x, -p_y, -p_z)$ (3.21)	$p$	total momentum in $S$
	$\mathbf{P}$	momentum four-vector

<sup>a</sup>For frames  $S$  and  $S'$  coincident at  $t=0$  in relative motion along  $x$ .

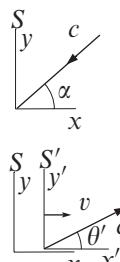
<sup>b</sup>Covariant components, using the  $(1, -1, -1, -1)$  signature.



3

## Propagation of light<sup>a</sup>

Doppler effect	$\frac{v'}{v} = \gamma \left( 1 + \frac{v}{c} \cos \alpha \right)$ (3.22)	$v$	frequency received in $S$
Aberration <sup>b</sup>	$\cos \theta = \frac{\cos \theta' + v/c}{1 + (v/c) \cos \theta'}$ (3.23)	$v'$	frequency emitted in $S'$
	$\cos \theta' = \frac{\cos \theta - v/c}{1 - (v/c) \cos \theta}$ (3.24)	$\alpha$	arrival angle in $S$
Relativistic beaming <sup>c</sup>	$P(\theta) = \frac{\sin \theta}{2\gamma^2 [1 - (v/c) \cos \theta]^2}$ (3.25)	$\gamma$	Lorentz factor $= [1 - (v/c)^2]^{-1/2}$
		$v$	velocity of $S'$ in $S$
		$c$	speed of light
		$\theta, \theta'$	emission angle of light in $S$ and $S'$
		$P(\theta)$	angular distribution of photons in $S$



<sup>a</sup>For frames  $S$  and  $S'$  coincident at  $t=0$  in relative motion along  $x$ .

<sup>b</sup>Light travelling in the opposite sense has a propagation angle of  $\pi + \theta$  radians.

<sup>c</sup>Angular distribution of photons from a source, isotropic and stationary in  $S'$ .  $\int_0^\pi P(\theta) d\theta = 1$ .

## Four-vectors<sup>a</sup>

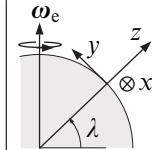
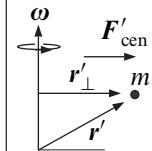
Covariant and contravariant components	$x_0 = x^0 \quad x_1 = -x^1$ $x_2 = -x^2 \quad x_3 = -x^3$	$x_i$	covariant vector components
Scalar product	$x^i y_i = x^0 y_0 + x^1 y_1 + x^2 y_2 + x^3 y_3$	$x^i$	contravariant components
Lorentz transformations		$x^i, x'^i$	four-vector components in frames $S$ and $S'$
$x^0 = \gamma[x'^0 + (v/c)x'^1]; \quad x'^0 = \gamma[x^0 - (v/c)x^1]$ (3.28)		$\gamma$	Lorentz factor $= [1 - (v/c)^2]^{-1/2}$
$x^1 = \gamma[x'^1 + (v/c)x'^0]; \quad x'^1 = \gamma[x^1 - (v/c)x^0]$ (3.29)		$v$	velocity of $S'$ in $S$
$x^2 = x'^2; \quad x'^2 = x^2$ (3.30)		$c$	speed of light

<sup>a</sup>For frames  $S$  and  $S'$ , coincident at  $t=0$  in relative motion along the  $(1)$  direction. Note that the  $(1, -1, -1, -1)$  signature used here is common in special relativity, whereas  $(-1, 1, 1, 1)$  is often used in connection with general relativity (page 67).

## Rotating frames

Vector transformation	$\left[ \frac{d\mathbf{A}}{dt} \right]_S = \left[ \frac{d\mathbf{A}}{dt} \right]_{S'} + \boldsymbol{\omega} \times \mathbf{A}$	(3.31)	$\mathbf{A}$ any vector $S$ stationary frame $S'$ rotating frame $\boldsymbol{\omega}$ angular velocity of $S'$ in $S$ $\dot{\mathbf{v}}, \ddot{\mathbf{v}}$ accelerations in $S$ and $S'$ $\mathbf{v}'$ velocity in $S'$ $\mathbf{r}'$ position in $S'$ $\mathbf{F}'_{\text{cor}}$ coriolis force $m$ particle mass $\mathbf{F}'_{\text{cen}}$ centrifugal force $\mathbf{r}'_{\perp}$ perpendicular to particle from rotation axis
Acceleration	$\ddot{\mathbf{v}} = \ddot{\mathbf{v}}' + 2\boldsymbol{\omega} \times \mathbf{v}' + \boldsymbol{\omega} \times (\boldsymbol{\omega} \times \mathbf{r}')$	(3.32)	
Coriolis force	$\mathbf{F}'_{\text{cor}} = -2m\boldsymbol{\omega} \times \mathbf{v}'$	(3.33)	
Centrifugal force	$\mathbf{F}'_{\text{cen}} = -m\boldsymbol{\omega} \times (\boldsymbol{\omega} \times \mathbf{r}')$	(3.34)	
	$= +m\omega^2 \mathbf{r}'_{\perp}$	(3.35)	
Motion relative to Earth	$m\ddot{x} = F_x + 2m\omega_e(\dot{y}\sin\lambda - \dot{z}\cos\lambda)$ $m\ddot{y} = F_y - 2m\omega_e\dot{x}\sin\lambda$ $m\ddot{z} = F_z - mg + 2m\omega_e\dot{x}\cos\lambda$	(3.36) (3.37) (3.38)	$F_i$ nongravitational force $\lambda$ latitude $z$ local vertical axis $y$ northerly axis $x$ easterly axis $\Omega_f$ pendulum's rate of turn $\omega_e$ Earth's spin rate
Foucault's pendulum <sup>a</sup>	$\Omega_f = -\omega_e \sin\lambda$	(3.39)	

<sup>a</sup>The sign is such as to make the rotation clockwise in the northern hemisphere.

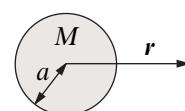


## 3.3 Gravitation

### Newtonian gravitation

Newton's law of gravitation	$\mathbf{F}_1 = \frac{Gm_1m_2}{r_{12}^2} \hat{\mathbf{r}}_{12}$	(3.40)	$m_{1,2}$ masses $\mathbf{F}_1$ force on $m_1$ ( $= -\mathbf{F}_2$ ) $\mathbf{r}_{12}$ vector from $m_1$ to $m_2$ ^ unit vector $G$ constant of gravitation $\mathbf{g}$ gravitational field strength $\phi$ gravitational potential $\rho$ mass density $\mathbf{r}$ vector from sphere centre $M$ mass of sphere $a$ radius of sphere
Newtonian field equations <sup>a</sup>	$\mathbf{g} = -\nabla\phi$	(3.41)	
	$\nabla^2\phi = -\nabla \cdot \mathbf{g} = 4\pi G\rho$	(3.42)	
Fields from an isolated uniform sphere, mass $M$ , $\mathbf{r}$ from the centre	$\mathbf{g}(\mathbf{r}) = \begin{cases} -\frac{GM}{r^2} \hat{\mathbf{r}} & (r > a) \\ -\frac{GM}{a^3} \hat{\mathbf{r}} & (r < a) \end{cases}$	(3.43)	
	$\phi(\mathbf{r}) = \begin{cases} -\frac{GM}{r} & (r > a) \\ \frac{GM}{2a^3}(r^2 - 3a^2) & (r < a) \end{cases}$	(3.44)	

<sup>a</sup>The gravitational force on a mass  $m$  is  $mg$ .



## General relativity<sup>a</sup>

Line element	$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -dt^2$	(3.45)	ds	invariant interval
Christoffel symbols and covariant differentiation	$\Gamma^\alpha_{\beta\gamma} = \frac{1}{2} g^{\alpha\delta} (g_{\delta\beta,\gamma} + g_{\delta\gamma,\beta} - g_{\beta\gamma,\delta})$	(3.46)	dt	proper time interval
	$\phi_{;\gamma} = \phi_{,\gamma} \equiv \partial\phi/\partial x^\gamma$	(3.47)	$g_{\mu\nu}$	metric tensor
	$A^\alpha_{;\gamma} = A^\alpha_{,\gamma} + \Gamma^\alpha_{\beta\gamma} A^\beta$	(3.48)	$dx^\mu$	differential of $x^\mu$
	$B_{\alpha;\gamma} = B_{\alpha,\gamma} - \Gamma^\beta_{\alpha\gamma} B_\beta$	(3.49)	$\Gamma^\alpha_{\beta\gamma}$	Christoffel symbols
Riemann tensor	$R^\alpha_{\beta\gamma\delta} = \Gamma^\alpha_{\mu\gamma} \Gamma^\mu_{\beta\delta} - \Gamma^\alpha_{\mu\delta} \Gamma^\mu_{\beta\gamma} + \Gamma^\alpha_{\beta\delta,\gamma} - \Gamma^\alpha_{\beta\gamma,\delta}$	(3.50)	$;^\alpha$	partial diff. w.r.t. $x^\alpha$
	$B_{\mu;\alpha;\beta} - B_{\mu;\beta;\alpha} = R^\gamma_{\mu\alpha\beta} B_\gamma$	(3.51)	$;\alpha$	covariant diff. w.r.t. $x^\alpha$
	$R_{\alpha\beta\gamma\delta} = -R_{\alpha\beta\delta\gamma}; \quad R_{\beta\alpha\gamma\delta} = -R_{\alpha\beta\gamma\delta}$	(3.52)	$\phi$	scalar
	$R_{\alpha\beta\gamma\delta} + R_{\alpha\delta\beta\gamma} + R_{\alpha\gamma\delta\beta} = 0$	(3.53)	$A^\alpha$	contravariant vector
Geodesic equation	$\frac{Dv^\mu}{D\lambda} = 0$	(3.54)	$B_\alpha$	covariant vector
	where $\frac{DA^\mu}{D\lambda} \equiv \frac{dA^\mu}{d\lambda} + \Gamma^\mu_{\alpha\beta} A^\alpha v^\beta$	(3.55)	$v^\mu$	tangent vector (= $dx^\mu/d\lambda$ )
Geodesic deviation	$\frac{D^2\xi^\mu}{D\lambda^2} = -R^\mu_{\alpha\beta\gamma} v^\alpha \xi^\beta v^\gamma$	(3.56)	$\lambda$	affine parameter (e.g., $\tau$ for material particles)
Ricci tensor	$R_{\alpha\beta} \equiv R^\sigma_{\alpha\sigma\beta} = g^{\sigma\delta} R_{\delta\alpha\sigma\beta} = R_{\beta\alpha}$	(3.57)	$\xi^\mu$	geodesic deviation
Einstein tensor	$G^{\mu\nu} = R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R$	(3.58)	$R_{\alpha\beta}$	Ricci tensor
Einstein's field equations	$G^{\mu\nu} = 8\pi T^{\mu\nu}$	(3.59)	$G^{\mu\nu}$	Einstein tensor
Perfect fluid	$T^{\mu\nu} = (p + \rho) u^\mu u^\nu + p g^{\mu\nu}$	(3.60)	$R$	Ricci scalar (= $g^{\mu\nu} R_{\mu\nu}$ )
Schwarzschild solution (exterior)	$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \left(1 - \frac{2M}{r}\right)^{-1} dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)$	(3.61)	$T^{\mu\nu}$	stress-energy tensor
Kerr solution (outside a spinning black hole)	$ds^2 = -\frac{\Delta - a^2 \sin^2\theta}{\varrho^2} dt^2 - 2a \frac{2Mr \sin^2\theta}{\varrho^2} dt d\phi + \frac{(r^2 + a^2)^2 - a^2 \Delta \sin^2\theta}{\varrho^2} \sin^2\theta d\phi^2 + \frac{\varrho^2}{\Delta} dr^2 + \varrho^2 d\theta^2$	(3.62)	$p$	pressure (in rest frame)
			$\rho$	density (in rest frame)
			$u^\nu$	fluid four-velocity
			$M$	spherically symmetric mass (see page 183)
			$(r, \theta, \phi)$	spherical polar coords.
			$t$	time
			$J$	angular momentum (along $z$ )
			$a$	$\equiv J/M$
			$\Delta$	$\equiv r^2 - 2Mr + a^2$
			$\varrho^2$	$\equiv r^2 + a^2 \cos^2\theta$

<sup>a</sup>General relativity conventionally uses the  $(-1, 1, 1, 1)$  metric signature and “geometrized units” in which  $G = 1$  and  $c = 1$ . Thus,  $1\text{kg} = 7.425 \times 10^{-28} \text{m}$  etc. Contravariant indices are written as superscripts and covariant indices as subscripts. Note also that  $ds^2$  means  $(ds)^2$  etc.

### 3.4 Particle motion

#### Dynamics definitions<sup>a</sup>

Newtonian force	$\mathbf{F} = m\ddot{\mathbf{r}} = \dot{\mathbf{p}}$	(3.63)	$\mathbf{F}$ force
Momentum	$\mathbf{p} = m\dot{\mathbf{r}}$	(3.64)	$m$ mass of particle
Kinetic energy	$T = \frac{1}{2}mv^2$	(3.65)	$\mathbf{r}$ particle position vector
Angular momentum	$\mathbf{J} = \mathbf{r} \times \mathbf{p}$	(3.66)	$\mathbf{p}$ momentum
Couple (or torque)	$\mathbf{G} = \mathbf{r} \times \mathbf{F}$	(3.67)	$T$ kinetic energy
Centre of mass (ensemble of $N$ particles)	$\mathbf{R}_0 = \frac{\sum_{i=1}^N m_i \mathbf{r}_i}{\sum_{i=1}^N m_i}$	(3.68)	$v$ particle velocity
			$\mathbf{J}$ angular momentum
			$\mathbf{G}$ couple
			$\mathbf{R}_0$ position vector of centre of mass
			$m_i$ mass of $i$ th particle
			$\mathbf{r}_i$ position vector of $i$ th particle

<sup>a</sup>In the Newtonian limit,  $v \ll c$ , assuming  $m$  is constant.

#### Relativistic dynamics<sup>a</sup>

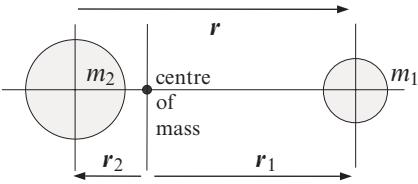
Lorentz factor	$\gamma = \left(1 - \frac{v^2}{c^2}\right)^{-1/2}$	(3.69)	$\gamma$ Lorentz factor
Momentum	$\mathbf{p} = \gamma m_0 \mathbf{v}$	(3.70)	$\mathbf{v}$ particle velocity
Force	$\mathbf{F} = \frac{d\mathbf{p}}{dt}$	(3.71)	$c$ speed of light
Rest energy	$E_r = m_0 c^2$	(3.72)	$\mathbf{p}$ relativistic momentum
Kinetic energy	$T = m_0 c^2 (\gamma - 1)$	(3.73)	$m_0$ particle (rest) mass
Total energy	$E = \gamma m_0 c^2$	(3.74)	$\mathbf{F}$ force on particle
	$= (p^2 c^2 + m_0^2 c^4)^{1/2}$	(3.75)	$t$ time
			$E_r$ particle rest energy
			$T$ relativistic kinetic energy
			$E$ total energy ( $= E_r + T$ )

<sup>a</sup>It is now common to regard mass as a Lorentz invariant property and to drop the term “rest mass.” The symbol  $m_0$  is used here to avoid confusion with the idea of “relativistic mass” ( $= \gamma m_0$ ) used by some authors.

#### Constant acceleration

$v = u + at$	(3.76)	$u$ initial velocity
$v^2 = u^2 + 2as$	(3.77)	$v$ final velocity
$s = ut + \frac{1}{2}at^2$	(3.78)	$t$ time
$s = \frac{u+v}{2}t$	(3.79)	$s$ distance travelled
		$a$ acceleration

## Reduced mass (of two interacting bodies)

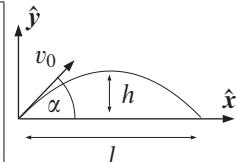
	
Reduced mass	$\mu = \frac{m_1 m_2}{m_1 + m_2}$ (3.80)
Distances from centre of mass	$r_1 = \frac{m_2}{m_1 + m_2} r$ (3.81)
	$r_2 = \frac{-m_1}{m_1 + m_2} r$ (3.82)
Moment of inertia	$I = \mu  \mathbf{r} ^2$ (3.83)
Total angular momentum	$\mathbf{J} = \mu \mathbf{r} \times \dot{\mathbf{r}}$ (3.84)
Lagrangian	$L = \frac{1}{2} \mu  \dot{\mathbf{r}} ^2 - U( \mathbf{r} )$ (3.85)

$\mu$  reduced mass  
 $m_i$  interacting masses  
 $\mathbf{r}_i$  position vectors from centre of mass  
 $\mathbf{r}$   $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$   
 $|\mathbf{r}|$  distance between masses  
 $I$  moment of inertia  
 $\mathbf{J}$  angular momentum  
 $L$  Lagrangian  
 $U$  potential energy of interaction

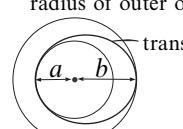
## Ballistics<sup>a</sup>

Velocity	$\mathbf{v} = v_0 \cos \alpha \hat{\mathbf{x}} + (v_0 \sin \alpha - gt) \hat{\mathbf{y}}$ (3.86)	$v_0$ initial velocity
	$v^2 = v_0^2 - 2gy$ (3.87)	$\mathbf{v}$ velocity at t
Trajectory	$y = x \tan \alpha - \frac{gx^2}{2v_0^2 \cos^2 \alpha}$ (3.88)	$\alpha$ elevation angle
Maximum height	$h = \frac{v_0^2}{2g} \sin^2 \alpha$ (3.89)	$g$ gravitational acceleration
Horizontal range	$l = \frac{v_0^2}{g} \sin 2\alpha$ (3.90)	$\hat{\mathbf{x}}$ unit vector
		$t$ time
		$h$ maximum height
		$l$ range

<sup>a</sup>Ignoring the curvature and rotation of the Earth and frictional losses.  $g$  is assumed constant.



## Rocketry

Escape velocity <sup>a</sup>	$v_{\text{esc}} = \left( \frac{2GM}{r} \right)^{1/2}$	(3.91)	$v_{\text{esc}}$	escape velocity
Specific impulse	$I_{\text{sp}} = \frac{u}{g}$	(3.92)	$G$	constant of gravitation
Exhaust velocity (into a vacuum)	$u = \left[ \frac{2\gamma RT_c}{(\gamma - 1)\mu} \right]^{1/2}$	(3.93)	$M$	mass of central body
Rocket equation ( $g=0$ )	$\Delta v = u \ln \left( \frac{M_i}{M_f} \right) \equiv u \ln \mathcal{M}$	(3.94)	$r$	central body radius
Multistage rocket	$\Delta v = \sum_{i=1}^N u_i \ln \mathcal{M}_i$	(3.95)	$I_{\text{sp}}$	specific impulse
In a constant gravitational field	$\Delta v = u \ln \mathcal{M} - gt \cos \theta$	(3.96)	$u$	effective exhaust velocity
Hohmann cotangential transfer <sup>b</sup>	$\Delta v_{ah} = \left( \frac{GM}{r_a} \right)^{1/2} \left[ \left( \frac{2r_b}{r_a + r_b} \right)^{1/2} - 1 \right]$	(3.97)	$g$	acceleration due to gravity
	$\Delta v_{hb} = \left( \frac{GM}{r_b} \right)^{1/2} \left[ 1 - \left( \frac{2r_a}{r_a + r_b} \right)^{1/2} \right]$	(3.98)	$R$	molar gas constant
			$\gamma$	ratio of heat capacities
			$T_c$	combustion temperature
			$\mu$	effective molecular mass of exhaust gas
			$\Delta v$	rocket velocity increment
			$M_i$	pre-burn rocket mass
			$M_f$	post-burn rocket mass
			$\mathcal{M}$	mass ratio
			$N$	number of stages
			$\mathcal{M}_i$	mass ratio for $i$ th burn
			$u_i$	exhaust velocity of $i$ th burn
			$t$	burn time
			$\theta$	rocket zenith angle
			$\Delta v_{ah}$	velocity increment, $a$ to $h$
			$\Delta v_{hb}$	velocity increment, $h$ to $b$
			$r_a$	radius of inner orbit
			$r_b$	radius of outer orbit
			 transfer ellipse, $h$	

<sup>a</sup>From the surface of a spherically symmetric, nonrotating body, mass  $M$ .

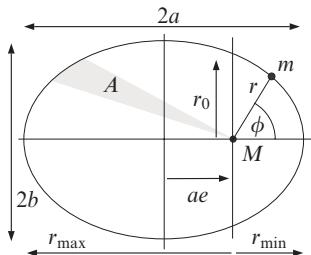
<sup>b</sup>Transfer between coplanar, circular orbits  $a$  and  $b$ , via ellipse  $h$  with a minimal expenditure of energy.

## Gravitationally bound orbital motion<sup>a</sup>

Potential energy of interaction	$U(r) = -\frac{GMm}{r} \equiv -\frac{\alpha}{r}$	(3.99)	$U(r)$ potential energy $G$ constant of gravitation $M$ central mass $m$ orbiting mass ( $\ll M$ ) $\alpha$ $GMm$ (for gravitation) $E$ total energy (constant) $J$ total angular momentum (constant)
Total energy	$E = -\frac{\alpha}{r} + \frac{J^2}{2mr^2} = -\frac{\alpha}{2a}$	(3.100)	
Virial theorem ( $1/r$ potential)	$E = \langle U \rangle / 2 = -\langle T \rangle$	(3.101)	
	$\langle U \rangle = -2\langle T \rangle$	(3.102)	
Orbital equation (Kepler's 1st law)	$\frac{r_0}{r} = 1 + e \cos \phi, \text{ or}$ $r = \frac{a(1-e^2)}{1+e \cos \phi}$	(3.103) (3.104)	$r_0$ semi-latus-rectum $r$ distance of $m$ from $M$ $e$ eccentricity $\phi$ phase (true anomaly)
Rate of sweeping area (Kepler's 2nd law)	$\frac{dA}{dt} = \frac{J}{2m} = \text{constant}$	(3.105)	$A$ area swept out by radius vector (total area = $\pi ab$ )
Semi-major axis	$a = \frac{r_0}{1-e^2} = \frac{\alpha}{2 E }$	(3.106)	$a$ semi-major axis $b$ semi-minor axis
Semi-minor axis	$b = \frac{r_0}{(1-e^2)^{1/2}} = \frac{J}{(2m E )^{1/2}}$	(3.107)	
Eccentricity <sup>b</sup>	$e = \left(1 + \frac{2EJ^2}{m\alpha^2}\right)^{1/2} = \left(1 - \frac{b^2}{a^2}\right)^{1/2}$	(3.108)	
Semi-latus-rectum	$r_0 = \frac{J^2}{m\alpha} = \frac{b^2}{a} = a(1-e^2)$	(3.109)	
Pericentre	$r_{\min} = \frac{r_0}{1+e} = a(1-e)$	(3.110)	
Apocentre	$r_{\max} = \frac{r_0}{1-e} = a(1+e)$	(3.111)	$r_{\min}$ pericentre distance $r_{\max}$ apocentre distance
Speed	$v^2 = GM \left(\frac{2}{r} - \frac{1}{a}\right)$	(3.112)	$v$ orbital speed
Period (Kepler's 3rd law)	$P = \pi \alpha \left(\frac{m}{2 E ^3}\right)^{1/2} = 2\pi a^{3/2} \left(\frac{m}{\alpha}\right)^{1/2}$	(3.113)	$P$ orbital period

<sup>a</sup>For an inverse-square law of attraction between two isolated bodies in the nonrelativistic limit. If  $m$  is not  $\ll M$ , then the equations are valid with the substitutions  $m \rightarrow \mu = Mm/(M+m)$  and  $M \rightarrow (M+m)$  and with  $r$  taken as the body separation. The distance of mass  $m$  from the centre of mass is then  $r\mu/m$  (see earlier table on *Reduced mass*). Other orbital dimensions scale similarly, and the two orbits have the same eccentricity.

<sup>b</sup>Note that if the total energy,  $E$ , is  $< 0$  then  $e < 1$  and the orbit is an ellipse (a circle if  $e=0$ ). If  $E=0$ , then  $e=1$  and the orbit is a parabola. If  $E>0$  then  $e>1$  and the orbit becomes a hyperbola (see *Rutherford scattering* on next page).



## Rutherford scattering<sup>a</sup>

Scattering potential energy	$U(r) = -\frac{\alpha}{r}$ (3.114)	$U(r)$ potential energy
	$\alpha \begin{cases} < 0 & \text{repulsive} \\ > 0 & \text{attractive} \end{cases}$ (3.115)	$r$ particle separation $\alpha$ constant
Scattering angle	$\tan \frac{\chi}{2} = \frac{ \alpha }{2Eb}$ (3.116)	$\chi$ scattering angle $E$ total energy ( $> 0$ ) $b$ impact parameter
Closest approach	$r_{\min} = \frac{ \alpha }{2E} \left( \csc \frac{\chi}{2} - \frac{\alpha}{ \alpha } \right)$ (3.117)	$r_{\min}$ closest approach
	$= a(e \pm 1)$ (3.118)	$a$ hyperbola semi-axis $e$ eccentricity
Semi-axis	$a = \frac{ \alpha }{2E}$ (3.119)	x,y position with respect to hyperbola centre
Eccentricity	$e = \left( \frac{4E^2 b^2}{\alpha^2} + 1 \right)^{1/2} = \csc \frac{\chi}{2}$ (3.120)	
Motion trajectory <sup>b</sup>	$\frac{4E^2}{\alpha^2} x^2 - \frac{y^2}{b^2} = 1$ (3.121)	
Scattering centre <sup>c</sup>	$x = \pm \left( \frac{\alpha^2}{4E^2} + b^2 \right)^{1/2}$ (3.122)	
Rutherford scattering formula <sup>d</sup>	$\frac{d\sigma}{d\Omega} = \frac{1}{n} \frac{dN}{d\Omega}$ (3.123) $= \left( \frac{\alpha}{4E} \right)^2 \csc^4 \frac{\chi}{2}$ (3.124)	$\frac{d\sigma}{d\Omega}$ differential scattering cross section $n$ beam flux density $dN$ number of particles scattered into $d\Omega$ $\Omega$ solid angle

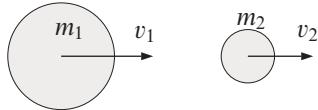
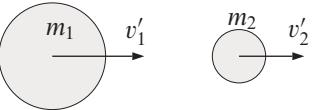
<sup>a</sup>Nonrelativistic treatment for an inverse-square force law and a fixed scattering centre. Similar scattering results from either an attractive or repulsive force. See also *Conic sections* on page 38.

<sup>b</sup>The correct branch can be chosen by inspection.

<sup>c</sup>Also the focal points of the hyperbola.

<sup>d</sup> $n$  is the number of particles per second passing through unit area perpendicular to the beam.

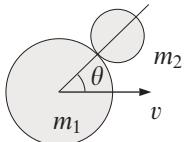
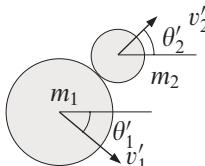
**Inelastic collisions<sup>a</sup>**

		Before collision		After collision	
Coefficient of restitution	$v'_2 - v'_1 = \epsilon(v_1 - v_2)$	(3.125)	$\epsilon$	coefficient of restitution	
	$\epsilon = 1$ if perfectly elastic	(3.126)	$v_i$	pre-collision velocities	
	$\epsilon = 0$ if perfectly inelastic	(3.127)	$v'_i$	post-collision velocities	
Loss of kinetic energy <sup>b</sup>	$\frac{T - T'}{T} = 1 - \epsilon^2$	(3.128)	$T, T'$	total KE in zero momentum frame before and after collision	
Final velocities	$v'_1 = \frac{m_1 - \epsilon m_2}{m_1 + m_2} v_1 + \frac{(1 + \epsilon) m_2}{m_1 + m_2} v_2$	(3.129)	$m_i$	particle masses	
	$v'_2 = \frac{m_2 - \epsilon m_1}{m_1 + m_2} v_2 + \frac{(1 + \epsilon) m_1}{m_1 + m_2} v_1$	(3.130)			

<sup>a</sup>Along the line of centres,  $v_1, v_2 \ll c$ .

<sup>b</sup>In zero momentum frame.

**Oblique elastic collisions<sup>a</sup>**

		Before collision		After collision	
Directions of motion	$\tan \theta'_1 = \frac{m_2 \sin 2\theta}{m_1 - m_2 \cos 2\theta}$	(3.131)	$\theta$	angle between centre line and incident velocity	
	$\theta'_2 = \theta$	(3.132)	$\theta'_i$	final trajectories	
Relative separation angle	$\theta'_1 + \theta'_2 \begin{cases} > \pi/2 & \text{if } m_1 < m_2 \\ = \pi/2 & \text{if } m_1 = m_2 \\ < \pi/2 & \text{if } m_1 > m_2 \end{cases}$	(3.133)	$m_i$	sphere masses	
Final velocities	$v'_1 = \frac{(m_1^2 + m_2^2 - 2m_1 m_2 \cos 2\theta)^{1/2}}{m_1 + m_2} v$	(3.134)	$v$	incident velocity of $m_1$	
	$v'_2 = \frac{2m_1 v}{m_1 + m_2} \cos \theta$	(3.135)	$v'_i$	final velocities	

<sup>a</sup>Collision between two perfectly elastic spheres:  $m_2$  initially at rest, velocities  $\ll c$ .

### 3.5 Rigid body dynamics

#### Moment of inertia tensor

$$\text{Moment of inertia tensor}^a \quad I_{ij} = \int (r^2 \delta_{ij} - x_i x_j) dm \quad (3.136)$$

$$\mathbf{I} = \begin{pmatrix} \int (y^2 + z^2) dm & -\int xy dm & -\int xz dm \\ -\int xy dm & \int (x^2 + z^2) dm & -\int yz dm \\ -\int xz dm & -\int yz dm & \int (x^2 + y^2) dm \end{pmatrix} \quad (3.137)$$

$$\text{Parallel axis theorem} \quad I_{12} = I_{12}^* - ma_1 a_2 \quad (3.138)$$

$$I_{11} = I_{11}^* + m(a_2^2 + a_3^2) \quad (3.139)$$

$$I_{ij} = I_{ij}^* + m(|\mathbf{a}|^2 \delta_{ij} - a_i a_j) \quad (3.140)$$

$$\text{Angular momentum} \quad \mathbf{J} = \mathbf{I}\boldsymbol{\omega} \quad (3.141)$$

$$\text{Rotational kinetic energy} \quad T = \frac{1}{2} \boldsymbol{\omega} \cdot \mathbf{J} = \frac{1}{2} I_{ij} \omega_i \omega_j \quad (3.142)$$

<sup>a</sup> $I_{ii}$  are the moments of inertia of the body.  $I_{ij}$  ( $i \neq j$ ) are its products of inertia. The integrals are over the body volume.

#### Principal axes

$$\text{Principal moment of inertia tensor} \quad \mathbf{I}' = \begin{pmatrix} I_1 & 0 & 0 \\ 0 & I_2 & 0 \\ 0 & 0 & I_3 \end{pmatrix} \quad (3.143)$$

$$\text{Angular momentum} \quad \mathbf{J} = (I_1 \omega_1, I_2 \omega_2, I_3 \omega_3) \quad (3.144)$$

$$\text{Rotational kinetic energy} \quad T = \frac{1}{2} (I_1 \omega_1^2 + I_2 \omega_2^2 + I_3 \omega_3^2) \quad (3.145)$$

$$\text{Moment of inertia ellipsoid}^a \quad T = T(\omega_1, \omega_2, \omega_3) \quad (3.146)$$

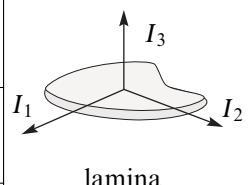
$$J_i = \frac{\partial T}{\partial \omega_i} \quad (\mathbf{J} \text{ is } \perp \text{ ellipsoid surface}) \quad (3.147)$$

$$\text{Perpendicular axis theorem} \quad I_1 + I_2 \begin{cases} \geq I_3 & \text{generally} \\ = I_3 & \text{flat lamina } \perp \text{ to 3-axis} \end{cases} \quad (3.148)$$

$$\begin{aligned} \text{Symmetries} \quad I_1 &\neq I_2 \neq I_3 & \text{asymmetric top} \\ I_1 &= I_2 \neq I_3 & \text{symmetric top} \\ I_1 &= I_2 = I_3 & \text{spherical top} \end{aligned} \quad (3.149)$$

$r$	$r^2 = x^2 + y^2 + z^2$
$\delta_{ij}$	Kronecker delta
$\mathbf{I}$	moment of inertia tensor
$dm$	mass element
$x_i$	position vector of
$dm$	$dm$
$I_{ij}$	components of $\mathbf{I}$
$I_{ij}^*$	tensor with respect to centre of mass
$a_i, \mathbf{a}$	position vector of centre of mass
$m$	mass of body
$\mathbf{J}$	angular momentum
$\boldsymbol{\omega}$	angular velocity
$T$	kinetic energy

$\mathbf{I}'$	principal moment of inertia tensor
$I_i$	principal moments of inertia
$\mathbf{J}$	angular momentum
$\omega_i$	components of $\boldsymbol{\omega}$ along principal axes
$T$	kinetic energy



<sup>a</sup>The ellipsoid is defined by the surface of constant  $T$ .

## Moments of inertia<sup>a</sup>

Thin rod, length $l$	$I_1 = I_2 = \frac{ml^2}{12}$	(3.150)	
	$I_3 \approx 0$	(3.151)	
Solid sphere, radius $r$	$I_1 = I_2 = I_3 = \frac{2}{5}mr^2$	(3.152)	
Spherical shell, radius $r$	$I_1 = I_2 = I_3 = \frac{2}{3}mr^2$	(3.153)	
Solid cylinder, radius $r$ , length $l$	$I_1 = I_2 = \frac{m}{4} \left( r^2 + \frac{l^2}{3} \right)$	(3.154)	
	$I_3 = \frac{1}{2}mr^2$	(3.155)	
Solid cuboid, sides $a, b, c$	$I_1 = m(b^2 + c^2)/12$	(3.156)	
	$I_2 = m(c^2 + a^2)/12$	(3.157)	
	$I_3 = m(a^2 + b^2)/12$	(3.158)	
Solid circular cone, base radius $r$ , height $h$ <sup>b</sup>	$I_1 = I_2 = \frac{3}{20}m \left( r^2 + \frac{h^2}{4} \right)$	(3.159)	
	$I_3 = \frac{3}{10}mr^2$	(3.160)	
Solid ellipsoid, semi-axes $a, b, c$	$I_1 = m(b^2 + c^2)/5$	(3.161)	
	$I_2 = m(c^2 + a^2)/5$	(3.162)	
	$I_3 = m(a^2 + b^2)/5$	(3.163)	
Elliptical lamina, semi-axes $a, b$	$I_1 = mb^2/4$	(3.164)	
	$I_2 = ma^2/4$	(3.165)	
	$I_3 = m(a^2 + b^2)/4$	(3.166)	
Disk, radius $r$	$I_1 = I_2 = mr^2/4$	(3.167)	
	$I_3 = mr^2/2$	(3.168)	
Triangular plate <sup>c</sup>	$I_3 = \frac{m}{36}(a^2 + b^2 + c^2)$	(3.169)	

<sup>a</sup>With respect to principal axes for bodies of mass  $m$  and uniform density. The radius of gyration is defined as  $k = (I/m)^{1/2}$ .

<sup>b</sup>Origin of axes is at the centre of mass ( $h/4$  above the base).

<sup>c</sup>Around an axis through the centre of mass and perpendicular to the plane of the plate.

## Centres of mass

Solid hemisphere, radius $r$	$d = 3r/8$ from sphere centre	(3.170)
Hemispherical shell, radius $r$	$d = r/2$ from sphere centre	(3.171)
Sector of disk, radius $r$ , angle $2\theta$	$d = \frac{2}{3}r \frac{\sin\theta}{\theta}$ from disk centre	(3.172)
Arc of circle, radius $r$ , angle $2\theta$	$d = r \frac{\sin\theta}{\theta}$ from circle centre	(3.173)
Arbitrary triangular lamina, height $h^a$	$d = h/3$ perpendicular from base	(3.174)
Solid cone or pyramid, height $h$	$d = h/4$ perpendicular from base	(3.175)
Spherical cap, height $h$ , sphere radius $r$	solid: $d = \frac{3}{4} \frac{(2r-h)^2}{3r-h}$ from sphere centre shell: $d = r - h/2$ from sphere centre	(3.176) (3.177)
Semi-elliptical lamina, height $h$	$d = \frac{4h}{3\pi}$ from base	(3.178)

<sup>a</sup> $h$  is the perpendicular distance between the base and apex of the triangle.

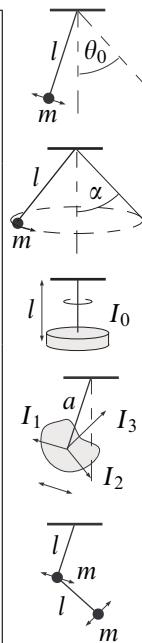
## Pendulums

Simple pendulum	$P = 2\pi \sqrt{\frac{l}{g}} \left( 1 + \frac{\theta_0^2}{16} + \dots \right)$ (3.179)	$P$ period $g$ gravitational acceleration $l$ length $\theta_0$ maximum angular displacement
Conical pendulum	$P = 2\pi \left( \frac{l \cos \alpha}{g} \right)^{1/2}$ (3.180)	$\alpha$ cone half-angle
Torsional pendulum <sup>a</sup>	$P = 2\pi \left( \frac{I_0}{C} \right)^{1/2}$ (3.181)	$I_0$ moment of inertia of bob $C$ torsional rigidity of wire (see page 81)
Compound pendulum <sup>b</sup>	$P \simeq 2\pi \left[ \frac{1}{mga} (ma^2 + I_1 \cos^2 \gamma_1 + I_2 \cos^2 \gamma_2 + I_3 \cos^2 \gamma_3) \right]^{1/2}$ (3.182)	$a$ distance of rotation axis from centre of mass $m$ mass of body $I_i$ principal moments of inertia $\gamma_i$ angles between rotation axis and principal axes
Equal double pendulum <sup>c</sup>	$P \simeq 2\pi \left[ \frac{l}{(2 \pm \sqrt{2})g} \right]^{1/2}$ (3.183)	

<sup>a</sup>Assuming the bob is supported parallel to a principal rotation axis.

<sup>b</sup>I.e., an arbitrary triaxial rigid body.

<sup>c</sup>For very small oscillations (two eigenmodes).



## Tops and gyroscopes

	<p>prolate symmetric top</p>	<p>gyroscope</p>	
Euler's equations <sup>a</sup>	$G_1 = I_1 \dot{\omega}_1 + (I_3 - I_2) \omega_2 \omega_3 \quad (3.184)$ $G_2 = I_2 \dot{\omega}_2 + (I_1 - I_3) \omega_3 \omega_1 \quad (3.185)$ $G_3 = I_3 \dot{\omega}_3 + (I_2 - I_1) \omega_1 \omega_2 \quad (3.186)$	$G_i$ external couple ( $=0$ for free rotation) $I_i$ principal moments of inertia $\omega_i$ angular velocity of rotation	
Free symmetric top <sup>b</sup> ( $I_3 < I_2 = I_1$ )	$\Omega_b = \frac{I_1 - I_3}{I_1} \omega_3 \quad (3.187)$ $\Omega_s = \frac{J}{I_1} \quad (3.188)$	$\Omega_b$ body frequency $\Omega_s$ space frequency $J$ total angular momentum	
Free asymmetric top <sup>c</sup>	$\Omega_b^2 = \frac{(I_1 - I_3)(I_2 - I_3)}{I_1 I_2} \omega_3^2 \quad (3.189)$		
Steady gyroscopic precession	$\Omega_p^2 I'_1 \cos \theta - \Omega_p J_3 + m g a = 0 \quad (3.190)$ $\Omega_p \approx \begin{cases} M g a / J_3 & (\text{slow}) \\ J_3 / (I'_1 \cos \theta) & (\text{fast}) \end{cases} \quad (3.191)$	$\Omega_p$ precession angular velocity $\theta$ angle from vertical $J_3$ angular momentum around symmetry axis $m$ mass $g$ gravitational acceleration $a$ distance of centre of mass from support point $I'_1$ moment of inertia about support point	
Gyroscopic stability	$J_3^2 \geq 4 I'_1 m g a \cos \theta \quad (3.192)$		
Gyroscopic limit (“sleeping top”)	$J_3^2 \gg I'_1 m g a \quad (3.193)$		
Nutation rate	$\Omega_n = J_3 / I'_1 \quad (3.194)$	$\Omega_n$ nutation angular velocity	
Gyroscope released from rest	$\Omega_p = \frac{m g a}{J_3} (1 - \cos \Omega_n t) \quad (3.195)$	$t$ time	

<sup>a</sup>Components are with respect to the principal axes, rotating with the body.

<sup>b</sup>The body frequency is the angular velocity (with respect to principal axes) of  $\omega$  around the 3-axis. The space frequency is the angular velocity of the 3-axis around  $J$ , i.e., the angular velocity at which the body cone moves around the space cone.

<sup>c</sup> $J$  close to 3-axis. If  $\Omega_b^2 < 0$ , the body tumbles.

### 3.6 Oscillating systems

#### Free oscillations

Differential equation	$\frac{d^2x}{dt^2} + 2\gamma \frac{dx}{dt} + \omega_0^2 x = 0$	(3.196)	x oscillating variable t time $\gamma$ damping factor (per unit mass) $\omega_0$ undamped angular frequency A amplitude constant $\phi$ phase constant $\omega$ angular eigenfrequency $A_i$ amplitude constants
Underdamped solution ( $\gamma < \omega_0$ )	$x = A e^{-\gamma t} \cos(\omega t + \phi)$	(3.197)	
	where $\omega = (\omega_0^2 - \gamma^2)^{1/2}$	(3.198)	
Critically damped solution ( $\gamma = \omega_0$ )	$x = e^{-\gamma t}(A_1 + A_2 t)$	(3.199)	
Overdamped solution ( $\gamma > \omega_0$ )	$x = e^{-\gamma t}(A_1 e^{qt} + A_2 e^{-qt})$	(3.200)	
	where $q = (\gamma^2 - \omega_0^2)^{1/2}$	(3.201)	
Logarithmic decrement <sup>a</sup>	$\Delta = \ln \frac{a_n}{a_{n+1}} = \frac{2\pi\gamma}{\omega}$	(3.202)	$\Delta$ logarithmic decrement $a_n$ nth displacement maximum
Quality factor	$Q = \frac{\omega_0}{2\gamma} \quad [\simeq \frac{\pi}{\Delta} \text{ if } Q \gg 1]$	(3.203)	$Q$ quality factor

<sup>a</sup>The decrement is usually the ratio of successive displacement *maxima* but is sometimes taken as the ratio of successive displacement *extrema*, reducing  $\Delta$  by a factor of 2. Logarithms are sometimes taken to base 10, introducing a further factor of  $\log_{10} e$ .

#### Forced oscillations

Differential equation	$\frac{d^2x}{dt^2} + 2\gamma \frac{dx}{dt} + \omega_0^2 x = F_0 e^{i\omega_f t}$	(3.204)	x oscillating variable t time $\gamma$ damping factor (per unit mass) $\omega_0$ undamped angular frequency $F_0$ force amplitude (per unit mass) $\omega_f$ forcing angular frequency A amplitude $\phi$ phase lag of response behind driving force
	$x = A e^{i(\omega_f t - \phi)}$ , where	(3.205)	
Steady-state solution <sup>a</sup>	$A = F_0 [(\omega_0^2 - \omega_f^2)^2 + (2\gamma\omega_f)^2]^{-1/2}$	(3.206)	
	$\simeq \frac{F_0 / (2\omega_0)}{[(\omega_0 - \omega_f)^2 + \gamma^2]^{1/2}} \quad (\gamma \ll \omega_f)$	(3.207)	
	$\tan \phi = \frac{2\gamma\omega_f}{\omega_0^2 - \omega_f^2}$	(3.208)	
Amplitude resonance <sup>b</sup>	$\omega_{ar}^2 = \omega_0^2 - 2\gamma^2$	(3.209)	$\omega_{ar}$ amplitude resonant forcing angular frequency
Velocity resonance <sup>c</sup>	$\omega_{vr} = \omega_0$	(3.210)	$\omega_{vr}$ velocity resonant forcing angular frequency
Quality factor	$Q = \frac{\omega_0}{2\gamma}$	(3.211)	$Q$ quality factor
Impedance	$Z = 2\gamma + i \frac{\omega_f^2 - \omega_0^2}{\omega_f}$	(3.212)	Z impedance (per unit mass)

<sup>a</sup>Excluding the free oscillation terms.

<sup>b</sup>Forcing frequency for maximum displacement.

<sup>c</sup>Forcing frequency for maximum velocity. Note  $\phi = \pi/2$  at this frequency.

## 3.7 Generalised dynamics

### Lagrangian dynamics

Action	$S = \int_{t_1}^{t_2} L(\mathbf{q}, \dot{\mathbf{q}}, t) dt$	(3.213)	$S$ action ( $\delta S = 0$ for the motion)
Euler–Lagrange equation	$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) - \frac{\partial L}{\partial q_i} = 0$	(3.214)	$\mathbf{q}$ generalised coordinates $\dot{\mathbf{q}}$ generalised velocities
Lagrangian of particle in external field	$L = \frac{1}{2}mv^2 - U(\mathbf{r}, t)$ $= T - U$	(3.215) (3.216)	$L$ Lagrangian $t$ time $m$ mass
Relativistic Lagrangian of a charged particle	$L = -\frac{m_0 c^2}{\gamma} - e(\phi - \mathbf{A} \cdot \mathbf{v})$	(3.217)	$\mathbf{v}$ velocity $\mathbf{r}$ position vector $U$ potential energy $T$ kinetic energy
Generalised momenta	$p_i = \frac{\partial L}{\partial \dot{q}_i}$	(3.218)	$m_0$ (rest) mass $\gamma$ Lorentz factor $+e$ positive charge $\phi$ electric potential $\mathbf{A}$ magnetic vector potential $p_i$ generalised momenta

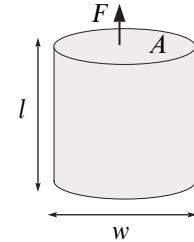
### Hamiltonian dynamics

Hamiltonian	$H = \sum_i p_i \dot{q}_i - L$	(3.219)	$L$ Lagrangian $p_i$ generalised momenta $\dot{q}_i$ generalised velocities
Hamilton's equations	$\dot{q}_i = \frac{\partial H}{\partial p_i}; \quad \dot{p}_i = -\frac{\partial H}{\partial q_i}$	(3.220)	$H$ Hamiltonian $q_i$ generalised coordinates
Hamiltonian of particle in external field	$H = \frac{1}{2}mv^2 + U(\mathbf{r}, t)$ $= T + U$	(3.221) (3.222)	$v$ particle speed $\mathbf{r}$ position vector $U$ potential energy $T$ kinetic energy
Relativistic Hamiltonian of a charged particle	$H = (m_0^2 c^4 +  \mathbf{p} - e\mathbf{A} ^2 c^2)^{1/2} + e\phi$	(3.223)	$m_0$ (rest) mass $c$ speed of light $+e$ positive charge $\phi$ electric potential $\mathbf{A}$ vector potential
Poisson brackets	$[f, g] = \sum_i \left( \frac{\partial f}{\partial q_i} \frac{\partial g}{\partial p_i} - \frac{\partial f}{\partial p_i} \frac{\partial g}{\partial q_i} \right)$ $[q_i, g] = \frac{\partial g}{\partial p_i}, \quad [p_i, g] = -\frac{\partial g}{\partial q_i}$ $[H, g] = 0 \quad \text{if} \quad \frac{\partial g}{\partial t} = 0, \quad \frac{dg}{dt} = 0$	(3.224) (3.225) (3.226)	$\mathbf{p}$ particle momentum $t$ time $f, g$ arbitrary functions $[\cdot, \cdot]$ Poisson bracket (also see Commutators on page 26)
Hamilton–Jacobi equation	$\frac{\partial S}{\partial t} + H \left( q_i, \frac{\partial S}{\partial q_i}, t \right) = 0$	(3.227)	$S$ action

### 3.8 Elasticity

#### Elasticity definitions (simple)<sup>a</sup>

Stress	$\tau = F/A$	(3.228)	$\tau$ stress $F$ applied force $A$ cross-sectional area $e$ strain $\delta l$ change in length $l$ length
Strain	$e = \delta l/l$	(3.229)	
Young modulus (Hooke's law)	$E = \tau/e = \text{constant}$	(3.230)	$E$ Young modulus
Poisson ratio <sup>b</sup>	$\sigma = -\frac{\delta w/w}{\delta l/l}$	(3.231)	$\sigma$ Poisson ratio $\delta w$ change in width $w$ width



<sup>a</sup>These apply to a thin wire under longitudinal stress.

<sup>b</sup>Solids obeying Hooke's law are restricted by thermodynamics to  $-1 \leq \sigma \leq 1/2$ , but none are known with  $\sigma < 0$ . Non-Hookean materials can show  $\sigma > 1/2$ .

#### Elasticity definitions (general)

Stress tensor <sup>a</sup>	$\tau_{ij} = \frac{\text{force } \parallel i \text{ direction}}{\text{area } \perp j \text{ direction}}$	(3.232)	$\tau_{ij}$ stress tensor ( $\tau_{ij} = \tau_{ji}$ )
Strain tensor	$e_{kl} = \frac{1}{2} \left( \frac{\partial u_k}{\partial x_l} + \frac{\partial u_l}{\partial x_k} \right)$	(3.233)	$e_{kl}$ strain tensor ( $e_{kl} = e_{lk}$ ) $u_k$ displacement $\parallel$ to $x_k$ $x_k$ coordinate system
Elastic modulus	$\tau_{ij} = \lambda_{ijkl} e_{kl}$	(3.234)	$\lambda_{ijkl}$ elastic modulus
Elastic energy <sup>b</sup>	$U = \frac{1}{2} \lambda_{ijkl} e_{ij} e_{kl}$	(3.235)	$U$ potential energy
Volume strain (dilatation)	$e_v = \frac{\delta V}{V} = e_{11} + e_{22} + e_{33}$	(3.236)	$e_v$ volume strain $\delta V$ change in volume $V$ volume
Shear strain	$e_{kl} = \underbrace{(e_{kl} - \frac{1}{3} e_v \delta_{kl})}_{\text{pure shear}} + \underbrace{\frac{1}{3} e_v \delta_{kl}}_{\text{dilatation}}$	(3.237)	$\delta_{kl}$ Kronecker delta
Hydrostatic compression	$\tau_{ij} = -p \delta_{ij}$	(3.238)	$p$ hydrostatic pressure

<sup>a</sup> $\tau_{ii}$  are normal stresses,  $\tau_{ij}$  ( $i \neq j$ ) are torsional stresses.

<sup>b</sup>As usual, products are implicitly summed over repeated indices.

## Isotropic elastic solids

Lamé coefficients	$\mu = \frac{E}{2(1+\sigma)}$	(3.239)	$\mu, \lambda$ Lamé coefficients $E$ Young modulus $\sigma$ Poisson ratio
	$\lambda = \frac{E\sigma}{(1+\sigma)(1-2\sigma)}$	(3.240)	
Longitudinal modulus <sup>a</sup>	$M_l = \frac{E(1-\sigma)}{(1+\sigma)(1-2\sigma)} = \lambda + 2\mu$	(3.241)	$M_l$ longitudinal elastic modulus
Diagonalised equations <sup>b</sup>	$e_{ii} = \frac{1}{E} [\tau_{ii} - \sigma(\tau_{jj} + \tau_{kk})]$	(3.242)	$e_{ii}$ strain in $i$ direction $\tau_{ii}$ stress in $i$ direction
	$\tau_{ii} = M_l \left[ e_{ii} + \frac{\sigma}{1-\sigma} (e_{jj} + e_{kk}) \right]$	(3.243)	$\mathbf{e}$ strain tensor $\mathbf{\tau}$ stress tensor
	$\mathbf{t} = 2\mu\mathbf{e} + \lambda\mathbf{1}\text{tr}(\mathbf{e})$	(3.244)	$\mathbf{1}$ unit matrix $\text{tr}(\cdot)$ trace
Bulk modulus (compression modulus)	$K = \frac{E}{3(1-2\sigma)} = \lambda + \frac{2}{3}\mu$	(3.245)	$K$ bulk modulus $K_T$ isothermal bulk modulus
	$\frac{1}{K_T} = -\frac{1}{V} \frac{\partial V}{\partial p} \Big _T$	(3.246)	$V$ volume $p$ pressure
	$-p = K e_v$	(3.247)	$T$ temperature
Shear modulus (rigidity modulus)	$\mu = \frac{E}{2(1+\sigma)}$	(3.248)	$e_v$ volume strain $\mu$ shear modulus
	$\tau_T = \mu \theta_{sh}$	(3.249)	$\tau_T$ transverse stress $\theta_{sh}$ shear strain
Young modulus	$E = \frac{9\mu K}{\mu + 3K}$	(3.250)	
Poisson ratio	$\sigma = \frac{3K - 2\mu}{2(3K + \mu)}$	(3.251)	

<sup>a</sup>In an extended medium.

<sup>b</sup>Axes aligned along eigenvectors of the stress and strain tensors.

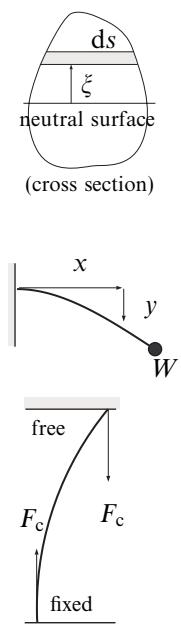
## Torsion

Torsional rigidity (for a homogeneous rod)	$G = C \frac{\phi}{l}$	(3.252)	$G$ twisting couple $C$ torsional rigidity $l$ rod length $\phi$ twist angle in length $l$ $a$ radius $t$ wall thickness $\mu$ shear modulus
Thin circular cylinder	$C = 2\pi a^3 \mu t$	(3.253)	$a_1$ inner radius $a_2$ outer radius
Thick circular cylinder	$C = \frac{1}{2} \mu \pi (a_2^4 - a_1^4)$	(3.254)	$A$ cross-sectional area $P$ perimeter
Arbitrary thin-walled tube	$C = \frac{4A^2 \mu t}{P}$	(3.255)	$w$ cross-sectional width
Long flat ribbon	$C = \frac{1}{3} \mu w t^3$	(3.256)	

## Bending beams<sup>a</sup>

Bending moment	$G_b = \frac{E}{R_c} \int \xi^2 ds$ (3.257)	$G_b$ bending moment $E$ Young modulus $R_c$ radius of curvature $ds$ area element $\xi$ distance to neutral surface from $ds$ $I$ moment of area $y$ displacement from horizontal $W$ end-weight $l$ beam length $x$ distance along beam $w$ beam weight per unit length
Light beam, horizontal at $x=0$ , weight at $x=l$	$y = \frac{W}{2EI} \left( l - \frac{x}{3} \right) x^2$ (3.259)	
Heavy beam	$EI \frac{d^4 y}{dx^4} = w(x)$ (3.260)	
Euler strut failure	$F_c = \begin{cases} \pi^2 EI / l^2 & (\text{free ends}) \\ 4\pi^2 EI / l^2 & (\text{fixed ends}) \\ \pi^2 EI / (4l^2) & (1 \text{ free end}) \end{cases}$ (3.261)	$F_c$ critical compression force $l$ strut length

<sup>a</sup>The radius of curvature is approximated by  $1/R_c \simeq d^2y/dx^2$ .



## Elastic wave velocities<sup>a</sup>

In an infinite isotropic solid <sup>b</sup>	$v_t = (\mu/\rho)^{1/2}$ (3.262)	$v_t$ speed of transverse wave $v_l$ speed of longitudinal wave $\mu$ shear modulus $\rho$ density $M_l$ longitudinal modulus $(= \frac{E(1-\sigma)}{(1+\sigma)(1-2\sigma)})$
In a fluid	$v_l = (K/\rho)^{1/2}$ (3.265)	$K$ bulk modulus
On a thin plate (wave travelling along x, plate thin in z)		$v_l^{(i)}$ speed of longitudinal wave (displacement $\parallel i$ )
		$v_t^{(i)} = \left[ \frac{E}{\rho(1-\sigma^2)} \right]^{1/2}$ (3.266)
$v_t^{(y)} = (\mu/\rho)^{1/2}$ (3.267)		$v_t^{(i)}$ speed of transverse wave (displacement $\parallel i$ )
$v_t^{(z)} = k \left[ \frac{Et^2}{12\rho(1-\sigma^2)} \right]^{1/2}$ (3.268)		$E$ Young modulus $\sigma$ Poisson ratio $k$ wavenumber ( $= 2\pi/\lambda$ ) $t$ plate thickness (in $z$ , $t \ll \lambda$ )
In a thin circular rod	$v_l = (E/\rho)^{1/2}$ (3.269)	
	$v_\phi = (\mu/\rho)^{1/2}$ (3.270)	$v_\phi$ torsional wave velocity
	$v_t = \frac{ka}{2} \left( \frac{E}{\rho} \right)^{1/2}$ (3.271)	$a$ rod radius ( $\ll \lambda$ )

<sup>a</sup>Waves that produce “bending” are generally dispersive. Wave (phase) speeds are quoted throughout.

<sup>b</sup>Transverse waves are also known as shear waves, or S-waves. Longitudinal waves are also known as pressure waves, or P-waves.

## Waves in strings and springs<sup>a</sup>

In a spring	$v_l = (\kappa l / \rho_l)^{1/2}$	(3.272)	$v_l$ speed of longitudinal wave $\kappa$ spring constant <sup>b</sup> $l$ spring length $\rho_l$ mass per unit length <sup>c</sup>
On a stretched string	$v_t = (T / \rho_l)^{1/2}$	(3.273)	$v_t$ speed of transverse wave $T$ tension
On a stretched sheet	$v_t = (\tau / \rho_A)^{1/2}$	(3.274)	$\tau$ tension per unit width $\rho_A$ mass per unit area

<sup>a</sup>Wave amplitude assumed  $\ll$  wavelength.

<sup>b</sup>In the sense  $\kappa$ =force/extension.

<sup>c</sup>Measured along the axis of the spring.

## Propagation of elastic waves

Acoustic impedance	$Z = \frac{\text{force}}{\text{response velocity}} = \frac{F}{\dot{u}}$	(3.275)	$Z$ impedance $F$ stress force $u$ strain displacement
	$= (E' \rho)^{1/2}$	(3.276)	
Wave velocity/ impedance relation	if $v = \left( \frac{E'}{\rho} \right)^{1/2}$	(3.277)	$E'$ elastic modulus $\rho$ density $v$ wave phase velocity
	then $Z = (E' \rho)^{1/2} = \rho v$	(3.278)	
Mean energy density (nondispersive waves)	$\mathcal{U} = \frac{1}{2} E' k^2 u_0^2$	(3.279)	$\mathcal{U}$ energy density $k$ wavenumber
	$= \frac{1}{2} \rho \omega^2 u_0^2$	(3.280)	$\omega$ angular frequency $u_0$ maximum displacement
	$P = \mathcal{U} v$	(3.281)	$P$ mean energy flux
Normal coefficients <sup>a</sup>	$r = \frac{u_r}{u_i} = -\frac{\tau_r}{\tau_i} = \frac{Z_1 - Z_2}{Z_1 + Z_2}$	(3.282)	$r$ reflection coefficient $t$ transmission coefficient $\tau$ stress
	$t = \frac{2Z_1}{Z_1 + Z_2}$	(3.283)	
Snell's law <sup>b</sup>	$\frac{\sin \theta_i}{v_i} = \frac{\sin \theta_r}{v_r} = \frac{\sin \theta_t}{v_t}$	(3.284)	$\theta_i$ angle of incidence $\theta_r$ angle of reflection $\theta_t$ angle of refraction

<sup>a</sup>For stress and strain amplitudes. Because these reflection and transmission coefficients are usually defined in terms of displacement,  $u$ , rather than stress, there are differences between these coefficients and their equivalents defined in electromagnetism [see Equation (7.179) and page 154].

<sup>b</sup>Angles defined from the normal to the interface. An incident plane pressure wave will generally excite both shear and pressure waves in reflection and transmission. Use the velocity appropriate for the wave type.

### 3.9 Fluid dynamics

#### Ideal fluids<sup>a</sup>

Continuity <sup>b</sup>	$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0$	(3.285)	$\rho$ density
Kelvin circulation	$\Gamma = \oint \mathbf{v} \cdot d\mathbf{l} = \text{constant}$	(3.286)	$\mathbf{v}$ fluid velocity field
	$= \int_S \boldsymbol{\omega} \cdot d\mathbf{s}$	(3.287)	$t$ time
Euler's equation <sup>c</sup>	$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{\nabla p}{\rho} + \mathbf{g}$	(3.288)	$\Gamma$ circulation
	or $\frac{\partial}{\partial t}(\nabla \times \mathbf{v}) = \nabla \times [\mathbf{v} \times (\nabla \times \mathbf{v})]$	(3.289)	$d\mathbf{l}$ loop element
Bernoulli's equation (incompressible flow)	$\frac{1}{2} \rho v^2 + p + \rho g z = \text{constant}$	(3.290)	$ds$ element of surface bounded by loop
Bernoulli's equation (compressible adiabatic flow) <sup>d</sup>	$\frac{1}{2} v^2 + \frac{\gamma}{\gamma-1} \frac{p}{\rho} + g z = \text{constant}$	(3.291)	$\boldsymbol{\omega}$ vorticity ( $= \nabla \times \mathbf{v}$ )
	$= \frac{1}{2} v^2 + c_p T + g z$	(3.292)	$p$ pressure
Hydrostatics	$\nabla p = \rho \mathbf{g}$	(3.293)	$\mathbf{g}$ gravitational field strength
Adiabatic lapse rate (ideal gas)	$\frac{dT}{dz} = -\frac{g}{c_p}$	(3.294)	$(\mathbf{v} \cdot \nabla)$ advective operator
			$z$ altitude
			$\gamma$ ratio of specific heat capacities ( $c_p/c_V$ )
			$c_p$ specific heat capacity at constant pressure
			$T$ temperature

<sup>a</sup>No thermal conductivity or viscosity.

<sup>b</sup>True generally.

<sup>c</sup>The second form of Euler's equation applies to incompressible flow only.

<sup>d</sup>Equation (3.292) is true only for an ideal gas.

#### Potential flow<sup>a</sup>

Velocity potential	$\mathbf{v} = \nabla \phi$	(3.295)	$\mathbf{v}$ velocity
	$\nabla^2 \phi = 0$	(3.296)	$\phi$ velocity potential
Vorticity condition	$\boldsymbol{\omega} = \nabla \times \mathbf{v} = 0$	(3.297)	$\boldsymbol{\omega}$ vorticity
Drag force on a sphere <sup>b</sup>	$\mathbf{F} = -\frac{2}{3} \pi \rho a^3 \dot{\mathbf{u}} = -\frac{1}{2} M_d \ddot{\mathbf{u}}$	(3.298)	$F$ drag force on moving sphere
			$a$ sphere radius
			$\dot{\mathbf{u}}$ sphere acceleration
			$\rho$ fluid density
			$M_d$ displaced fluid mass

<sup>a</sup>For incompressible fluids.

<sup>b</sup>The effect of this drag force is to give the sphere an additional effective mass equal to half the mass of fluid displaced.

## Viscous flow (incompressible)<sup>a</sup>

Fluid stress	$\tau_{ij} = -p\delta_{ij} + \eta \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right)$	(3.299)	$\tau_{ij}$ fluid stress tensor $p$ hydrostatic pressure $\eta$ shear viscosity $v_i$ velocity along $i$ axis $\delta_{ij}$ Kronecker delta
Navier–Stokes equation <sup>b</sup>	$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{\nabla p}{\rho} - \frac{\eta}{\rho} \nabla \times \boldsymbol{\omega} + \mathbf{g}$	(3.300)	$\mathbf{v}$ fluid velocity field $\boldsymbol{\omega}$ vorticity $\mathbf{g}$ gravitational acceleration
Kinematic viscosity	$v = \eta / \rho$	(3.302)	$\rho$ density $v$ kinematic viscosity

<sup>a</sup>I.e.,  $\nabla \cdot \mathbf{v} = 0$ ,  $\eta \neq 0$ .

<sup>b</sup>Neglecting bulk (second) viscosity.

## Laminar viscous flow

Between parallel plates	$v_z(y) = \frac{1}{2\eta} y(h-y) \frac{\partial p}{\partial z}$	(3.303)	$v_z$ flow velocity $z$ direction of flow $y$ distance from plate $\eta$ shear viscosity $p$ pressure
Along a circular pipe <sup>a</sup>	$v_z(r) = \frac{1}{4\eta} (a^2 - r^2) \frac{\partial p}{\partial z}$	(3.304)	$r$ distance from pipe axis $a$ pipe radius
	$Q = \frac{dV}{dt} = \frac{\pi a^4}{8\eta} \frac{\partial p}{\partial z}$	(3.305)	$V$ volume
Circulating between concentric rotating cylinders <sup>b</sup>	$G_z = \frac{4\pi\eta a_1^2 a_2^2}{a_2^2 - a_1^2} (\omega_2 - \omega_1)$	(3.306)	$G_z$ axial couple between cylinders per unit length $\omega_i$ angular velocity of $i$ th cylinder
Along an annular pipe	$Q = \frac{\pi}{8\eta} \frac{\partial p}{\partial z} \left[ a_2^4 - a_1^4 - \frac{(a_2^2 - a_1^2)^2}{\ln(a_2/a_1)} \right]$	(3.307)	$a_1$ inner radius $a_2$ outer radius $Q$ volume discharge rate

<sup>a</sup>Poiseuille flow.

<sup>b</sup>Couette flow.

## Drag<sup>a</sup>

On a sphere (Stokes's law)	$F = 6\pi a \eta v$	(3.308)	$F$ drag force $a$ radius
On a disk, broadside to flow	$F = 16a\eta v$	(3.309)	$v$ velocity
On a disk, edge on to flow	$F = 32a\eta v/3$	(3.310)	$\eta$ shear viscosity

<sup>a</sup>For Reynolds numbers  $\ll 1$ .

## Characteristic numbers

Reynolds number	$\text{Re} = \frac{\rho UL}{\eta} = \frac{\text{inertial force}}{\text{viscous force}}$	(3.311)	$\text{Re}$ Reynolds number $\rho$ density $U$ characteristic velocity $L$ characteristic scale-length $\eta$ shear viscosity
Froude number <sup>a</sup>	$F = \frac{U^2}{Lg} = \frac{\text{inertial force}}{\text{gravitational force}}$	(3.312)	$F$ Froude number $g$ gravitational acceleration
Strouhal number <sup>b</sup>	$S = \frac{U\tau}{L} = \frac{\text{evolution scale}}{\text{physical scale}}$	(3.313)	$S$ Strouhal number $\tau$ characteristic timescale
Prandtl number	$P = \frac{\eta c_p}{\lambda} = \frac{\text{momentum transport}}{\text{heat transport}}$	(3.314)	$P$ Prandtl number $c_p$ Specific heat capacity at constant pressure $\lambda$ thermal conductivity
Mach number	$M = \frac{U}{c} = \frac{\text{speed}}{\text{sound speed}}$	(3.315)	$M$ Mach number $c$ sound speed
Rossby number	$\text{Ro} = \frac{U}{\Omega L} = \frac{\text{inertial force}}{\text{Coriolis force}}$	(3.316)	$\text{Ro}$ Rossby number $\Omega$ angular velocity

<sup>a</sup>Sometimes the square root of this expression.  $L$  is usually the fluid depth.

<sup>b</sup>Sometimes the reciprocal of this expression.

## Fluid waves

Sound waves	$v_p = \left( \frac{K}{\rho} \right)^{1/2} = \left( \frac{dp}{d\rho} \right)^{1/2}$	(3.317)	$v_p$ wave (phase) speed $K$ bulk modulus $p$ pressure $\rho$ density $\gamma$ ratio of heat capacities $R$ molar gas constant $T$ (absolute) temperature $\mu$ mean molecular mass $v_g$ group speed of wave $h$ liquid depth $\lambda$ wavelength $k$ wavenumber $g$ gravitational acceleration $\omega$ angular frequency $\sigma$ surface tension
In an ideal gas (adiabatic conditions) <sup>a</sup>	$v_p = \left( \frac{\gamma RT}{\mu} \right)^{1/2} = \left( \frac{\gamma p}{\rho} \right)^{1/2}$	(3.318)	
Gravity waves on a liquid surface <sup>b</sup>	$\omega^2 = gk \tanh kh$	(3.319)	
	$v_g \simeq \begin{cases} \frac{1}{2} \left( \frac{g}{k} \right)^{1/2} & (h \gg \lambda) \\ (gh)^{1/2} & (h \ll \lambda) \end{cases}$	(3.320)	
Capillary waves (ripples) <sup>c</sup>	$\omega^2 = \frac{\sigma k^3}{\rho}$	(3.321)	
Capillary-gravity waves ( $h \gg \lambda$ )	$\omega^2 = gk + \frac{\sigma k^3}{\rho}$	(3.322)	

<sup>a</sup>If the waves are isothermal rather than adiabatic then  $v_p = (p/\rho)^{1/2}$ .

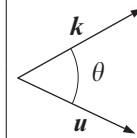
<sup>b</sup>Amplitude  $\ll$  wavelength.

<sup>c</sup>In the limit  $k^2 \gg g\rho/\sigma$ .

## Doppler effect<sup>a</sup>

Source at rest, observer moving at $u$	$\frac{v'}{v} = 1 - \frac{ \mathbf{u} }{v_p} \cos\theta$	(3.323)	$v', v''$ observed frequency $v$ emitted frequency $v_p$ wave (phase) speed in fluid
Observer at rest, source moving at $u$	$\frac{v''}{v} = \frac{1}{1 - \frac{ \mathbf{u} }{v_p} \cos\theta}$	(3.324)	$\mathbf{u}$ velocity $\theta$ angle between wavevector, $\mathbf{k}$ , and $\mathbf{u}$

<sup>a</sup>For plane waves in a stationary fluid.



3

## Wave speeds

Phase speed	$v_p = \frac{\omega}{k} = v\lambda$	(3.325)	$v_p$ phase speed $v$ frequency $\omega$ angular frequency ( $= 2\pi\nu$ ) $\lambda$ wavelength $k$ wavenumber ( $= 2\pi/\lambda$ )
Group speed	$v_g = \frac{d\omega}{dk}$	(3.326)	$v_g$ group speed
	$= v_p - \lambda \frac{dv_p}{d\lambda}$	(3.327)	

## Shocks

Mach wedge <sup>a</sup>	$\sin\theta_w = \frac{v_p}{v_b}$	(3.328)	$\theta_w$ wedge semi-angle $v_p$ wave (phase) speed $v_b$ body speed
Kelvin wedge <sup>b</sup>	$\lambda_K = \frac{4\pi v_b^2}{3g}$	(3.329)	$\lambda_K$ characteristic wavelength $g$ gravitational acceleration
	$\theta_w = \arcsin(1/3) = 19^\circ.5$	(3.330)	
Spherical adiabatic shock <sup>c</sup>	$r \simeq \left(\frac{Et^2}{\rho_0}\right)^{1/5}$	(3.331)	$r$ shock radius $E$ energy release $t$ time $\rho_0$ density of undisturbed medium
Rankine–Hugoniot shock relations <sup>d</sup>	$\frac{p_2}{p_1} = \frac{2\gamma M_1^2 - (\gamma - 1)}{\gamma + 1}$	(3.332)	1 upstream values 2 downstream values $p$ pressure $v$ velocity $T$ temperature $\rho$ density $\gamma$ ratio of specific heats $M$ Mach number
	$\frac{v_1}{v_2} = \frac{\rho_2}{\rho_1} = \frac{\gamma + 1}{(\gamma - 1) + 2/M_1^2}$	(3.333)	
	$\frac{T_2}{T_1} = \frac{[2\gamma M_1^2 - (\gamma - 1)][2 + (\gamma - 1)M_1^2]}{(\gamma + 1)^2 M_1^2}$	(3.334)	

<sup>a</sup>Approximating the wake generated by supersonic motion of a body in a nondispersive medium.

<sup>b</sup>For gravity waves, e.g., in the wake of a boat. Note that the wedge semi-angle is independent of  $v_b$ .

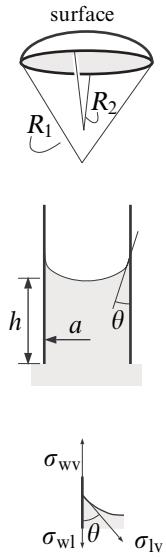
<sup>c</sup>Sedov–Taylor relation.

<sup>d</sup>Solutions for a steady, normal shock, in the frame moving with the shock front. If  $\gamma = 5/3$  then  $v_1/v_2 \leq 4$ .

## Surface tension

Definition	$\sigma_{lv} = \frac{\text{surface energy}}{\text{area}}$	(3.335)	$\sigma_{lv}$ surface tension (liquid/vapour interface)
	$= \frac{\text{surface tension}}{\text{length}}$	(3.336)	
Laplace's formula <sup>a</sup>	$\Delta p = \sigma_{lv} \left( \frac{1}{R_1} + \frac{1}{R_2} \right)$	(3.337)	$\Delta p$ pressure difference over surface
Capillary constant	$c_c = \left( \frac{2\sigma_{lv}}{g\rho} \right)^{1/2}$	(3.338)	$R_i$ principal radii of curvature
Capillary rise (circular tube)	$h = \frac{2\sigma_{lv} \cos \theta}{\rho g a}$	(3.339)	$c_c$ capillary constant
Contact angle	$\cos \theta = \frac{\sigma_{wv} - \sigma_{wl}}{\sigma_{lv}}$	(3.340)	$\rho$ liquid density
			$g$ gravitational acceleration
			$h$ rise height
			$\theta$ contact angle
			$a$ tube radius
			$\sigma_{wv}$ wall/vapour surface tension
			$\sigma_{wl}$ wall/liquid surface tension

<sup>a</sup>For a spherical bubble in a liquid  $\Delta p = 2\sigma_{lv}/R$ . For a soap bubble (two surfaces)  $\Delta p = 4\sigma_{lv}/R$ .



# Chapter 4 Quantum physics

## 4.1 Introduction

Quantum ideas occupy such a pivotal position in physics that different notations and algebras appropriate to each field have been developed. In the spirit of this book, only those formulas that are commonly present in undergraduate courses and that can be simply presented in tabular form are included here. For example, much of the detail of atomic spectroscopy and of specific perturbation analyses has been omitted, as have ideas from the somewhat specialised field of quantum electrodynamics. Traditionally, quantum physics is understood through standard “toy” problems, such as the potential step and the one-dimensional harmonic oscillator, and these are reproduced here. Operators are distinguished from observables using the “hat” notation, so that the momentum observable,  $p_x$ , has the operator  $\hat{p}_x = -i\hbar\partial/\partial x$ .

For clarity, many relations that can be generalised to three dimensions in an obvious way have been stated in their one-dimensional form, and wavefunctions are implicitly taken as normalised functions of space and time unless otherwise stated. With the exception of the last panel, all equations should be taken as nonrelativistic, so that “total energy” is the sum of potential and kinetic energies, excluding the rest mass energy.

## 4.2 Quantum definitions

### Quantum uncertainty relations

De Broglie relation	$p = \frac{h}{\lambda}$	(4.1)	$p, p$	particle momentum
	$p = \hbar k$	(4.2)	$h$	Planck constant
Planck–Einstein relation	$E = h\nu = \hbar\omega$	(4.3)	$\hbar$	$h/(2\pi)$
Dispersion <sup>a</sup>	$(\Delta a)^2 = \langle(a - \langle a \rangle)^2\rangle$	(4.4)	$\lambda$	de Broglie wavelength
	$= \langle a^2 \rangle - \langle a \rangle^2$	(4.5)	$k$	de Broglie wavevector
General uncertainty relation	$(\Delta a)^2 (\Delta b)^2 \geq \frac{1}{4} \langle \mathbf{i}[\hat{a}, \hat{b}] \rangle^2$	(4.6)	$E$	energy
Momentum–position uncertainty relation <sup>c</sup>	$\Delta p \Delta x \geq \frac{\hbar}{2}$	(4.7)	$\nu$	frequency
Energy–time uncertainty relation	$\Delta E \Delta t \geq \frac{\hbar}{2}$	(4.8)	$\omega$	angular frequency ( $= 2\pi\nu$ )
Number–phase uncertainty relation	$\Delta n \Delta \phi \geq \frac{1}{2}$	(4.9)	$a, b$	observables <sup>b</sup>
			$\langle \cdot \rangle$	expectation value
			$(\Delta a)^2$	dispersion of $a$
			$\hat{a}$	operator for observable $a$
			$[ \cdot, \cdot ]$	commutator (see page 26)
			$x$	particle position
			$t$	time
			$n$	number of photons
			$\phi$	wave phase

<sup>a</sup>Dispersion in quantum physics corresponds to variance in statistics.

<sup>b</sup>An observable is a directly measurable parameter of a system.

<sup>c</sup>Also known as the “Heisenberg uncertainty relation.”

### Wavefunctions

Probability density	$\text{pr}(x, t) dx =  \psi(x, t) ^2 dx$	(4.10)	$\text{pr}$	probability density
Probability density current <sup>a</sup>	$j(x) = \frac{\hbar}{2im} \left( \psi^* \frac{\partial \psi}{\partial x} - \psi \frac{\partial \psi^*}{\partial x} \right)$	(4.11)	$\psi$	wavefunction
	$j = \frac{\hbar}{2im} [\psi^*(\mathbf{r}) \nabla \psi(\mathbf{r}) - \psi(\mathbf{r}) \nabla \psi^*(\mathbf{r})]$	(4.12)	$j, j$	probability density current
	$= \frac{1}{m} \Re(\psi^* \hat{\mathbf{p}} \psi)$	(4.13)	$\hbar$	(Planck constant)/(2π)
Continuity equation	$\nabla \cdot \mathbf{j} = -\frac{\partial}{\partial t}(\psi \psi^*)$	(4.14)	$x$	position coordinate
Schrödinger equation	$\hat{H}\psi = i\hbar \frac{\partial \psi}{\partial t}$	(4.15)	$\hat{\mathbf{p}}$	momentum operator
Particle stationary states <sup>b</sup>	$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x)}{\partial x^2} + V(x)\psi(x) = E\psi(x)$	(4.16)	$m$	particle mass
			$\Re$	real part of
			$t$	time
			$H$	Hamiltonian
			$V$	potential energy
			$E$	total energy

<sup>a</sup>For particles. In three dimensions, suitable units would be particles m<sup>-2</sup>s<sup>-1</sup>.

<sup>b</sup>Time-independent Schrödinger equation for a particle, in one dimension.

## Operators

Hermitian conjugate operator	$\int (\hat{a}\phi)^*\psi dx = \int \phi^* \hat{a}\psi dx$	(4.17)	$\hat{a}$ Hermitian conjugate operator $\psi, \phi$ normalisable functions
Position operator	$\hat{x}^n = x^n$	(4.18)	$*$ complex conjugate $x, y$ position coordinates
Momentum operator	$\hat{p}_x^n = \frac{\hbar^n}{i^n} \frac{\partial^n}{\partial x^n}$	(4.19)	$n$ arbitrary integer $\geq 1$ $p_x$ momentum coordinate
Kinetic energy operator	$\hat{T} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2}$	(4.20)	$T$ kinetic energy $\hbar$ (Planck constant)/(2 $\pi$ )
Hamiltonian operator	$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x)$	(4.21)	$m$ particle mass $H$ Hamiltonian $V$ potential energy
Angular momentum operators	$\hat{L}_z = \hat{x}\hat{p}_y - \hat{y}\hat{p}_x$	(4.22)	$L_z$ angular momentum along $z$ axis (sim. $x$ and $y$ )
	$\hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2$	(4.23)	$L$ total angular momentum
Parity operator	$\hat{P}\psi(r) = \psi(-r)$	(4.24)	$\hat{P}$ parity operator $r$ position vector

## Expectation value

Expectation value <sup>a</sup>	$\langle a \rangle = \langle \hat{a} \rangle = \int \Psi^* \hat{a} \Psi dx$	(4.25)	$\langle a \rangle$ expectation value of $a$ $\hat{a}$ operator for $a$ $\Psi$ (spatial) wavefunction $x$ (spatial) coordinate
	$= \langle \Psi   \hat{a}   \Psi \rangle$	(4.26)	
Time dependence	$\frac{d}{dt} \langle \hat{a} \rangle = \frac{i}{\hbar} \langle [\hat{H}, \hat{a}] \rangle + \left\langle \frac{\partial \hat{a}}{\partial t} \right\rangle$	(4.27)	$t$ time $\hbar$ (Planck constant)/(2 $\pi$ )
Relation to eigenfunctions	if $\hat{a}\psi_n = a_n\psi_n$ and $\Psi = \sum c_n\psi_n$ then $\langle a \rangle = \sum  c_n ^2 a_n$	(4.28)	$\psi_n$ eigenfunctions of $\hat{a}$ $a_n$ eigenvalues $n$ dummy index $c_n$ probability amplitudes
Ehrenfest's theorem	$m \frac{d}{dt} \langle r \rangle = \langle p \rangle$	(4.29)	$m$ particle mass $r$ position vector
	$\frac{d}{dt} \langle p \rangle = -\langle \nabla V \rangle$	(4.30)	$p$ momentum $V$ potential energy

<sup>a</sup>Equation (4.26) uses the Dirac “bra-ket” notation for integrals involving operators. The presence of vertical bars distinguishes this use of angled brackets from that on the left-hand side of the equations. Note that  $\langle a \rangle$  and  $\langle \hat{a} \rangle$  are taken as equivalent.

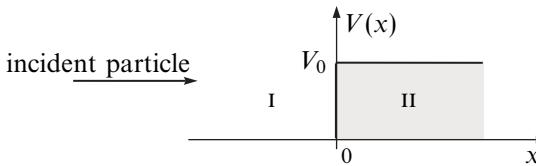
## Dirac notation

Matrix element <sup>a</sup>	$a_{nm} = \int \psi_n^* \hat{a} \psi_m dx$	(4.31)	$n, m$	eigenvector indices
	$= \langle n   \hat{a}   m \rangle$	(4.32)	$a_{nm}$	matrix element
Bra vector	bra state vector $= \langle n  $	(4.33)	$\psi_n$	basis states
Ket vector	ket state vector $=  m\rangle$	(4.34)	$\hat{a}$	operator
Scalar product	$\langle n   m \rangle = \int \psi_n^* \psi_m dx$	(4.35)	$x$	spatial coordinate
Expectation	if $\Psi = \sum_n c_n \psi_n$	(4.36)	$\langle \cdot   \cdot \rangle$	bra
	then $\langle a \rangle = \sum_m \sum_n c_n^* c_m a_{nm}$	(4.37)	$  \cdot \rangle$	ket

<sup>a</sup>The Dirac bracket,  $\langle n | \hat{a} | m \rangle$ , can also be written  $\langle \psi_n | \hat{a} | \psi_m \rangle$ .

## 4.3 Wave mechanics

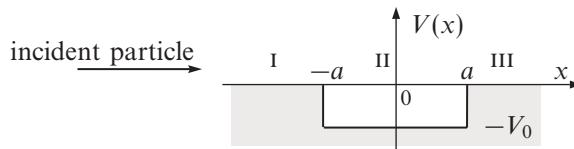
### Potential step<sup>a</sup>

		
Potential function	$V(x) = \begin{cases} 0 & (x < 0) \\ V_0 & (x \geq 0) \end{cases}$	(4.38)
Wavenumbers	$\hbar^2 k^2 = 2mE \quad (x < 0)$	(4.39)
	$\hbar^2 q^2 = 2m(E - V_0) \quad (x > 0)$	(4.40)
Amplitude reflection coefficient	$r = \frac{k-q}{k+q}$	(4.41)
Amplitude transmission coefficient	$t = \frac{2k}{k+q}$	(4.42)
Probability currents <sup>b</sup>	$j_i = \frac{\hbar k}{m} (1 -  r ^2)$	(4.43)
	$j_{ii} = \frac{\hbar q}{m}  t ^2$	(4.44)

<sup>a</sup>One-dimensional interaction with an incident particle of total energy  $E = KE + V$ . If  $E < V_0$  then  $q$  is imaginary and  $|r|^2 = 1$ .  $1/|q|$  is then a measure of the tunnelling depth.

<sup>b</sup>Particle flux with the sign of increasing  $x$ .

## Potential well<sup>a</sup>



Potential function	$V(x) = \begin{cases} 0 & ( x  > a) \\ -V_0 & ( x  \leq a) \end{cases}$	(4.45)	$V$ particle potential energy $V_0$ well depth $\hbar$ (Planck constant)/(2 $\pi$ ) $2a$ well width
Wavenumbers	$\hbar^2 k^2 = 2mE \quad ( x  > a)$	(4.46)	$k, q$ particle wavenumbers $m$ particle mass $E$ total particle energy
	$\hbar^2 q^2 = 2m(E + V_0) \quad ( x  < a)$	(4.47)	
Amplitude reflection coefficient	$r = \frac{i e^{-2ika}}{2kq \cos 2qa - i(q^2 + k^2) \sin 2qa}$	(4.48)	$r$ amplitude reflection coefficient
Amplitude transmission coefficient	$t = \frac{2kqe^{-2ika}}{2kq \cos 2qa - i(q^2 + k^2) \sin 2qa}$	(4.49)	$t$ amplitude transmission coefficient
Probability currents <sup>b</sup>	$j_I = \frac{\hbar k}{m}(1 -  r ^2)$	(4.50)	$j_I$ particle flux in zone I
	$j_{III} = \frac{\hbar k}{m} t ^2$	(4.51)	$j_{III}$ particle flux in zone III
Ramsauer effect <sup>c</sup>	$E_n = -V_0 + \frac{n^2 \hbar^2 \pi^2}{8ma^2}$	(4.52)	$n$ integer > 0 $E_n$ Ramsauer energy
Bound states ( $V_0 < E < 0$ ) <sup>d</sup>	$\tan qa = \begin{cases}  k /q & \text{even parity} \\ -q/ k  & \text{odd parity} \end{cases}$	(4.53)	
	$q^2 -  k ^2 = 2mV_0/\hbar^2$	(4.54)	

<sup>a</sup>One-dimensional interaction with an incident particle of total energy  $E = \text{KE} + V > 0$ .

<sup>b</sup>Particle flux in the sense of increasing  $x$ .

<sup>c</sup>Incident energy for which  $2qa = n\pi$ ,  $|r| = 0$ , and  $|t| = 1$ .

<sup>d</sup>When  $E < 0$ ,  $k$  is purely imaginary.  $|k|$  and  $q$  are obtained by solving these implicit equations.

## Barrier tunnelling<sup>a</sup>

Potential function	$V(x) = \begin{cases} 0 & ( x  > a) \\ V_0 & ( x  \leq a) \end{cases}$	(4.55)
Wavenumber and tunnelling constant	$\hbar^2 k^2 = 2mE \quad ( x  > a)$	(4.56)
	$\hbar^2 \kappa^2 = 2m(V_0 - E) \quad ( x  < a)$	(4.57)
Amplitude reflection coefficient	$r = \frac{-ie^{-2ika}(k^2 + \kappa^2)\sinh 2\kappa a}{2\kappa \cosh 2\kappa a - i(k^2 - \kappa^2)\sinh 2\kappa a}$	(4.58)
Amplitude transmission coefficient	$t = \frac{2\kappa e^{-2ika}}{2\kappa \cosh 2\kappa a - i(k^2 - \kappa^2)\sinh 2\kappa a}$	(4.59)
Tunnelling probability	$ t ^2 = \frac{4k^2 \kappa^2}{(k^2 + \kappa^2)^2 \sinh^2 2\kappa a + 4k^2 \kappa^2}$ $\simeq \frac{16k^2 \kappa^2}{(k^2 + \kappa^2)^2} \exp(-4\kappa a) \quad ( t ^2 \ll 1)$	(4.60) (4.61)
Probability currents <sup>b</sup>	$j_I = \frac{\hbar k}{m}(1 -  r ^2)$	(4.62)
	$j_{III} = \frac{\hbar k}{m} t ^2$	(4.63)
		$j_I$ particle flux in zone I $j_{III}$ particle flux in zone III
		$ t ^2$ tunnelling probability
		$V$ particle potential energy $V_0$ well depth $\hbar$ (Planck constant)/(2π) $2a$ barrier width $k$ incident wavenumber $\kappa$ tunnelling constant $m$ particle mass $E$ total energy (< $V_0$ )
		$r$ amplitude reflection coefficient $t$ amplitude transmission coefficient

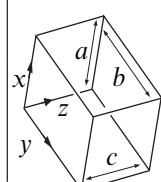
<sup>a</sup>By a particle of total energy  $E = KE + V$ , through a one-dimensional rectangular potential barrier height  $V_0 > E$ .

<sup>b</sup>Particle flux in the sense of increasing  $x$ .

## Particle in a rectangular box<sup>a</sup>

Eigenfunctions	$\Psi_{lmn} = \left( \frac{8}{abc} \right)^{1/2} \sin \frac{l\pi x}{a} \sin \frac{m\pi y}{b} \sin \frac{n\pi z}{c}$	$\Psi_{lmn}$ eigenfunctions $a, b, c$ box dimensions $l, m, n$ integers $\geq 1$
Energy levels	$E_{lmn} = \frac{\hbar^2}{8M} \left( \frac{l^2}{a^2} + \frac{m^2}{b^2} + \frac{n^2}{c^2} \right)$	$E_{lmn}$ energy $\hbar$ Planck constant $M$ particle mass
Density of states	$\rho(E) dE = \frac{4\pi}{\hbar^3} (2M^3 E)^{1/2} dE$	$\rho(E)$ density of states (per unit volume)

<sup>a</sup>Spinless particle in a rectangular box bounded by the planes  $x=0$ ,  $y=0$ ,  $z=0$ ,  $x=a$ ,  $y=b$ , and  $z=c$ . The potential is zero inside and infinite outside the box.



## Harmonic oscillator

Schrödinger equation	$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi_n}{\partial x^2} + \frac{1}{2} m\omega^2 x^2 \psi_n = E_n \psi_n$	(4.67)	$\hbar$ (Planck constant)/( $2\pi$ )
Energy levels <sup>a</sup>	$E_n = \left(n + \frac{1}{2}\right) \hbar\omega$	(4.68)	$m$ mass $\psi_n$ nth eigenfunction $x$ displacement $n$ integer $\geq 0$ $\omega$ angular frequency $E_n$ total energy in $n$ th state
Eigen-functions	$\psi_n = \frac{H_n(x/a) \exp[-x^2/(2a^2)]}{(n! 2^n a \pi^{1/2})^{1/2}}$ where $a = \left(\frac{\hbar}{m\omega}\right)^{1/2}$	(4.69)	$H_n$ Hermite polynomials
Hermite polynomials	$H_0(y) = 1, \quad H_1(y) = 2y, \quad H_2(y) = 4y^2 - 2$ $H_{n+1}(y) = 2yH_n(y) - 2nH_{n-1}(y)$	(4.70)	$y$ dummy variable

<sup>a</sup> $E_0$  is the zero-point energy of the oscillator.

## 4.4 Hydrogenic atoms

### Bohr model<sup>a</sup>

Quantisation condition	$\mu r_n^2 \Omega = n\hbar$	(4.71)	$r_n$ nth orbit radius $\Omega$ orbital angular speed $n$ principal quantum number ( $> 0$ )
Bohr radius	$a_0 = \frac{\epsilon_0 h^2}{\pi m_e e^2} = \frac{\alpha}{4\pi R_\infty} \simeq 52.9 \text{ pm}$	(4.72)	$a_0$ Bohr radius $\mu$ reduced mass ( $\simeq m_e$ ) $-e$ electronic charge
Orbit radius	$r_n = \frac{n^2}{Z} a_0 \frac{m_e}{\mu}$	(4.73)	$Z$ atomic number $h$ Planck constant $\hbar$ $h/(2\pi)$
Total energy	$E_n = -\frac{\mu e^4 Z^2}{8\epsilon_0^2 h^2 n^2} = -R_\infty hc \frac{\mu}{m_e} \frac{Z^2}{n^2}$	(4.74)	$E_n$ total energy of $n$ th orbit $\epsilon_0$ permittivity of free space $m_e$ electron mass
Fine structure constant	$\alpha = \frac{\mu_0 c e^2}{2h} = \frac{e^2}{4\pi\epsilon_0\hbar c} \simeq \frac{1}{137}$	(4.75)	$\alpha$ fine structure constant $\mu_0$ permeability of free space
Hartree energy	$E_H = \frac{\hbar^2}{m_e a_0^2} \simeq 4.36 \times 10^{-18} \text{ J}$	(4.76)	$E_H$ Hartree energy
Rydberg constant	$R_\infty = \frac{m_e c \alpha^2}{2h} = \frac{m_e e^4}{8h^3 \epsilon_0^2 c} = \frac{E_H}{2hc}$	(4.77)	$R_\infty$ Rydberg constant $c$ speed of light
Rydberg's formula <sup>b</sup>	$\frac{1}{\lambda_{mn}} = R_\infty \frac{\mu}{m_e} Z^2 \left( \frac{1}{n^2} - \frac{1}{m^2} \right)$	(4.78)	$\lambda_{mn}$ photon wavelength $m$ integer $> n$

<sup>a</sup>Because the Bohr model is strictly a two-body problem, the equations use reduced mass,  $\mu = m_e m_{\text{nuc}} / (m_e + m_{\text{nuc}}) \simeq m_e$ , where  $m_{\text{nuc}}$  is the nuclear mass, throughout. The orbit radius is therefore the electron–nucleus distance.

<sup>b</sup>Wavelength of the spectral line corresponding to electron transitions between orbits  $m$  and  $n$ .

## Hydrogenlike atoms – Schrödinger solution<sup>a</sup>

Schrödinger equation

$$-\frac{\hbar^2}{2\mu} \nabla^2 \Psi_{nlm} - \frac{Ze^2}{4\pi\epsilon_0 r} \Psi_{nlm} = E_n \Psi_{nlm} \quad \text{with } \mu = \frac{m_e m_{\text{nuc}}}{m_e + m_{\text{nuc}}} \quad (4.79)$$

Eigenfunctions

$$\Psi_{nlm}(r, \theta, \phi) = \left[ \frac{(n-l-1)!}{2n(n+l)!} \right]^{1/2} \left( \frac{2}{an} \right)^{3/2} x^l e^{-x/2} L_{n-l-1}^{2l+1}(x) Y_l^m(\theta, \phi) \quad (4.80)$$

$$\text{with } a = \frac{m_e}{\mu} \frac{a_0}{Z}, \quad x = \frac{2r}{an}, \quad \text{and} \quad L_{n-l-1}^{2l+1}(x) = \sum_{k=0}^{n-l-1} \frac{(l+n)!(-x)^k}{(2l+1+k)!(n-l-1-k)!k!}$$

Total energy	$E_n = -\frac{\mu e^4 Z^2}{8\epsilon_0^2 h^2 n^2}$	(4.81)	$E_n$	total energy
			$\epsilon_0$	permittivity of free space
Radial expectation values	$\langle r \rangle = \frac{a}{2}[3n^2 - l(l+1)]$	(4.82)	$h$	Planck constant
	$\langle r^2 \rangle = \frac{a^2 n^2}{2}[5n^2 + 1 - 3l(l+1)]$	(4.83)	$m_e$	mass of electron
	$\langle 1/r \rangle = \frac{1}{an^2}$	(4.84)	$\hbar$	$h/2\pi$
	$\langle 1/r^2 \rangle = \frac{2}{(2l+1)n^3 a^2}$	(4.85)	$\mu$	reduced mass ( $\simeq m_e$ )
Allowed quantum numbers and selection rules <sup>b</sup>	$n = 1, 2, 3, \dots$	(4.86)	$m_{\text{nuc}}$	mass of nucleus
	$l = 0, 1, 2, \dots, (n-1)$	(4.87)	$\Psi_{nlm}$	eigenfunctions
	$m = 0, \pm 1, \pm 2, \dots, \pm l$	(4.88)	$Z e$	charge of nucleus
	$\Delta n \neq 0$	(4.89)	$-e$	electronic charge
	$\Delta l = \pm 1$	(4.90)	$L_p^q$	associated Laguerre polynomials <sup>c</sup>
	$\Delta m = 0 \quad \text{or} \quad \pm 1$	(4.91)	$a$	classical orbit radius, $n=1$
			$r$	electron–nucleus separation
			$Y_l^m$	spherical harmonics
			$a_0$	Bohr radius = $\frac{\epsilon_0 h^2}{\pi m_e e^2}$

$$\Psi_{100} = \frac{a^{-3/2}}{\pi^{1/2}} e^{-r/a}$$

$$\Psi_{200} = \frac{a^{-3/2}}{4(2\pi)^{1/2}} \left( 2 - \frac{r}{a} \right) e^{-r/2a}$$

$$\Psi_{210} = \frac{a^{-3/2}}{4(2\pi)^{1/2}} \frac{r}{a} e^{-r/2a} \cos \theta$$

$$\Psi_{21\pm 1} = \mp \frac{a^{-3/2}}{8\pi^{1/2}} \frac{r}{a} e^{-r/2a} \sin \theta e^{\pm i\phi}$$

$$\Psi_{300} = \frac{a^{-3/2}}{81(3\pi)^{1/2}} \left( 27 - 18 \frac{r}{a} + 2 \frac{r^2}{a^2} \right) e^{-r/3a}$$

$$\Psi_{310} = \frac{2^{1/2} a^{-3/2}}{81\pi^{1/2}} \left( 6 - \frac{r}{a} \right) \frac{r}{a} e^{-r/3a} \cos \theta$$

$$\Psi_{31\pm 1} = \mp \frac{a^{-3/2}}{81\pi^{1/2}} \left( 6 - \frac{r}{a} \right) \frac{r}{a} e^{-r/3a} \sin \theta e^{\pm i\phi}$$

$$\Psi_{320} = \frac{a^{-3/2}}{81(6\pi)^{1/2}} \frac{r^2}{a^2} e^{-r/3a} (3 \cos^2 \theta - 1)$$

$$\Psi_{32\pm 1} = \mp \frac{a^{-3/2}}{81\pi^{1/2}} \frac{r^2}{a^2} e^{-r/3a} \sin \theta \cos \theta e^{\pm i\phi}$$

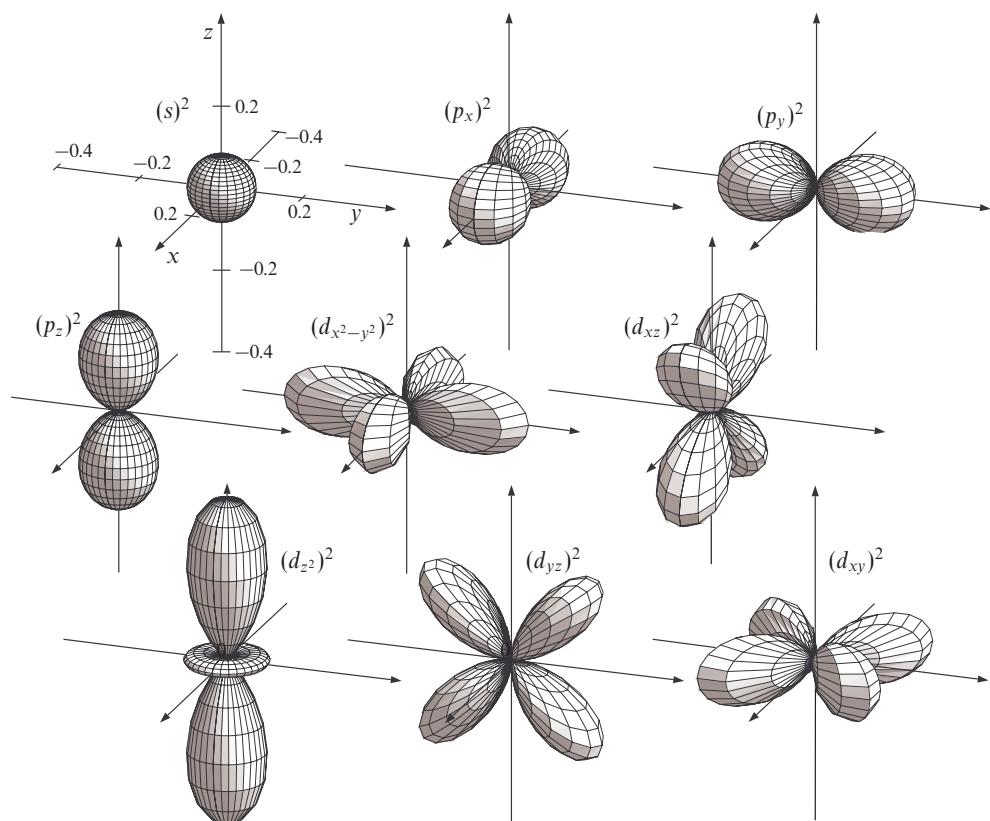
$$\Psi_{32\pm 2} = \frac{a^{-3/2}}{162\pi^{1/2}} \frac{r^2}{a^2} e^{-r/3a} \sin^2 \theta e^{\pm 2i\phi}$$

<sup>a</sup>For a single bound electron in a perfect nuclear Coulomb potential (nonrelativistic and spin-free).

<sup>b</sup>For dipole transitions between orbitals.

<sup>c</sup>The sign and indexing definitions for this function vary. This form is appropriate to Equation (4.80).

## Orbital angular dependence



$s$ orbital $(l=0)$	$s = Y_0^0 = \text{constant}$	$Y_l^m$ spherical harmonics <sup>a</sup>
------------------------	-------------------------------	--

$p$ orbitals $(l=1)$	$p_x = \frac{-1}{2^{1/2}}(Y_1^1 - Y_1^{-1}) \propto \cos\phi \sin\theta$	$\theta, \phi$ spherical polar coordinates
-------------------------	--	--

$$(4.93)$$

$$(4.94)$$

$$(4.95)$$

$d$ orbitals $(l=2)$	$d_{x^2-y^2} = \frac{1}{2^{1/2}}(Y_2^2 + Y_2^{-2}) \propto \sin^2\theta \cos 2\phi$	$(4.96)$
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$$(4.96)$$

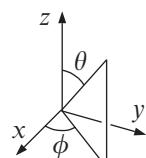
$$(4.97)$$

$$(4.98)$$

$$(4.99)$$

$$(4.100)$$

<sup>a</sup>See page 49 for the definition of spherical harmonics.



## 4.5 Angular momentum

### Orbital angular momentum

	$\hat{L} = \mathbf{r} \times \hat{\mathbf{p}}$	(4.101)	$L$ angular momentum
Angular momentum operators	$\hat{L}_z = \frac{\hbar}{\mathbf{i}} \left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right)$	(4.102)	$p$ linear momentum
	$= \frac{\hbar}{\mathbf{i}} \frac{\partial}{\partial \phi}$	(4.103)	$r$ position vector
	$\hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2$	(4.104)	$xyz$ Cartesian coordinates
	$= -\hbar^2 \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} \right]$	(4.105)	$r\theta\phi$ spherical polar coordinates
Ladder operators	$\hat{L}_{\pm} = \hat{L}_x \pm i\hat{L}_y$	(4.106)	$\hbar$ (Planck constant)/(2π)
	$= \hbar e^{\pm i\phi} \left( i \cot \theta \frac{\partial}{\partial \phi} \pm \frac{\partial}{\partial \theta} \right)$	(4.107)	$\hat{L}_{\pm}$ ladder operators
	$\hat{L}_{\pm} Y_l^{m_l} = \hbar [l(l+1) - m_l(m_l \pm 1)]^{1/2} Y_l^{m_l \pm 1}$	(4.108)	$Y_l^{m_l}$ spherical harmonics
Eigenfunctions and eigenvalues	$\hat{L}^2 Y_l^{m_l} = l(l+1)\hbar^2 Y_l^{m_l} \quad (l \geq 0)$	(4.109)	$l, m_l$ integers
	$\hat{L}_z Y_l^{m_l} = m_l \hbar Y_l^{m_l} \quad ( m_l  \leq l)$	(4.110)	
	$\hat{L}_z [\hat{L}_{\pm} Y_l^{m_l}(\theta, \phi)] = (m_l \pm 1) \hbar \hat{L}_{\pm} Y_l^{m_l}(\theta, \phi)$	(4.111)	
	$l$ -multiplicity = $(2l+1)$	(4.112)	

### Angular momentum commutation relations<sup>a</sup>

Conservation of angular momentum <sup>b</sup>	$[\hat{H}, \hat{L}_z] = 0$	(4.113)	$L$ angular momentum $p$ momentum $H$ Hamiltonian $\hat{L}_{\pm}$ ladder operators
$[\hat{L}_z, x] = i\hbar y$	(4.114)	$[\hat{L}_x, \hat{L}_y] = i\hbar \hat{L}_z$	(4.120)
$[\hat{L}_z, y] = -i\hbar x$	(4.115)	$[\hat{L}_z, \hat{L}_x] = i\hbar \hat{L}_y$	(4.121)
$[\hat{L}_z, z] = 0$	(4.116)	$[\hat{L}_y, \hat{L}_z] = i\hbar \hat{L}_x$	(4.122)
$[\hat{L}_z, \hat{p}_x] = i\hbar \hat{p}_y$	(4.117)	$[\hat{L}_+, \hat{L}_z] = -\hbar \hat{L}_+$	(4.123)
$[\hat{L}_z, \hat{p}_y] = -i\hbar \hat{p}_x$	(4.118)	$[\hat{L}_-, \hat{L}_z] = \hbar \hat{L}_-$	(4.124)
$[\hat{L}_z, \hat{p}_z] = 0$	(4.119)	$[\hat{L}_+, \hat{L}_-] = 2\hbar \hat{L}_z$	(4.125)
		$[\hat{L}^2, \hat{L}_{\pm}] = 0$	(4.126)
		$[\hat{L}^2, \hat{L}_x] = [\hat{L}^2, \hat{L}_y] = [\hat{L}^2, \hat{L}_z] = 0$	(4.127)

<sup>a</sup>The commutation of  $a$  and  $b$  is defined as  $[a, b] = ab - ba$  (see page 26). Similar expressions hold for  $S$  and  $J$ .

<sup>b</sup>For motion under a central force.

## Clebsch–Gordan coefficients<sup>a</sup>

$\mathbf{1/2} \times \mathbf{1/2}$ $\begin{array}{ c c }\hline +1 & \\ \hline 1 & 0 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +1/2 & +1/2 \\ \hline 1 & 1 \\ \hline -1/2 & +1/2 \\ \hline 1/2 & 1/2 \\ \hline -1/2 & +1/2 \\ \hline 1/2 & 1/2 \\ \hline\end{array}$	$\langle j, -m_j   l_1, -m_1; l_2, -m_2 \rangle = (-1)^{l_1+l_2-j} \langle j, m_j   l_1, m_1; l_2, m_2 \rangle$ $I_1 \times I_2$ $m_j$ $m_1 \quad m_2$ $m_1 \quad m_2$ $\langle j, m_j   l_1, m_1; l_2, m_2 \rangle$ $\vdots \quad \vdots \quad \vdots$	$\mathbf{1} \times \mathbf{1/2}$ $\begin{array}{ c c }\hline +3/2 & \\ \hline 3/2 & 1 \\ \hline 1 & \\ \hline 3/2 & 1/2 \\ \hline 1/3 & 2/3 \\ \hline 2/3 & -1/3 \\ \hline\end{array}$
$\mathbf{3/2} \times \mathbf{1/2}$ $\begin{array}{ c c }\hline +2 & \\ \hline 2 & 1 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +3/2 & +1 \\ \hline +1/2 & 1/2 \\ \hline -1/2 & +1/2 \\ \hline 1/4 & 3/4 \\ \hline +1/2 & 1/2 \\ \hline -1/2 & +1/2 \\ \hline 3/4 & -1/4 \\ \hline 2 & 1 \\ \hline\end{array}$	$\mathbf{1} \times \mathbf{1}$ $\begin{array}{ c c }\hline 2 & \\ \hline 1 & \\ \hline\end{array}$ $\begin{array}{ c c }\hline +1 & \\ \hline +1 & 1 \\ \hline +1 & 1 \\ \hline 1/2 & 1/2 \\ \hline 0 & +1 \\ \hline 1/2 & -1/2 \\ \hline\end{array}$	$\mathbf{2} \times \mathbf{1/2}$ $\begin{array}{ c c }\hline +5/2 & \\ \hline 5/2 & 3/2 \\ \hline 1 & \\ \hline 5/2 & 3/2 \\ \hline 1/5 & 4/5 \\ \hline +1 & +1/2 \\ \hline 4/5 & -1/5 \\ \hline +1 & -1/2 \\ \hline 2/5 & 3/5 \\ \hline 0 & +1/2 \\ \hline 3/5 & -2/5 \\ \hline\end{array}$
$\mathbf{1} \times \mathbf{1}$ $\begin{array}{ c c }\hline 2 & \\ \hline 1 & \\ \hline\end{array}$ $\begin{array}{ c c }\hline +1 & \\ \hline +1 & 1 \\ \hline +1 & 1 \\ \hline 1/2 & 1/2 \\ \hline 0 & +1 \\ \hline 1/2 & -1/2 \\ \hline\end{array}$	$\mathbf{3/2} \times \mathbf{1}$ $\begin{array}{ c c }\hline +5/2 & \\ \hline 5/2 & 3/2 \\ \hline 1 & \\ \hline 5/2 & 3/2 \\ \hline 2/5 & 3/5 \\ \hline +1 & +2 \\ \hline 3/5 & -2/5 \\ \hline 5/2 & 3/2 \\ \hline 1/10 & 2/5 \\ \hline 1/2 & 0 \\ \hline 3/5 & 1/15 \\ \hline -1/2 & +1 \\ \hline 3/10 & -8/15 \\ \hline 1/6 & \\ \hline\end{array}$	$\mathbf{3/2} \times \mathbf{3/2}$ $\begin{array}{ c c }\hline +3 & \\ \hline 3 & 2 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +3/2 & +2 \\ \hline +3/2 & +1/2 \\ \hline +1/2 & +3/2 \\ \hline 1/2 & -1/2 \\ \hline 1 & \\ \hline\end{array}$
$\mathbf{2} \times \mathbf{1}$ $\begin{array}{ c c }\hline +3 & \\ \hline 2 & 1 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +2 & \\ \hline +2 & 1 \\ \hline +2 & 1 \\ \hline 1/3 & 2/3 \\ \hline +1 & +1 \\ \hline 2/3 & -1/3 \\ \hline\end{array}$	$\mathbf{3/2} \times \mathbf{3/2}$ $\begin{array}{ c c }\hline +3 & \\ \hline 3 & 2 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +3/2 & +1 \\ \hline +3/2 & -1/2 \\ \hline +1/2 & +1/2 \\ \hline 1/5 & 1/2 \\ \hline -1/2 & +3/2 \\ \hline 1/5 & -1/2 \\ \hline 3/10 & \\ \hline\end{array}$	$\mathbf{0}$ $\begin{array}{ c c }\hline 3 & 2 \\ \hline 2 & 1 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +3/2 & 1/4 \\ \hline +1/2 & -1/4 \\ \hline -1/2 & +1/4 \\ \hline 9/20 & -1/4 \\ \hline 9/20 & 1/4 \\ \hline -1/20 & -1/40 \\ \hline 1/4 & \\ \hline\end{array}$
$\mathbf{2} \times \mathbf{2}$ $\begin{array}{ c c }\hline +4 & \\ \hline 4 & 3 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +2 & \\ \hline +2 & 1 \\ \hline +2 & 1 \\ \hline 1/2 & 1/2 \\ \hline +1 & +2 \\ \hline 1/2 & -1/2 \\ \hline\end{array}$	$\mathbf{2} \times \mathbf{3/2}$ $\begin{array}{ c c }\hline +7/2 & \\ \hline 7/2 & 5/2 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +5/2 & \\ \hline +2 & +3/2 \\ \hline +2 & +1/2 \\ \hline 3/7 & 4/7 \\ \hline +1 & +3/2 \\ \hline 4/7 & -3/7 \\ \hline\end{array}$	$\mathbf{0}$ $\begin{array}{ c c }\hline 3 & 2 \\ \hline 2 & 1 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +3/2 & 1/2 \\ \hline +1/2 & -1/2 \\ \hline 0 & +3/2 \\ \hline 2/7 & -18/35 \\ \hline 1/5 & \\ \hline 7/2 & 5/2 \\ \hline 16/35 & 2/5 \\ \hline 1/35 & -2/5 \\ \hline 12/35 & 0 \\ \hline 5/14 & -3/10 \\ \hline 0 & +1/2 \\ \hline 18/35 & -3/35 \\ \hline -1/5 & 1/5 \\ \hline 4/35 & -27/70 \\ \hline 2/5 & -1/10 \\ \hline\end{array}$
$\mathbf{2} \times \mathbf{2}$ $\begin{array}{ c c }\hline +3 & \\ \hline 4 & 3 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +2 & \\ \hline +2 & 1 \\ \hline +2 & 1 \\ \hline 1/2 & 1/2 \\ \hline +1 & +2 \\ \hline 1/2 & -1/2 \\ \hline\end{array}$	$\mathbf{2} \times \mathbf{3/2}$ $\begin{array}{ c c }\hline +3/2 & \\ \hline 7/2 & 5/2 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +1/2 & \\ \hline +2 & -1/2 \\ \hline +1 & +1/2 \\ \hline 1/7 & 16/35 \\ \hline +1 & +2 \\ \hline 4/7 & 1/35 \\ \hline 0 & +3/2 \\ \hline 2/7 & -18/35 \\ \hline 1/5 & \\ \hline 7/2 & 5/2 \\ \hline 3/2 & 1/2 \\ \hline 1/2 & 0 \\ \hline 0 & -2/5 \\ \hline 6/35 & 2/5 \\ \hline 12/35 & 0 \\ \hline 5/14 & -3/10 \\ \hline 0 & +1/2 \\ \hline 18/35 & -3/35 \\ \hline -1/5 & 1/5 \\ \hline 4/35 & -27/70 \\ \hline 2/5 & -1/10 \\ \hline\end{array}$	$\mathbf{0}$ $\begin{array}{ c c }\hline 4 & 3 \\ \hline 3 & 2 \\ \hline\end{array}$ $\begin{array}{ c c }\hline +2 & \\ \hline +2 & -2 \\ \hline +1 & -1 \\ \hline 1/70 & 1/10 \\ \hline +1 & -1 \\ \hline 8/35 & 2/5 \\ \hline 1/14 & -1/10 \\ \hline -1/10 & -1/5 \\ \hline 0 & 0 \\ \hline 18/35 & 0 \\ \hline -2/7 & 0 \\ \hline 1/5 & \\ \hline -1/10 & -1/5 \\ \hline 8/35 & -2/5 \\ \hline 1/14 & 1/10 \\ \hline 1/10 & -1/5 \\ \hline 4/35 & -27/70 \\ \hline 2/5 & 1/5 \\ \hline\end{array}$

<sup>a</sup>Or “Wigner coefficients,” using the Condon–Shortley sign convention. Note that a square root is assumed over all coefficient digits, so that “ $-3/10$ ” corresponds to  $-\sqrt{3}/10$ . Also for clarity, only values of  $m_j \geq 0$  are listed here. The coefficients for  $m_j < 0$  can be obtained from the symmetry relation  $\langle j, -m_j | l_1, -m_1; l_2, -m_2 \rangle = (-1)^{l_1+l_2-j} \langle j, m_j | l_1, m_1; l_2, m_2 \rangle$ .

## Angular momentum addition<sup>a</sup>

	$\mathbf{J} = \mathbf{L} + \mathbf{S}$	(4.128)	$\mathbf{J}, \mathbf{J}$ total angular momentum
	$\hat{J}_z = \hat{L}_z + \hat{S}_z$	(4.129)	$\mathbf{L}, \mathbf{L}$ orbital angular momentum
Total angular momentum	$\hat{\mathbf{J}}^2 = \hat{\mathbf{L}}^2 + \hat{\mathbf{S}}^2 + 2\hat{\mathbf{L}} \cdot \hat{\mathbf{S}}$	(4.130)	$\mathbf{S}, \mathbf{S}$ spin angular momentum
	$\hat{J}_z \psi_{j,m_j} = m_j \hbar \psi_{j,m_j}$	(4.131)	$\psi$ eigenfunctions
	$\hat{J}^2 \psi_{j,m_j} = j(j+1) \hbar^2 \psi_{j,m_j}$	(4.132)	$m_j$ magnetic quantum number $ m_j  \leq j$
	$j$ -multiplicity = $(2l+1)(2s+1)$	(4.133)	$j$ $(l+s) \geq j \geq  l-s $
Mutually commuting sets	$\{L^2, S^2, J^2, J_z, \mathbf{L} \cdot \mathbf{S}\}$	(4.134)	{ set of mutually commuting observables
	$\{L^2, S^2, L_z, S_z, J_z\}$	(4.135)	
Clebsch–Gordan coefficients <sup>b</sup>	$ j, m_j\rangle = \sum_{\substack{m_l, m_s \\ m_s + m_l = m_j}} \langle j, m_j   l, m_l; s, m_s \rangle  l, m_l\rangle  s, m_s\rangle$	(4.136)	$ \cdot\rangle$ eigenstates $\langle \cdot   \cdot \rangle$ Clebsch–Gordan coefficients

<sup>a</sup>Summing spin and orbital angular momenta as examples, eigenstates  $|s, m_s\rangle$  and  $|l, m_l\rangle$ .

<sup>b</sup>Or “Wigner coefficients.” Assuming no  $L$ – $S$  interaction.

## Magnetic moments

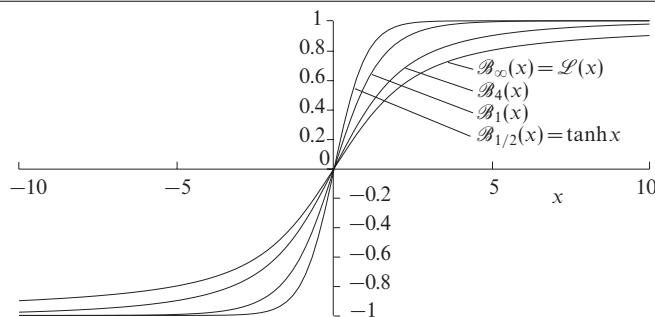
Bohr magneton	$\mu_B = \frac{e\hbar}{2m_e}$	(4.137)	$\mu_B$ Bohr magneton – $e$ electronic charge $\hbar$ (Planck constant)/(2 $\pi$ ) $m_e$ electron mass
Gyromagnetic ratio <sup>a</sup>	$\gamma = \frac{\text{orbital magnetic moment}}{\text{orbital angular momentum}}$	(4.138)	$\gamma$ gyromagnetic ratio
Electron orbital gyromagnetic ratio	$\gamma_e = \frac{-\mu_B}{\hbar}$	(4.139)	$\gamma_e$ electron gyromagnetic ratio
	$= \frac{-e}{2m_e}$	(4.140)	
Spin magnetic moment of an electron <sup>b</sup>	$\mu_{e,z} = -g_e \mu_B m_s$	(4.141)	$\mu_{e,z}$ z component of spin magnetic moment
	$= \pm g_e \frac{\hbar}{2}$	(4.142)	$g_e$ electron g-factor ( $\approx 2.002$ )
	$= \pm \frac{g_e e \hbar}{4m_e}$	(4.143)	$m_s$ spin quantum number ( $\pm 1/2$ )
Landé g-factor <sup>c</sup>	$\mu_J = g_J \sqrt{J(J+1)} \mu_B$	(4.144)	$\mu_J$ total magnetic moment
	$\mu_{J,z} = -g_J \mu_B m_J$	(4.145)	$\mu_{J,z}$ z component of $\mu_J$
	$g_J = 1 + \frac{J(J+1) + S(S+1) - L(L+1)}{2J(J+1)}$	(4.146)	$m_J$ magnetic quantum number $J, L, S$ total, orbital, and spin quantum numbers $g_J$ Landé g-factor

<sup>a</sup>Or “magnetogyric ratio.”

<sup>b</sup>The electron g-factor equals exactly 2 in Dirac theory. The modification  $g_e = 2 + \alpha/\pi + \dots$ , where  $\alpha$  is the fine structure constant, comes from quantum electrodynamics.

<sup>c</sup>Relating the spin + orbital angular momenta of an electron to its total magnetic moment, assuming  $g_e = 2$ .

## Quantum paramagnetism



$$\mathcal{B}_J(x) = \frac{2J+1}{2J} \coth \left[ \frac{(2J+1)x}{2J} \right] - \frac{1}{2J} \coth \frac{x}{2J} \quad (4.147)$$

Brillouin  
function

$$\mathcal{B}_J(x) \simeq \begin{cases} \frac{J+1}{3J}x & (x \ll 1) \\ \mathcal{L}(x) & (J \gg 1) \end{cases} \quad (4.148)$$

$$\mathcal{B}_{1/2}(x) = \tanh x \quad (4.149)$$

Mean  
magnetisation<sup>a</sup>

$$\langle M \rangle = n\mu_B J g_J \mathcal{B}_J \left( Jg_J \frac{\mu_B B}{kT} \right) \quad (4.150)$$

$\langle M \rangle$  for isolated  
spins ( $J = 1/2$ )

$$\langle M \rangle_{1/2} = n\mu_B \tanh \left( \frac{\mu_B B}{kT} \right) \quad (4.151)$$

$\mathcal{B}_J(x)$	Brillouin function
$J$	total angular momentum quantum number
$\mathcal{L}(x)$	Langevin function $= \coth x - 1/x$ (see page 144)
$\langle M \rangle$	mean magnetisation
$n$	number density of atoms
$g_J$	Landé $g$ -factor
$\mu_B$	Bohr magneton
$B$	magnetic flux density
$k$	Boltzmann constant
$T$	temperature
$\langle M \rangle_{1/2}$	mean magnetisation for $J = 1/2$ (and $g_J = 2$ )

<sup>a</sup>Of an ensemble of atoms in thermal equilibrium at temperature  $T$ , each with total angular momentum quantum number  $J$ .

## 4.6 Perturbation theory

### Time-independent perturbation theory

Unperturbed states	$\hat{H}_0\psi_n = E_n\psi_n$ ( $\psi_n$ nondegenerate)	(4.152)	$\hat{H}_0$ unperturbed Hamiltonian $\psi_n$ eigenfunctions of $\hat{H}_0$ $E_n$ eigenvalues of $\hat{H}_0$ $n$ integer $\geq 0$
Perturbed Hamiltonian	$\hat{H} = \hat{H}_0 + \hat{H}'$	(4.153)	$\hat{H}$ perturbed Hamiltonian $\hat{H}'$ perturbation ( $\ll \hat{H}_0$ )
Perturbed eigenvalues <sup>a</sup>	$E'_k = E_k + \langle \psi_k   \hat{H}'   \psi_k \rangle + \sum_{n \neq k} \frac{ \langle \psi_k   \hat{H}'   \psi_n \rangle ^2}{E_k - E_n} + \dots$	(4.154)	$E'_k$ perturbed eigenvalue ( $\simeq E_k$ ) $\langle \rangle$ Dirac bracket
Perturbed eigenfunctions <sup>b</sup>	$\psi'_k = \psi_k + \sum_{n \neq k} \frac{\langle \psi_k   \hat{H}'   \psi_n \rangle}{E_k - E_n} \psi_n + \dots$	(4.155)	$\psi'_k$ perturbed eigenfunction ( $\simeq \psi_k$ )

<sup>a</sup>To second order.

<sup>b</sup>To first order.

### Time-dependent perturbation theory

Unperturbed stationary states	$\hat{H}_0\psi_n = E_n\psi_n$	(4.156)	$\hat{H}_0$ unperturbed Hamiltonian $\psi_n$ eigenfunctions of $\hat{H}_0$ $E_n$ eigenvalues of $\hat{H}_0$ $n$ integer $\geq 0$
Perturbed Hamiltonian	$\hat{H}(t) = \hat{H}_0 + \hat{H}'(t)$	(4.157)	$\hat{H}$ perturbed Hamiltonian $\hat{H}'(t)$ perturbation ( $\ll \hat{H}_0$ ) $t$ time
Schrödinger equation	$[\hat{H}_0 + \hat{H}'(t)]\Psi(t) = i\hbar \frac{\partial \Psi(t)}{\partial t}$	(4.158)	$\Psi$ wavefunction $\psi_0$ initial state $\hbar$ (Planck constant)/(2π)
Perturbed wave-function <sup>a</sup>	$\Psi(t=0) = \psi_0$	(4.159)	
Perturbed wave-function <sup>a</sup>	$\Psi(t) = \sum_n c_n(t) \psi_n \exp(-iE_n t/\hbar)$	(4.160)	$c_n$ probability amplitudes
	where		
	$c_n = \frac{-i}{\hbar} \int_0^t \langle \psi_n   \hat{H}'(t')   \psi_0 \rangle \exp[i(E_n - E_0)t'/\hbar] dt'$	(4.161)	
Fermi's golden rule	$\Gamma_{i \rightarrow f} = \frac{2\pi}{\hbar}  \langle \psi_f   \hat{H}'   \psi_i \rangle ^2 \rho(E_f)$	(4.162)	$\Gamma_{i \rightarrow f}$ transition probability per unit time from state $i$ to state $f$ $\rho(E_f)$ density of final states

<sup>a</sup>To first order.

## 4.7 High energy and nuclear physics

### Nuclear decay

Nuclear decay law	$N(t) = N(0)e^{-\lambda t}$	(4.163)	$N(t)$ number of nuclei remaining after time $t$ $t$ time $\lambda$ decay constant
Half-life and mean life	$T_{1/2} = \frac{\ln 2}{\lambda}$	(4.164)	$T_{1/2}$ half-life
	$\langle T \rangle = 1/\lambda$	(4.165)	$\langle T \rangle$ mean lifetime
Successive decays $1 \rightarrow 2 \rightarrow 3$ (species 3 stable)			
	$N_1(t) = N_1(0)e^{-\lambda_1 t}$	(4.166)	
	$N_2(t) = N_2(0)e^{-\lambda_2 t} + \frac{N_1(0)\lambda_1(e^{-\lambda_1 t} - e^{-\lambda_2 t})}{\lambda_2 - \lambda_1}$	(4.167)	
	$N_3(t) = N_3(0) + N_2(0)(1 - e^{-\lambda_2 t}) + N_1(0) \left( 1 + \frac{\lambda_1 e^{-\lambda_2 t} - \lambda_2 e^{-\lambda_1 t}}{\lambda_2 - \lambda_1} \right)$	(4.168)	
Geiger's law <sup>a</sup>	$v^3 = a(R - x)$	(4.169)	$v$ velocity of $\alpha$ particle $x$ distance from source $a$ constant
Geiger–Nuttall rule	$\log \lambda = b + c \log R$	(4.170)	$R$ range $b, c$ constants for each series $\alpha, \beta$ , and $\gamma$

<sup>a</sup>For  $\alpha$  particles in air (empirical).

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### Nuclear binding energy

Liquid drop model <sup>a</sup>	$B = a_v A - a_s A^{2/3} - a_c \frac{Z^2}{A^{1/3}} - a_a \frac{(N-Z)^2}{A} + \delta(A)$	(4.171)	$N$ number of neutrons $A$ mass number ( $= N + Z$ ) $B$ semi-empirical binding energy $Z$ number of protons $a_v$ volume term ( $\sim 15.8$ MeV) $a_s$ surface term ( $\sim 18.0$ MeV) $a_c$ Coulomb term ( $\sim 0.72$ MeV) $a_a$ asymmetry term ( $\sim 23.5$ MeV) $a_p$ pairing term ( $\sim 33.5$ MeV)
	$\delta(A) \simeq \begin{cases} +a_p A^{-3/4} & Z, N \text{ both even} \\ -a_p A^{-3/4} & Z, N \text{ both odd} \\ 0 & \text{otherwise} \end{cases}$	(4.172)	$M(Z, A)$ atomic mass $M_H$ mass of hydrogen atom $m_n$ neutron mass
Semi-empirical mass formula	$M(Z, A) = Z M_H + N m_n - B$	(4.173)	

<sup>a</sup>Coefficient values are empirical and approximate.

## Nuclear collisions

Breit–Wigner formula <sup>a</sup>	$\sigma(E) = \frac{\pi}{k^2} g \frac{\Gamma_{ab}\Gamma_c}{(E-E_0)^2 + \Gamma^2/4}$ (4.174)	$\sigma(E)$ cross-section for $a+b \rightarrow c$ $k$ incoming wavenumber $g$ spin factor $E$ total energy (PE + KE) $E_0$ resonant energy $\Gamma$ width of resonant state $R$ $\Gamma_{ab}$ partial width into $a+b$ $\Gamma_c$ partial width into $c$ $\tau$ resonance lifetime $J$ total angular momentum quantum number of $R$ $s_{a,b}$ spins of $a$ and $b$ $\frac{d\sigma}{d\Omega}$ differential collision cross-section $\mu$ reduced mass $K =  \mathbf{k}_{in} - \mathbf{k}_{out} $ (see footnote) $r$ radial distance $V(r)$ potential energy of interaction
Total width	$\Gamma = \Gamma_{ab} + \Gamma_c$ (4.176)	
Resonance lifetime	$\tau = \frac{\hbar}{\Gamma}$ (4.177)	
Born scattering formula <sup>b</sup>	$\frac{d\sigma}{d\Omega} = \left  \frac{2\mu}{\hbar^2} \int_0^\infty \frac{\sin Kr}{Kr} V(r) r^2 dr \right ^2$ (4.178)	
Mott scattering formula <sup>c</sup>	$\frac{d\sigma}{d\Omega} = \left( \frac{\alpha}{4E} \right)^2 \left[ \csc^4 \frac{\chi}{2} + \sec^4 \frac{\chi}{2} + \frac{A \cos(\frac{\alpha}{\hbar v} \ln \tan^2 \frac{\chi}{2})}{\sin^2 \frac{\chi}{2} \cos \frac{\chi}{2}} \right]$ (4.179)	$\hbar$ (Planck constant)/ $2\pi$ $\alpha/r$ scattering potential energy $\chi$ scattering angle $v$ closing velocity $A = 2$ for spin-zero particles, $= -1$ for spin-half particles
	$\frac{d\sigma}{d\Omega} \simeq \left( \frac{\alpha}{2E} \right)^2 \frac{4 - 3 \sin^2 \chi}{\sin^4 \chi} \quad (A = -1, \alpha \ll v\hbar)$ (4.180)	

<sup>a</sup>For the reaction  $a+b \rightarrow R \rightarrow c$  in the centre of mass frame.

<sup>b</sup>For a central field. The Born approximation holds when the potential energy of scattering,  $V$ , is much less than the total kinetic energy.  $K$  is the magnitude of the change in the particle's wavevector due to scattering.

<sup>c</sup>For identical particles undergoing Coulomb scattering in the centre of mass frame. Nonidentical particles obey the Rutherford scattering formula (page 72).

## Relativistic wave equations<sup>a</sup>

Klein–Gordon equation (massive, spin zero particles)	$(\nabla^2 - m^2)\psi = \frac{\partial^2 \psi}{\partial t^2}$ (4.181)	$\psi$ wavefunction $m$ particle mass $t$ time
Weyl equations (massless, spin 1/2 particles)	$\frac{\partial \psi}{\partial t} = \pm \left( \boldsymbol{\sigma}_x \frac{\partial \psi}{\partial x} + \boldsymbol{\sigma}_y \frac{\partial \psi}{\partial y} + \boldsymbol{\sigma}_z \frac{\partial \psi}{\partial z} \right)$ (4.182)	$\psi$ spinor wavefunction $\boldsymbol{\sigma}_i$ Pauli spin matrices (see page 26)
Dirac equation (massive, spin 1/2 particles)	$(i\gamma^\mu \partial_\mu - m)\psi = 0$ (4.183) where $\partial_\mu = \left( \frac{\partial}{\partial t}, \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right)$ (4.184) $(\gamma^0)^2 = \mathbf{1}_4; \quad (\gamma^1)^2 = (\gamma^2)^2 = (\gamma^3)^2 = -\mathbf{1}_4$ (4.185)	$i$ $i^2 = -1$ $\gamma^\mu$ Dirac matrices: $\gamma^0 = \begin{pmatrix} \mathbf{1}_2 & 0 \\ 0 & -\mathbf{1}_2 \end{pmatrix}$ $\gamma^i = \begin{pmatrix} 0 & \boldsymbol{\sigma}_i \\ -\boldsymbol{\sigma}_i & 0 \end{pmatrix}$ $\mathbf{1}_n$ $n \times n$ unit matrix

<sup>a</sup>Written in natural units, with  $c = \hbar = 1$ .

# Chapter 5 Thermodynamics

## 5.1 Introduction

The term *thermodynamics* is used here loosely and includes classical thermodynamics, statistical thermodynamics, thermal physics, and radiation processes. Notation in these subjects can be confusing and the conventions used here are those found in the majority of modern treatments. In particular:

- The internal energy of a system is defined in terms of the heat supplied *to* the system plus the work done *on* the system, that is,  $dU = dQ + dW$ .
- The lowercase symbol  $p$  is used for pressure. Probability density functions are denoted by  $p(x)$  and microstate probabilities by  $p_i$ .
- With the exception of *specific intensity*, quantities are taken as specific if they refer to unit mass and are distinguished from the extensive equivalent by using lowercase. Hence *specific volume*,  $v$ , equals  $V/m$ , where  $V$  is the volume of gas and  $m$  its mass. Also, the *specific heat capacity* of a gas at constant pressure is  $c_p = C_p/m$ , where  $C_p$  is the heat capacity of mass  $m$  of gas. Molar values take a subscript “m” (e.g.,  $V_m$  for molar volume) and remain in upper case.
- The component held constant during a partial differentiation is shown after a vertical bar; hence  $\left.\frac{\partial V}{\partial p}\right|_T$  is the partial differential of volume with respect to pressure, holding temperature constant.

The thermal properties of solids are dealt with more explicitly in the section on solid state physics (page 123). Note that in solid state literature *specific heat capacity* is often taken to mean heat capacity per unit volume.

## 5.2 Classical thermodynamics

### Thermodynamic laws

Thermodynamic temperature <sup>a</sup>	$T \propto \lim_{p \rightarrow 0} (pV)$	(5.1)	$T$ thermodynamic temperature $V$ volume of a fixed mass of gas $p$ gas pressure $K$ kelvin unit $\text{tr}$ temperature of the triple point of water
Kelvin temperature scale	$T / K = 273.16 \frac{\lim_{p \rightarrow 0} (pV)_T}{\lim_{p \rightarrow 0} (pV)_{\text{tr}}}$	(5.2)	$dU$ change in internal energy $dW$ work done on system $dQ$ heat supplied to system $S$ experimental entropy $T$ temperature $\text{rev}$ reversible change
First law <sup>b</sup>	$dU = dQ + dW$	(5.3)	
Entropy <sup>c</sup>	$dS = \frac{dQ_{\text{rev}}}{T} \geq \frac{dQ}{T}$	(5.4)	

<sup>a</sup>As determined with a gas thermometer. The idea of temperature is associated with the zeroth law of thermodynamics: *If two systems are in thermal equilibrium with a third, they are also in thermal equilibrium with each other.*

<sup>b</sup>The  $d$  notation represents a differential change in a quantity that is not a function of state of the system.

<sup>c</sup>Associated with the second law of thermodynamics: *No process is possible with the sole effect of completely converting heat into work* (Kelvin statement).

### Thermodynamic work<sup>a</sup>

Hydrostatic pressure	$dW = -p dV$	(5.5)	$p$ (hydrostatic) pressure $dV$ volume change $dW$ work done on the system
Surface tension	$dW = \gamma dA$	(5.6)	$\gamma$ surface tension $dA$ change in area
Electric field	$dW = \mathbf{E} \cdot d\mathbf{p}$	(5.7)	$E$ electric field $d\mathbf{p}$ induced electric dipole moment
Magnetic field	$dW = \mathbf{B} \cdot dm$	(5.8)	$B$ magnetic flux density $dm$ induced magnetic dipole moment
Electric current	$dW = \Delta\phi dq$	(5.9)	$\Delta\phi$ potential difference $dq$ charge moved

<sup>a</sup>The sources of electric and magnetic fields are taken as being outside the thermodynamic system on which they are working.

## Cycle efficiencies (thermodynamic)<sup>a</sup>

Heat engine	$\eta = \frac{\text{work extracted}}{\text{heat input}} \leq \frac{T_h - T_l}{T_h}$	(5.10)	$\eta$ efficiency $T_h$ higher temperature $T_l$ lower temperature
Refrigerator	$\eta = \frac{\text{heat extracted}}{\text{work done}} \leq \frac{T_l}{T_h - T_l}$	(5.11)	
Heat pump	$\eta = \frac{\text{heat supplied}}{\text{work done}} \leq \frac{T_h}{T_h - T_l}$	(5.12)	
Otto cycle <sup>b</sup>	$\eta = \frac{\text{work extracted}}{\text{heat input}} = 1 - \left( \frac{V_2}{V_1} \right)^{\gamma-1}$	(5.13)	$\frac{V_1}{V_2}$ compression ratio $\gamma$ ratio of heat capacities (assumed constant)

<sup>a</sup>The equalities are for reversible cycles, such as Carnot cycles, operating between temperatures  $T_h$  and  $T_l$ .

<sup>b</sup>Idealised reversible “petrol” (heat) engine.

## Heat capacities

Constant volume	$C_V = \frac{\partial Q}{\partial T} \Big _V = \frac{\partial U}{\partial T} \Big _V = T \frac{\partial S}{\partial T} \Big _V$	(5.14)	$C_V$ heat capacity, $V$ constant $Q$ heat $T$ temperature $V$ volume $U$ internal energy $S$ entropy
Constant pressure	$C_p = \frac{\partial Q}{\partial T} \Big _p = \frac{\partial H}{\partial T} \Big _p = T \frac{\partial S}{\partial T} \Big _p$	(5.15)	$C_p$ heat capacity, $p$ constant $p$ pressure $H$ enthalpy
Difference in heat capacities	$C_p - C_V = \left( \frac{\partial U}{\partial V} \Big _T + p \right) \frac{\partial V}{\partial T} \Big _p$	(5.16)	$\beta_p$ isobaric expansivity $\kappa_T$ isothermal compressibility
	$= \frac{VT\beta_p^2}{\kappa_T}$	(5.17)	
Ratio of heat capacities	$\gamma = \frac{C_p}{C_V} = \frac{\kappa_T}{\kappa_S}$	(5.18)	$\gamma$ ratio of heat capacities $\kappa_S$ adiabatic compressibility

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## Thermodynamic coefficients

Isobaric expansivity <sup>a</sup>	$\beta_p = \frac{1}{V} \frac{\partial V}{\partial T} \Big _p$	(5.19)	$\beta_p$ isobaric expansivity $V$ volume $T$ temperature
Isothermal compressibility	$\kappa_T = -\frac{1}{V} \frac{\partial V}{\partial p} \Big _T$	(5.20)	$\kappa_T$ isothermal compressibility $p$ pressure
Adiabatic compressibility	$\kappa_S = -\frac{1}{V} \frac{\partial V}{\partial p} \Big _S$	(5.21)	$\kappa_S$ adiabatic compressibility
Isothermal bulk modulus	$K_T = \frac{1}{\kappa_T} = -V \frac{\partial p}{\partial V} \Big _T$	(5.22)	$K_T$ isothermal bulk modulus
Adiabatic bulk modulus	$K_S = \frac{1}{\kappa_S} = -V \frac{\partial p}{\partial V} \Big _S$	(5.23)	$K_S$ adiabatic bulk modulus

<sup>a</sup>Also called “cubic expansivity” or “volume expansivity.” The linear expansivity is  $\alpha_p = \beta_p/3$ .

## Expansion processes

Joule expansion <sup>a</sup>	$\eta = \frac{\partial T}{\partial V} \Big _U = -\frac{T^2}{C_V} \frac{\partial(p/T)}{\partial T} \Big _V \quad (5.24)$	$\eta$ Joule coefficient
	$= -\frac{1}{C_V} \left( T \frac{\partial p}{\partial T} \Big _V - p \right) \quad (5.25)$	$T$ temperature
Joule–Kelvin expansion <sup>b</sup>	$\mu = \frac{\partial T}{\partial p} \Big _H = \frac{T^2}{C_p} \frac{\partial(V/T)}{\partial T} \Big _p \quad (5.26)$	$p$ pressure
	$= \frac{1}{C_p} \left( T \frac{\partial V}{\partial T} \Big _p - V \right) \quad (5.27)$	$U$ internal energy
		$C_V$ heat capacity, $V$ constant
		$\mu$ Joule–Kelvin coefficient
		$V$ volume
		$H$ enthalpy
		$C_p$ heat capacity, $p$ constant

<sup>a</sup>Expansion with no change in internal energy.

<sup>b</sup>Expansion with no change in enthalpy. Also known as a “Joule–Thomson expansion” or “throttling” process.

## Thermodynamic potentials<sup>a</sup>

Internal energy	$dU = T dS - p dV + \mu dN \quad (5.28)$	$U$ internal energy
Enthalpy	$H = U + pV \quad (5.29)$	$T$ temperature
	$dH = T dS + V dp + \mu dN \quad (5.30)$	$S$ entropy
Helmholtz free energy <sup>b</sup>	$F = U - TS \quad (5.31)$	$\mu$ chemical potential
	$dF = -S dT - p dV + \mu dN \quad (5.32)$	$N$ number of particles
Gibbs free energy <sup>c</sup>	$G = U - TS + pV \quad (5.33)$	$H$ enthalpy
	$= F + pV = H - TS \quad (5.34)$	$p$ pressure
	$dG = -S dT + V dp + \mu dN \quad (5.35)$	$V$ volume
Grand potential	$\Phi = F - \mu N \quad (5.36)$	$F$ Helmholtz free energy
	$d\Phi = -S dT - p dV - N d\mu \quad (5.37)$	
Gibbs–Duhem relation	$-S dT + V dp - N d\mu = 0 \quad (5.38)$	$G$ Gibbs free energy
Availability	$A = U - T_0 S + p_0 V \quad (5.39)$	$\Phi$ grand potential
	$dA = (T - T_0) dS - (p - p_0) dV \quad (5.40)$	
		$A$ availability
		$T_0$ temperature of surroundings
		$p_0$ pressure of surroundings

<sup>a</sup> $dN=0$  for a closed system.

<sup>b</sup>Sometimes called the “work function.”

<sup>c</sup>Sometimes called the “thermodynamic potential.”

## Maxwell's relations

Maxwell 1	$\frac{\partial T}{\partial V}\Big _S = -\frac{\partial p}{\partial S}\Big _V \quad \left(= \frac{\partial^2 U}{\partial S \partial V}\right)$	(5.41)	$U$ internal energy $T$ temperature $V$ volume $H$ enthalpy $S$ entropy $p$ pressure
Maxwell 2	$\frac{\partial T}{\partial p}\Big _S = \frac{\partial V}{\partial S}\Big _p \quad \left(= \frac{\partial^2 H}{\partial p \partial S}\right)$	(5.42)	$F$ Helmholtz free energy
Maxwell 3	$\frac{\partial p}{\partial T}\Big _V = \frac{\partial S}{\partial V}\Big _T \quad \left(= \frac{\partial^2 F}{\partial T \partial V}\right)$	(5.43)	$G$ Gibbs free energy
Maxwell 4	$\frac{\partial V}{\partial T}\Big _p = -\frac{\partial S}{\partial p}\Big _T \quad \left(= \frac{\partial^2 G}{\partial p \partial T}\right)$	(5.44)	

## Gibbs–Helmholtz equations

$U = -T^2 \frac{\partial(F/T)}{\partial T}\Big _V$	(5.45)	$F$ Helmholtz free energy $U$ internal energy $G$ Gibbs free energy $H$ enthalpy $T$ temperature $p$ pressure $V$ volume
$G = -V^2 \frac{\partial(F/V)}{\partial V}\Big _T$	(5.46)	
$H = -T^2 \frac{\partial(G/T)}{\partial T}\Big _p$	(5.47)	

## Phase transitions

Heat absorbed	$L = T(S_2 - S_1)$	(5.48)	$L$ (latent) heat absorbed ( $1 \rightarrow 2$ ) $T$ temperature of phase change $S$ entropy
Clausius–Clapeyron equation <sup>a</sup>	$\frac{dp}{dT} = \frac{S_2 - S_1}{V_2 - V_1}$	(5.49)	$p$ pressure $V$ volume
	$= \frac{L}{T(V_2 - V_1)}$	(5.50)	1,2 phase states
Coexistence curve <sup>b</sup>	$p(T) \propto \exp\left(\frac{-L}{RT}\right)$	(5.51)	$R$ molar gas constant
Ehrenfest's equation <sup>c</sup>	$\frac{dp}{dT} = \frac{\beta_{p2} - \beta_{p1}}{\kappa_{T2} - \kappa_{T1}}$	(5.52)	$\beta_p$ isobaric expansivity $\kappa_T$ isothermal compressibility $C_p$ heat capacity ( $p$ constant)
	$= \frac{1}{VT} \frac{C_{p2} - C_{p1}}{\beta_{p2} - \beta_{p1}}$	(5.53)	$P$ number of phases in equilibrium $F$ number of degrees of freedom $C$ number of components
Gibbs's phase rule	$P + F = C + 2$	(5.54)	

<sup>a</sup>Phase boundary gradient for a first-order transition. Equation (5.50) is sometimes called the “Clapeyron equation.”

<sup>b</sup>For  $V_2 \gg V_1$ , e.g., if phase 1 is a liquid and phase 2 a vapour.

<sup>c</sup>For a second-order phase transition.

### 5.3 Gas laws

#### Ideal gas

Joule's law	$U = U(T)$	(5.55)	$U$ internal energy $T$ temperature
Boyle's law	$pV _T = \text{constant}$	(5.56)	$p$ pressure $V$ volume
Equation of state (Ideal gas law)	$pV = nRT$	(5.57)	$n$ number of moles $R$ molar gas constant
Adiabatic equations	$pV^\gamma = \text{constant}$ $TV^{(\gamma-1)} = \text{constant}$ $T^\gamma p^{(1-\gamma)} = \text{constant}$ $\Delta W = \frac{1}{\gamma-1}(p_2 V_2 - p_1 V_1)$	(5.58) (5.59) (5.60) (5.61)	$\gamma$ ratio of heat capacities ( $C_p/C_V$ ) $\Delta W$ work done on system
Internal energy	$U = \frac{nRT}{\gamma-1}$	(5.62)	$\Delta Q$ heat supplied to system 1,2 initial and final states
Reversible isothermal expansion	$\Delta Q = nRT \ln(V_2/V_1)$	(5.63)	$\Delta S$ change in entropy of the system
Joule expansion <sup>a</sup>	$\Delta S = nR \ln(V_2/V_1)$	(5.64)	

<sup>a</sup>Since  $\Delta Q = 0$  for a Joule expansion,  $\Delta S$  is due entirely to irreversibility. Because entropy is a function of state it has the same value as for the reversible isothermal expansion, where  $\Delta S = \Delta Q/T$ .

#### Virial expansion

Virial expansion	$pV = RT \left( 1 + \frac{B_2(T)}{V} + \frac{B_3(T)}{V^2} + \dots \right)$	(5.65)	$p$ pressure $V$ volume $R$ molar gas constant $T$ temperature $B_i$ virial coefficients
Boyle temperature	$B_2(T_B) = 0$	(5.66)	$T_B$ Boyle temperature

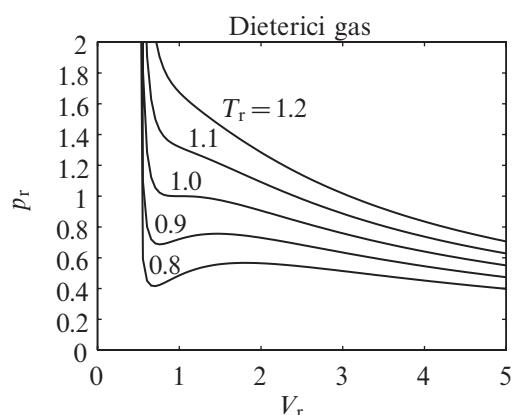
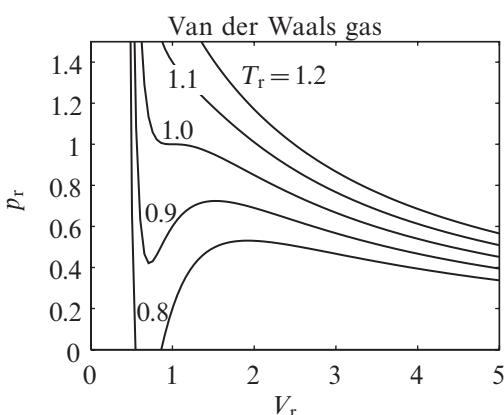
## Van der Waals gas

Equation of state	$\left(p + \frac{a}{V_m^2}\right)(V_m - b) = RT$	(5.67)	$p$ pressure $V_m$ molar volume $R$ molar gas constant $T$ temperature $a, b$ van der Waals' constants
Critical point	$T_c = 8a/(27Rb)$	(5.68)	$T_c$ critical temperature
	$p_c = a/(27b^2)$	(5.69)	$p_c$ critical pressure
	$V_{mc} = 3b$	(5.70)	$V_{mc}$ critical molar volume
Reduced equation of state	$\left(p_r + \frac{3}{V_r^2}\right)(3V_r - 1) = 8T_r$	(5.71)	$p_r = p/p_c$ $V_r = V_m/V_{mc}$ $T_r = T/T_c$

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## Dieterici gas

Equation of state	$p = \frac{RT}{V_m - b'} \exp\left(\frac{-a'}{RTV_m}\right)$	(5.72)	$p$ pressure $V_m$ molar volume $R$ molar gas constant $T$ temperature $a', b'$ Dieterici's constants
Critical point	$T_c = a'/(4Rb')$	(5.73)	$T_c$ critical temperature
	$p_c = a'/(4b'^2 e^2)$	(5.74)	$p_c$ critical pressure
	$V_{mc} = 2b'$	(5.75)	$V_{mc}$ critical molar volume $e = 2.71828\dots$
Reduced equation of state	$p_r = \frac{T_r}{2V_r - 1} \exp\left(2 - \frac{2}{V_r T_r}\right)$	(5.76)	$p_r = p/p_c$ $V_r = V_m/V_{mc}$ $T_r = T/T_c$



## 5.4 Kinetic theory

### Monatomic gas

Pressure	$p = \frac{1}{3}nm\langle c^2 \rangle$	(5.77)	$p$ pressure $n$ number density $= N/V$ $m$ particle mass $\langle c^2 \rangle$ mean squared particle velocity $V$ volume $k$ Boltzmann constant $N$ number of particles $T$ temperature $U$ internal energy
Equation of state of an ideal gas	$pV = NkT$	(5.78)	
Internal energy	$U = \frac{3}{2}NkT = \frac{N}{2}m\langle c^2 \rangle$	(5.79)	
	$C_V = \frac{3}{2}Nk$	(5.80)	$C_V$ heat capacity, constant $V$
Heat capacities	$C_p = C_V + Nk = \frac{5}{2}Nk$	(5.81)	$C_p$ heat capacity, constant $p$
	$\gamma = \frac{C_p}{C_V} = \frac{5}{3}$	(5.82)	$\gamma$ ratio of heat capacities
Entropy (Sackur–Tetrode equation) <sup>a</sup>	$S = Nk \ln \left[ \left( \frac{mkT}{2\pi\hbar^2} \right)^{3/2} e^{5/2} \frac{V}{N} \right]$	(5.83)	$S$ entropy $\hbar$ = (Planck constant)/( $2\pi$ ) $e$ = 2.71828...

<sup>a</sup>For the uncondensed gas. The factor  $\left(\frac{mkT}{2\pi\hbar^2}\right)^{3/2}$  is the quantum concentration of the particles,  $n_Q$ . Their thermal de Broglie wavelength,  $\lambda_T$ , approximately equals  $n_Q^{-1/3}$ .

### Maxwell–Boltzmann distribution<sup>a</sup>

Particle speed distribution	$\text{pr}(c) dc = \left( \frac{m}{2\pi k T} \right)^{3/2} \exp\left(-\frac{mc^2}{2kT}\right) 4\pi c^2 dc$	(5.84)	$\text{pr}$ probability density $m$ particle mass $k$ Boltzmann constant $T$ temperature $c$ particle speed
Particle energy distribution	$\text{pr}(E) dE = \frac{2E^{1/2}}{\pi^{1/2}(kT)^{3/2}} \exp\left(-\frac{E}{kT}\right) dE$	(5.85)	$E$ particle kinetic energy ( $= mc^2/2$ )
Mean speed	$\langle c \rangle = \left( \frac{8kT}{\pi m} \right)^{1/2}$	(5.86)	$\langle c \rangle$ mean speed
rms speed	$c_{\text{rms}} = \left( \frac{3kT}{m} \right)^{1/2} = \left( \frac{3\pi}{8} \right)^{1/2} \langle c \rangle$	(5.87)	$c_{\text{rms}}$ root mean squared speed
Most probable speed	$\hat{c} = \left( \frac{2kT}{m} \right)^{1/2} = \left( \frac{\pi}{4} \right)^{1/2} \langle c \rangle$	(5.88)	$\hat{c}$ most probable speed

<sup>a</sup>Probability density functions normalised so that  $\int_0^\infty \text{pr}(x) dx = 1$ .

## Transport properties

Mean free path <sup>a</sup>	$l = \frac{1}{\sqrt{2\pi d^2 n}}$	(5.89)	$l$ mean free path $d$ molecular diameter $n$ particle number density
Survival equation <sup>b</sup>	$\text{pr}(x) = \exp(-x/l)$	(5.90)	$\text{pr}$ probability $x$ linear distance
Flux through a plane <sup>c</sup>	$J = \frac{1}{4}n\langle c \rangle$	(5.91)	$J$ molecular flux $\langle c \rangle$ mean molecular speed
Self-diffusion (Fick's law of diffusion) <sup>d</sup>	$\mathbf{J} = -D\nabla n$ where $D \simeq \frac{2}{3}l\langle c \rangle$	(5.92) (5.93)	$D$ diffusion coefficient
Thermal conductivity <sup>d</sup>	$\mathbf{H} = -\lambda \nabla T$ $\nabla^2 T = \frac{1}{D} \frac{\partial T}{\partial t}$ for monatomic gas $\lambda \simeq \frac{5}{4}\rho l\langle c \rangle c_V$	(5.94) (5.95) (5.96)	$H$ heat flux per unit area $\lambda$ thermal conductivity $T$ temperature $\rho$ density $c_V$ specific heat capacity, $V$ constant
Viscosity <sup>d</sup>	$\eta \simeq \frac{1}{2}\rho l\langle c \rangle$	(5.97)	$\eta$ dynamic viscosity
Brownian motion (of a sphere)	$\langle x^2 \rangle = \frac{kTt}{3\pi\eta a}$	(5.98)	$x$ displacement of sphere in $x$ direction after time $t$
Free molecular flow (Knudsen flow) <sup>e</sup>	$\frac{dM}{dt} = \frac{4R_p^3}{3L} \left( \frac{2\pi m}{k} \right)^{1/2} \left( \frac{p_1}{T_1^{1/2}} - \frac{p_2}{T_2^{1/2}} \right)$	(5.99)	$k$ Boltzmann constant $t$ time interval $a$ sphere radius $\frac{dM}{dt}$ mass flow rate $R_p$ pipe radius $L$ pipe length $m$ particle mass $p$ pressure

<sup>a</sup>For a perfect gas of hard, spherical particles with a Maxwell–Boltzmann speed distribution.

<sup>b</sup>Probability of travelling distance  $x$  without a collision.

<sup>c</sup>From the side where the number density is  $n$ , assuming an isotropic velocity distribution. Also known as “collision number.”

<sup>d</sup>Simplistic kinetic theory yields numerical coefficients of 1/3 for  $D$ ,  $\lambda$  and  $\eta$ .

<sup>e</sup>Through a pipe from end 1 to end 2, assuming  $R_p \ll l$  (i.e., at very low pressure).

## Gas equipartition

Classical equipartition <sup>a</sup>	$E_q = \frac{1}{2}kT$	(5.100)	$E_q$ energy per quadratic degree of freedom $k$ Boltzmann constant $T$ temperature
	$C_V = \frac{1}{2}fNk = \frac{1}{2}fnR$	(5.101)	$C_V$ heat capacity, $V$ constant
Ideal gas heat capacities	$C_p = Nk \left( 1 + \frac{f}{2} \right)$	(5.102)	$C_p$ heat capacity, $p$ constant $N$ number of molecules $f$ number of degrees of freedom
	$\gamma = \frac{C_p}{C_V} = 1 + \frac{2}{f}$	(5.103)	$n$ number of moles $R$ molar gas constant $\gamma$ ratio of heat capacities

<sup>a</sup>System in thermal equilibrium at temperature  $T$ .

## 5.5 Statistical thermodynamics

### Statistical entropy

Boltzmann formula <sup>a</sup>	$S = k \ln W$ (5.104)	$S$ entropy
	$\simeq k \ln g(E)$ (5.105)	$k$ Boltzmann constant
Gibbs entropy <sup>b</sup>	$S = -k \sum_i p_i \ln p_i$ (5.106)	$W$ number of accessible microstates
$N$ two-level systems	$W = \frac{N!}{(N-n)!n!}$ (5.107)	$g(E)$ density of microstates with energy $E$
$N$ harmonic oscillators	$W = \frac{(Q+N-1)!}{Q!(N-1)!}$ (5.108)	$\sum_i$ sum over microstates
		$p_i$ probability that the system is in microstate $i$
		$N$ number of systems
		$n$ number in upper state
		$Q$ total number of energy quanta available

<sup>a</sup>Sometimes called “configurational entropy.” Equation (5.105) is true only for large systems.

<sup>b</sup>Sometimes called “canonical entropy.”

### Ensemble probabilities

Microcanonical ensemble <sup>a</sup>	$p_i = \frac{1}{W}$ (5.109)	$p_i$ probability that the system is in microstate $i$
Partition function <sup>b</sup>	$Z = \sum_i e^{-\beta E_i}$ (5.110)	$W$ number of accessible microstates
Canonical ensemble (Boltzmann distribution) <sup>c</sup>	$p_i = \frac{1}{Z} e^{-\beta E_i}$ (5.111)	$Z$ partition function
Grand partition function	$\Xi = \sum_i e^{-\beta(E_i - \mu N_i)}$ (5.112)	$\sum_i$ sum over microstates
Grand canonical ensemble (Gibbs distribution) <sup>d</sup>	$p_i = \frac{1}{\Xi} e^{-\beta(E_i - \mu N_i)}$ (5.113)	$\beta = 1/(kT)$
		$E_i$ energy of microstate $i$
		$k$ Boltzmann constant
		$T$ temperature
		$\Xi$ grand partition function
		$\mu$ chemical potential
		$N_i$ number of particles in microstate $i$

<sup>a</sup>Energy fixed.

<sup>b</sup>Also called “sum over states.”

<sup>c</sup>Temperature fixed.

<sup>d</sup>Temperature fixed. Exchange of both heat and particles with a reservoir.

## Macroscopic thermodynamic variables

Helmholtz free energy	$F = -kT \ln Z$	(5.114)	$F$ Helmholtz free energy $k$ Boltzmann constant $T$ temperature $Z$ partition function $\Phi$ grand potential $\Xi$ grand partition function
Grand potential	$\Phi = -kT \ln \Xi$	(5.115)	$U$ internal energy $\beta = 1/(kT)$
Internal energy	$U = F + TS = -\frac{\partial \ln Z}{\partial \beta} \Big _{V,N}$	(5.116)	$S$ entropy $N$ number of particles
Entropy	$S = -\frac{\partial F}{\partial T} \Big _{V,N} = \frac{\partial(kT \ln Z)}{\partial T} \Big _{V,N}$	(5.117)	$p$ pressure
Pressure	$p = -\frac{\partial F}{\partial V} \Big _{T,N} = \frac{\partial(kT \ln Z)}{\partial V} \Big _{T,N}$	(5.118)	$\mu$ chemical potential
Chemical potential	$\mu = \frac{\partial F}{\partial N} \Big _{V,T} = -\frac{\partial(kT \ln Z)}{\partial N} \Big _{V,T}$	(5.119)	

5

## Identical particles

Bose-Einstein distribution <sup>a</sup>	$f_i = \frac{1}{e^{\beta(\epsilon_i - \mu)} - 1}$	$f_i$ mean occupation number of <i>i</i> th state $\beta = 1/(kT)$
Fermi-Dirac distribution <sup>b</sup>	$f_i = \frac{1}{e^{\beta(\epsilon_i - \mu)} + 1}$	$\epsilon_i$ energy quantum for <i>i</i> th state $\mu$ chemical potential
Fermi energy <sup>c</sup>	$\epsilon_F = \frac{\hbar^2}{2m} \left( \frac{6\pi^2 n}{g} \right)^{2/3}$	$\epsilon_F$ Fermi energy $\hbar$ (Planck constant)/(2π) $n$ particle number density $m$ particle mass $g$ spin degeneracy (=2 <i>s</i> +1) $\zeta$ Riemann zeta function $\zeta(3/2) \approx 2.612$
Bose condensation temperature	$T_c = \frac{2\pi\hbar^2}{mk} \left[ \frac{n}{g\zeta(3/2)} \right]^{2/3}$	$T_c$ Bose condensation temperature

<sup>a</sup>For bosons.  $f_i \geq 0$ .<sup>b</sup>For fermions.  $0 \leq f_i \leq 1$ .<sup>c</sup>For noninteracting particles. At low temperatures,  $\mu \approx \epsilon_F$ .

## Population densities<sup>a</sup>

Boltzmann excitation equation	$\frac{n_{mj}}{n_{lj}} = \frac{g_{mj}}{g_{lj}} \exp\left[\frac{-(\chi_{mj} - \chi_{lj})}{kT}\right]$ (5.124)	$n_{ij}$ number density of atoms in excitation level $i$ of ionisation state $j$ ( $j=0$ if not ionised)
	$= \frac{g_{mj}}{g_{lj}} \exp\left(\frac{-hv_{lm}}{kT}\right)$ (5.125)	$g_{ij}$ level degeneracy
Partition function	$Z_j(T) = \sum_i g_{ij} \exp\left(\frac{-\chi_{ij}}{kT}\right)$ (5.126)	$\chi_{ij}$ excitation energy relative to the ground state
	$\frac{n_{ij}}{N_j} = \frac{g_{ij}}{Z_j(T)} \exp\left(\frac{-\chi_{ij}}{kT}\right)$ (5.127)	$v_{ij}$ photon transition frequency
Saha equation (general)		$h$ Planck constant
	$n_{ij} = n_{0,j+1} n_e \frac{g_{ij}}{g_{0,j+1}} \frac{h^3}{2} (2\pi m_e k T)^{-3/2} \exp\left(\frac{\chi_{Ij} - \chi_{ij}}{kT}\right)$ (5.128)	$k$ Boltzmann constant
Saha equation (ion populations)		$T$ temperature
	$\frac{N_j}{N_{j+1}} = n_e \frac{Z_j(T)}{Z_{j+1}(T)} \frac{h^3}{2} (2\pi m_e k T)^{-3/2} \exp\left(\frac{\chi_{Ij}}{kT}\right)$ (5.129)	$Z_j$ partition function for ionisation state $j$
		$N_j$ total number density in ionisation state $j$
		$n_e$ electron number density
		$m_e$ electron mass
		$\chi_{Ij}$ ionisation energy of atom in ionisation state $j$

<sup>a</sup>All equations apply only under conditions of local thermodynamic equilibrium (LTE). In atoms with no magnetic splitting, the degeneracy of a level with total angular momentum quantum number  $J$  is  $g_{ij} = 2J + 1$ .

## 5.6 Fluctuations and noise

### Thermodynamic fluctuations<sup>a</sup>

Fluctuation probability	$\text{pr}(x) \propto \exp[S(x)/k]$ (5.130)	$\text{pr}$ probability density
	$\propto \exp\left[\frac{-A(x)}{kT}\right]$ (5.131)	$x$ unconstrained variable
General variance	$\text{var}[x] = kT \left[ \frac{\partial^2 A(x)}{\partial x^2} \right]^{-1}$ (5.132)	$S$ entropy
Temperature fluctuations	$\text{var}[T] = kT \frac{\partial T}{\partial S} \Big _V = \frac{kT^2}{C_V}$ (5.133)	$A$ availability
Volume fluctuations	$\text{var}[V] = -kT \frac{\partial V}{\partial p} \Big _T = \kappa_T V kT$ (5.134)	$\text{var}[\cdot]$ mean square deviation
Entropy fluctuations	$\text{var}[S] = kT \frac{\partial S}{\partial T} \Big _p = kC_p$ (5.135)	$k$ Boltzmann constant
Pressure fluctuations	$\text{var}[p] = -kT \frac{\partial p}{\partial V} \Big _S = \frac{K_S kT}{V}$ (5.136)	$T$ temperature
Density fluctuations	$\text{var}[n] = \frac{n^2}{V^2} \text{var}[V] = \frac{n^2}{V} \kappa_T kT$ (5.137)	$V$ volume
		$C_V$ heat capacity, $V$ constant
		$p$ pressure
		$\kappa_T$ isothermal compressibility
		$C_p$ heat capacity, $p$ constant
		$K_S$ adiabatic bulk modulus
		$n$ number density

<sup>a</sup>In part of a large system, whose mean temperature is fixed. Quantum effects are assumed negligible.

## Noise

Nyquist's noise theorem	$dw = kT \cdot \beta\epsilon(e^{\beta\epsilon} - 1)^{-1} dv$	(5.138)	$w$ exchangeable noise power $k$ Boltzmann constant $T$ temperature $T_N$ noise temperature $\beta\epsilon$ $= hv/(kT)$ $v$ frequency $h$ Planck constant $v_{rms}$ rms noise voltage $R$ resistance $\Delta v$ bandwidth $I_{rms}$ rms noise current $-e$ electronic charge $I_0$ mean current $f_{dB}$ noise figure (decibels) $T_0$ ambient temperature (usually taken as 290 K)
	$= kT_N dv$	(5.139)	
	$\simeq kT dv \quad (hv \ll kT)$	(5.140)	
Johnson (thermal) noise voltage <sup>a</sup>	$v_{rms} = (4kT_N R \Delta v)^{1/2}$	(5.141)	
Shot noise (electrical)	$I_{rms} = (2eI_0 \Delta v)^{1/2}$	(5.142)	
Noise figure <sup>b</sup>	$f_{dB} = 10 \log_{10} \left( 1 + \frac{T_N}{T_0} \right)$	(5.143)	
Relative power	$G = 10 \log_{10} \left( \frac{P_2}{P_1} \right)$	(5.144)	$G$ decibel gain of $P_2$ over $P_1$ $P_1, P_2$ power levels

<sup>a</sup>Thermal voltage over an open-circuit resistance.

<sup>b</sup>Noise figure can also be defined as  $f = 1 + T_N/T_0$ , when it is also called “noise factor.”

## 5.7 Radiation processes

### Radiometry<sup>a</sup>

Radiant energy <sup>b</sup>	$Q_e = \iiint L_e \cos\theta dA d\Omega dt \quad (5.145)$	$Q_e$ radiant energy $L_e$ radiance (generally a function of position and direction) $\theta$ angle between dir. of $d\Omega$ and normal to $dA$ $\Omega$ solid angle $A$ area $t$ time $\Phi_e$ radiant flux
Radiant flux ("radiant power")	$\Phi_e = \frac{\partial Q_e}{\partial t} \quad W \quad (5.146)$	
	$= \iint L_e \cos\theta dA d\Omega \quad (5.147)$	
Radiant energy density <sup>c</sup>	$W_e = \frac{\partial Q_e}{\partial V} \quad J m^{-3} \quad (5.148)$	$W_e$ radiant energy density $dV$ differential volume of propagation medium
Radiant exitance <sup>d</sup>	$M_e = \frac{\partial \Phi_e}{\partial A} \quad W m^{-2} \quad (5.149)$	$M_e$ radiant exitance
	$= \int L_e \cos\theta d\Omega \quad (5.150)$	
Irradiance <sup>e</sup>	$E_e = \frac{\partial \Phi_e}{\partial A} \quad W m^{-2} \quad (5.151)$	
	$= \int L_e \cos\theta d\Omega \quad (5.152)$	
Radiant intensity	$I_e = \frac{\partial \Phi_e}{\partial \Omega} \quad W sr^{-1} \quad (5.153)$	$E_e$ irradiance $I_e$ radiant intensity
	$= \int L_e \cos\theta dA \quad (5.154)$	
Radiance	$L_e = \frac{1}{\cos\theta} \frac{\partial^2 \Phi_e}{\partial A \partial \Omega} \quad W m^{-2} sr^{-1} \quad (5.155)$	
	$= \frac{1}{\cos\theta} \frac{\partial I_e}{\partial A} \quad (5.156)$	

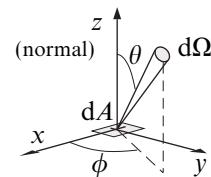
<sup>a</sup>Radiometry is concerned with the treatment of light as energy.

<sup>b</sup>Sometimes called "total energy." Note that we assume opaque radiant surfaces, so that  $0 \leq \theta \leq \pi/2$ .

<sup>c</sup>The instantaneous amount of radiant energy contained in a unit volume of propagation medium.

<sup>d</sup>Power per unit area leaving a surface. For a perfectly diffusing surface,  $M_e = \pi L_e$ .

<sup>e</sup>Power per unit area incident on a surface.



## Photometry<sup>a</sup>

Luminous energy ("total light")	$Q_v = \iiint L_v \cos\theta dA d\Omega dt \text{ lms} \quad (5.157)$	$Q_v$ luminous energy $L_v$ luminance (generally a function of position and direction) $\theta$ angle between dir. of $d\Omega$ and normal to $dA$ $\Omega$ solid angle
Luminous flux	$\Phi_v = \frac{\partial Q_v}{\partial t} \text{ lumen (lm)} \quad (5.158)$	$A$ area $t$ time $\Phi_v$ luminous flux
	$= \iint L_v \cos\theta dA d\Omega \quad (5.159)$	$W_v$ luminous density $V$ volume
Luminous density <sup>b</sup>	$W_v = \frac{\partial Q_v}{\partial V} \text{ lm sm}^{-3} \quad (5.160)$	$M_v$ luminous exitance
Luminous exitance <sup>c</sup>	$M_v = \frac{\partial \Phi_v}{\partial A} \text{ lx (lm m}^{-2}) \quad (5.161)$	$E_v$ illuminance $I_v$ luminous intensity
	$= \int L_v \cos\theta d\Omega \quad (5.162)$	
Illuminance ("illumination") <sup>d</sup>	$E_v = \frac{\partial \Phi_v}{\partial A} \text{ lm m}^{-2} \quad (5.163)$	
	$= \int L_v \cos\theta d\Omega \quad (5.164)$	
Luminous intensity <sup>e</sup>	$I_v = \frac{\partial \Phi_v}{\partial \Omega} \text{ cd} \quad (5.165)$	
	$= \int L_v \cos\theta dA \quad (5.166)$	
Luminance ("photometric brightness")	$L_v = \frac{1}{\cos\theta} \frac{\partial^2 \Phi_v}{dA d\Omega} \text{ cd m}^{-2} \quad (5.167)$	$K$ luminous efficacy $L_e$ radiance $\Phi_e$ radiant flux $I_e$ radiant intensity $V$ luminous efficiency $\lambda$ wavelength $K_{\max}$ spectral maximum of $K(\lambda)$
Luminous efficacy	$K = \frac{\Phi_v}{\Phi_e} = \frac{L_v}{L_e} = \frac{I_v}{I_e} \text{ lm W}^{-1} \quad (5.169)$	
Luminous efficiency	$V(\lambda) = \frac{K(\lambda)}{K_{\max}} \quad (5.170)$	

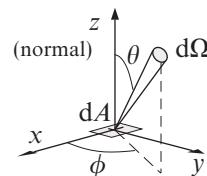
<sup>a</sup>Photometry is concerned with the treatment of light as seen by the human eye.

<sup>b</sup>The instantaneous amount of luminous energy contained in a unit volume of propagating medium.

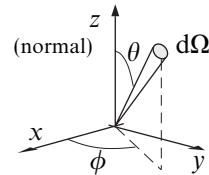
<sup>c</sup>Luminous emitted flux per unit area.

<sup>d</sup>Luminous incident flux per unit area. The derived SI unit is the lux (lx).  $1\text{lx} = 1\text{lm m}^{-2}$ .

<sup>e</sup>The SI unit of luminous intensity is the candela (cd).  $1\text{cd} = 1\text{lm sr}^{-1}$ .



## Radiative transfer<sup>a</sup>

Flux density (through a plane)	$F_v = \int I_v(\theta, \phi) \cos \theta d\Omega \quad \text{W m}^{-2} \text{Hz}^{-1}$	 <p> <math>F_v</math> flux density  <math>I_v</math> specific intensity (<math>\text{W m}^{-2} \text{Hz}^{-1} \text{sr}^{-1}</math>)  <math>J_v</math> mean intensity  <math>u_v</math> spectral energy density  <math>\Omega</math> solid angle  <math>\theta</math> angle between normal and direction of <math>\Omega</math>  <math>j_v</math> specific emission coefficient  <math>\epsilon_v</math> emission coefficient (<math>\text{W m}^{-3} \text{Hz}^{-1} \text{sr}^{-1}</math>)  <math>\rho</math> density  <math>\alpha_v</math> linear absorption coefficient  <math>n</math> particle number density  <math>\sigma_v</math> particle cross section  <math>l_v</math> mean free path  <math>\kappa_v</math> opacity  <math>\tau_v</math> optical depth, or optical thickness  <math>ds</math> line element     </p>
Mean intensity <sup>b</sup>	$J_v = \frac{1}{4\pi} \int I_v(\theta, \phi) d\Omega \quad \text{W m}^{-2} \text{Hz}^{-1}$	
Spectral energy density <sup>c</sup>	$u_v = \frac{1}{c} \int I_v(\theta, \phi) d\Omega \quad \text{J m}^{-3} \text{Hz}^{-1}$	(5.173)
Specific emission coefficient	$j_v = \frac{\epsilon_v}{\rho} \quad \text{W kg}^{-1} \text{Hz}^{-1} \text{sr}^{-1}$	(5.174)
Gas linear absorption coefficient ( $\alpha_v \ll 1$ )	$\alpha_v = n\sigma_v = \frac{1}{l_v} \quad \text{m}^{-1}$	(5.175)
Opacity <sup>d</sup>	$\kappa_v = \frac{\alpha_v}{\rho} \quad \text{kg}^{-1} \text{m}^2$	(5.176)
Optical depth	$\tau_v = \int \kappa_v \rho ds$	(5.177)
Transfer equation <sup>e</sup>	$\frac{1}{\rho} \frac{dI_v}{ds} = -\kappa_v I_v + j_v$	(5.178)
	or $\frac{dI_v}{ds} = -\alpha_v I_v + \epsilon_v$	(5.179)
Kirchhoff's law <sup>f</sup>	$S_v \equiv \frac{j_v}{\kappa_v} = \frac{\epsilon_v}{\alpha_v}$	(5.180)
Emission from a homogeneous medium	$I_v = S_v(1 - e^{-\tau_v})$	(5.181)
$S_v$ source function		

<sup>a</sup>The definitions of these quantities vary in the literature. Those presented here are common in meteorology and astrophysics. Note particularly that the ambiguous term *specific* is taken to mean “per unit frequency interval” in the case of specific intensity and “per unit mass per unit frequency interval” in the case of specific emission coefficient.

<sup>b</sup>In radio astronomy, flux density is usually taken as  $S = 4\pi J_v$ .

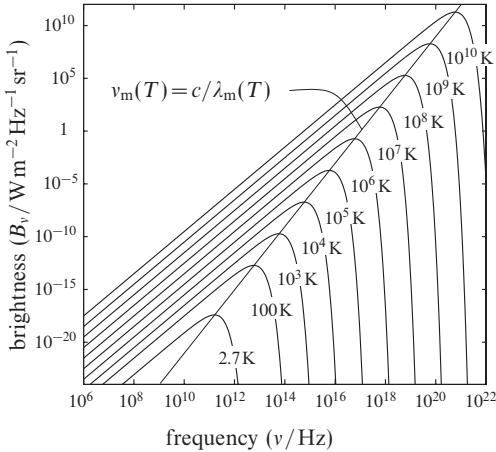
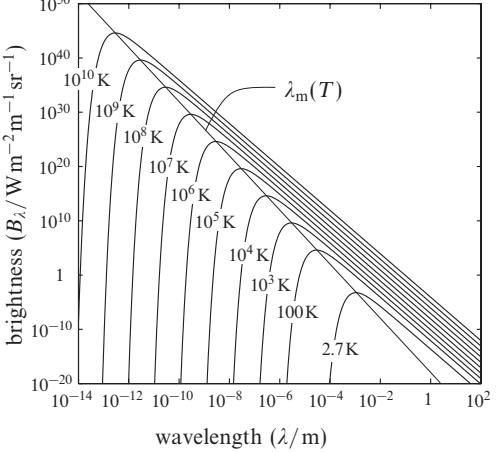
<sup>c</sup>Assuming a refractive index of 1.

<sup>d</sup>Or “mass absorption coefficient.”

<sup>e</sup>Or “Schwarzschild’s equation.”

<sup>f</sup>Under conditions of local thermal equilibrium (LTE), the source function,  $S_v$ , equals the Planck function,  $B_v(T)$  [see Equation (5.182)].

## Blackbody radiation

		
Planck function <sup>a</sup>	$B_v(T) = \frac{2hv^3}{c^2} \left[ \exp\left(\frac{hv}{kT}\right) - 1 \right]^{-1} \quad (5.182)$	$B_v \quad \text{surface brightness per unit frequency (W m}^{-2} \text{ Hz}^{-1} \text{ sr}^{-1})$
	$B_\lambda(T) = B_v(T) \frac{dv}{d\lambda} \quad (5.183)$	$B_\lambda \quad \text{surface brightness per unit wavelength (W m}^{-2} \text{ m}^{-1} \text{ sr}^{-1})$
	$= \frac{2hc^2}{\lambda^5} \left[ \exp\left(\frac{hc}{\lambda kT}\right) - 1 \right]^{-1} \quad (5.184)$	$h \quad \text{Planck constant}$
Spectral energy density	$u_v(T) = \frac{4\pi}{c} B_v(T) \quad \text{J m}^{-3} \text{ Hz}^{-1} \quad (5.185)$	$c \quad \text{speed of light}$
	$u_\lambda(T) = \frac{4\pi}{c} B_\lambda(T) \quad \text{J m}^{-3} \text{ m}^{-1} \quad (5.186)$	$k \quad \text{Boltzmann constant}$
Rayleigh–Jeans law ( $hv \ll kT$ )	$B_v(T) = \frac{2kT}{c^2} v^2 = \frac{2kT}{\lambda^2} \quad (5.187)$	$T \quad \text{temperature}$
Wien's law ( $hv \gg kT$ )	$B_v(T) = \frac{2hv^3}{c^2} \exp\left(\frac{-hv}{kT}\right) \quad (5.188)$	$u_{v,\lambda} \quad \text{spectral energy density}$
Wien's displacement law	$\lambda_m T = \begin{cases} 5.1 \times 10^{-3} \text{ m K} & \text{for } B_v \\ 2.9 \times 10^{-3} \text{ m K} & \text{for } B_\lambda \end{cases} \quad (5.189)$	$\lambda_m \quad \text{wavelength of maximum brightness}$
Stefan–Boltzmann law <sup>b</sup>	$M = \pi \int_0^\infty B_v(T) dv \quad (5.190)$	$M \quad \text{exitance}$
	$= \frac{2\pi^5 k^4}{15 c^2 h^3} T^4 = \sigma T^4 \quad \text{W m}^{-2} \quad (5.191)$	$\sigma \quad \text{Stefan–Boltzmann constant} (\simeq 5.67 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4})$
Energy density	$u(T) = \frac{4}{c} \sigma T^4 \quad \text{J m}^{-3} \quad (5.192)$	$u \quad \text{energy density}$
Greybody	$M = \epsilon \sigma T^4 = (1 - A) \sigma T^4 \quad (5.193)$	$\epsilon \quad \text{mean emissivity}$
		$A \quad \text{albedo}$

<sup>a</sup>With respect to the projected area of the surface. Surface brightness is also known simply as “brightness.” “Specific intensity” is used for reception.

<sup>b</sup>Sometimes “Stefan’s law.” Exitance is the total radiated energy from unit area of the body per unit time.



# Chapter 6 Solid state physics

## 6.1 Introduction

This section covers a few selected topics in solid state physics. There is no attempt to do more than scratch the surface of this vast field, although the basics of many undergraduate texts on the subject are covered. In addition a period table of elements, together with some of their physical properties, is displayed on the next two pages.

**Periodic table (overleaf)** Data for the periodic table of elements are taken from *Pure Appl. Chem.*, **71**, 1593–1607 (1999), from the 16th edition of Kaye and Laby *Tables of Physical and Chemical Constants* (Longman, 1995) and from the 74th edition of the CRC *Handbook of Chemistry and Physics* (CRC Press, 1993). Note that melting and boiling points have been converted to kelvins by adding 273.15 to the Celsius values listed in Kaye and Laby. The standard atomic masses reflect the relative isotopic abundances in samples found naturally on Earth, and the number of significant figures reflect the variations between samples. Elements with atomic masses shown in square brackets have no stable nuclides, and the values reflect the mass numbers of the longest-lived isotopes. Crystallographic data are based on the most common forms of the elements (the  $\alpha$ -form, unless stated otherwise) stable under standard conditions. Densities are for the solid state. For full details and footnotes for each element, the reader is advised to consult the original texts.

Elements 110, 111, 112 and 114 are known to exist but their names are not yet permanent.

## 6.2 Periodic table

1									
	Hydrogen 1.00794 <b>1 H</b> $1s^1$ 89 ( $\beta$ ) 378 HEX 1.632 13.80 20.28	Lithium 6.941 <b>3 Li</b> $[He]2s^1$ 533 ( $\beta$ ) 351 BCC 1.568 453.65 1613	Beryllium 9.012182 <b>4 Be</b> $[He]2s^2$ 1846 229 HEX 1.568 1560 2745	Titanium 47.867 <b>22 Ti</b> $[Ca]3d^2$ 4508 295 HEX 1.587 1943 3563					
2									
3	Sodium 22.989770 <b>11 Na</b> $[Ne]3s^1$ 966 429 BCC 1.624 370.8 1153	Magnesium 24.3050 <b>12 Mg</b> $[Ne]3s^2$ 1738 321 HEX 1.624 923 1363							
4	Potassium 39.0983 <b>19 K</b> $[Ar]4s^1$ 862 532 BCC 1.033	Calcium 40.078 <b>20 Ca</b> $[Ar]4s^2$ 1530 559 FCC 1113 1757	Scandium 44.955910 <b>21 Sc</b> $[Ca]3d^1$ 2992 331 HEX 1.592 1813 3103	Titanium 47.867 <b>22 Ti</b> $[Ca]3d^2$ 4508 295 HEX 1.587 1943 3563	Vanadium 50.9415 <b>23 V</b> $[Ca]3d^3$ 6090 302 BCC 2193 3673	Chromium 51.9961 <b>24 Cr</b> $[Ar]3d^54s^1$ 7194 388 BCC 2180 2943	Manganese 54.938049 <b>25 Mn</b> $[Ca]3d^5$ 7473 891 FCC 1523 2333	Iron 55.845 <b>26 Fe</b> $[Ca]3d^6$ 7873 287 BCC 1813 3133	Cobalt 58.933200 <b>27 Co</b> $[Ca]3d^7$ 8800 (e) 251 HEX 1.623 1768 3203
5	Rubidium 85.4678 <b>37 Rb</b> $[Kr]5s^1$ 1533 571 BCC 312.4 963.1	Strontium 87.62 <b>38 Sr</b> $[Kr]5s^2$ 2583 608 FCC 1050 1653	Yttrium 88.90585 <b>39 Y</b> $[Sr]4d^1$ 4475 365 HEX 1.571 1798 3613	Zirconium 91.224 <b>40 Zr</b> $[Sr]4d^2$ 6507 323 HEX 1.593 2123 4673	Niobium 92.90638 <b>41 Nb</b> $[Kr]4d^55s^1$ 8578 330 BCC 2750 4973	Molybdenum 95.94 <b>42 Mo</b> $[Kr]4d^55s^1$ 10222 315 BCC 2896 4913	Technetium [98] <b>43 Tc</b> $[Sr]4d^5$ 11496 274 BCC 2433 4533	Ruthenium 101.07 <b>44 Ru</b> $[Kr]4d^75s^1$ 12360 270 HEX 1.582 2603 4423	Rhodium 102.90550 <b>45 Rh</b> $[Kr]4d^85s^1$ 12420 380 FCC 2236 3973
6	Caesium 132.90545 <b>55 Cs</b> $[Xe]6s^1$ 1900 614 BCC 301.6 943.2	Barium 137.327 <b>56 Ba</b> $[Xe]6s^2$ 3594 502 BCC 1001 2173	Lanthanides <b>57 – 71</b>	Hafnium 178.49 <b>72 Hf</b> $[Yb]5d^2$ 13276 319 HEX 1.581 2503 4873	Tantalum 180.9479 <b>73 Ta</b> $[Yb]5d^3$ 16670 330 BCC 3293 5833	Tungsten 183.84 <b>74 W</b> $[Yb]5d^4$ 19254 316 BCC 3695 5823	Rhenium 186.207 <b>75 Re</b> $[Yb]5d^5$ 21023 276 HEX 1.615 3459 5873	Osmium 190.23 <b>76 Os</b> $[Yb]5d^6$ 22580 273 HEX 1.606 3303 5273	Iridium 192.217 <b>77 Ir</b> $[Yb]5d^7$ 22550 384 FCC 2720 4703
7	Francium [223] <b>87 Fr</b> $[Rn]7s^1$ 5000 515 BCC 973	Radium [226] <b>88 Ra</b> $[Rn]7s^2$ 11773	Actinides <b>89 – 103</b>	Rutherfordium [261] <b>104 Rf</b> $[Ra]5f^{14}6d^2$	Dubnium [262] <b>105 Db</b> $[Ra]5f^{14}6d^3?$	Seaborgium [263] <b>106 Sg</b> $[Ra]5f^{14}6d^4?$	Bohrium [264] <b>107 Bh</b> $[Ra]5f^{14}6d^5?$	Hassium [265] <b>108 Hs</b> $[Ra]5f^{14}6d^6?$	Meitnerium [268] <b>109 Mt</b> $[Ra]5f^{14}6d^7?$

Lanthanides

Actinides

Lanthanum <b>57 La</b> $[Ba]5d^1$ 6174 377 HEX 3.23 1193 3733	Cerium <b>58 Ce</b> $[Ba]4f^15d^1$ 6711 ( $\gamma$ ) 516 FCC 1073 3693	Praseodymium <b>59 Pr</b> $[Ba]4f^3$ 6779 367 HEX 3.222 1204 3783	Neodymium <b>60 Nd</b> $[Ba]4f^4$ 7000 366 HEX 3.225 1289 3343	Promethium <b>61 Pm</b> $[Ba]4f^5$ 7220 365 HEX 3.19 1415 3573	Samarium <b>62 Sm</b> $[Ba]4f^6$ 7536 363 HEX 7.221 1443 2063
Actinium [227] <b>89 Ac</b> $[Ra]5d^1$ 10060 531 FCC 1323 3473	Thorium <b>90 Th</b> $[Ra]6d^2$ 11725 508 FCC 2023 5063	Protactinium <b>91 Pa</b> $[Ra]5f^26d^17s^2$ 15370 392 TET 0.825 1843 4273	Uranium <b>92 U</b> $[Ra]5f^36d^17s^2$ 19050 285 ORC 1.736 1405.3 4403	Neptunium [237] <b>93 Np</b> $[Ra]5f^46d^17s^2$ 20450 666 ORC 0.733 913 4173	Plutonium [244] <b>94 Pu</b> $[Ra]5f^67s^2$ 19816 618 MCL 1.773 913 3503

18

6

BCC	body-centred cubic
CUB	simple cubic
DIA	diamond
FCC	face-centred cubic
HEX	hexagonal
MCL	monoclinic
ORC	orthorhombic
RHL	rhombohedral
TET	tetragonal
(t-pt)	triple point

13	14	15	16	17	He			
<b>Boron</b> 10.811 <b>5 B</b> [Be]2p <sup>1</sup> 2 466 1017 RHL 65°7' 2 348 4 273	<b>Carbon</b> 12.0107 <b>6 C</b> [Be]2p <sup>2</sup> 2 266 357 DIA 4 763 (t-pt) 14.006 74 [Be]2p <sup>3</sup> 1 035 ( $\beta$ ) 405 HEX 1.631 63 77.35	<b>Nitrogen</b> 14.006 74 <b>7 N</b> [Be]2p <sup>3</sup> 1 460 ( $\gamma$ ) 683 CUB 54.36 90.19 15.999 4 [Be]2p <sup>4</sup> 1 140 550 MCL 1.32 3.5 85.05	<b>Oxygen</b> 15.999 4 <b>8 O</b> [Be]2p <sup>4</sup> 1 460 ( $\gamma$ ) 683 CUB 54.36 90.19 18.998 403 2 <b>9 F</b> [Be]2p <sup>5</sup> 1 140 550 MCL 1.32 3.5 85.05	<b>Fluorine</b> 18.998 403 2 <b>10 Ne</b> [Be]2p <sup>6</sup> 1 20 356 HEX 1.631 3.5 4.22	<b>Neon</b> 20.179 7 <b>11 Ar</b> [Mg]3p <sup>6</sup> 1 442 446 FCC 24.56 27.07			
<b>Aluminium</b> 26.981 538 <b>13 Al</b> [Mg]3p <sup>1</sup> 2 698 405 FCC 933.47 2 793	<b>Silicon</b> 28.085 5 <b>14 Si</b> [Mg]3p <sup>2</sup> 2 329 543 DIA 1 683 3 533	<b>Phosphorus</b> 30.973 761 <b>15 P</b> [Mg]3p <sup>3</sup> 1 820 331 ORC 3 162 317.3 550	<b>Sulfur</b> 32.066 <b>16 S</b> [Mg]3p <sup>4</sup> 2 086 1 046 ORC 1 320 388.47 717.82	<b>Chlorine</b> 35.452 7 <b>17 Cl</b> [Mg]3p <sup>5</sup> 2 030 624 ORC 1.324 172 239.1	<b>Argon</b> 39.948 <b>18 Ar</b> [Mg]3p <sup>6</sup> 1 656 532 FCC 83.81 87.30			
<b>Nickel</b> 58.693 4 <b>28 Ni</b> [Ca]3d <sup>8</sup> 8 907 352 FCC 1 728 3 263	<b>Copper</b> 63.546 <b>29 Cu</b> [Ar]3d <sup>10</sup> 4s <sup>1</sup> 8 933 361 FCC 1 357.8 2 833	<b>Zinc</b> 65.39 <b>30 Zn</b> [Ca]3d <sup>10</sup> 7 135 266 HEX 1.856 692.68 1 183	<b>Gallium</b> 69.723 <b>31 Ga</b> [Zn]4p <sup>1</sup> 5 905 452 ORC 1.001 302.9 2 473	<b>Germanium</b> 72.61 <b>32 Ge</b> [Zn]4p <sup>2</sup> 5 323 566 DIA 1 211 3 103	<b>Arsenic</b> 74.921 60 <b>33 As</b> [Zn]4p <sup>3</sup> 5 776 413 RHL 54°7' 883 (t-pt)	<b>Selenium</b> 78.96 <b>34 Se</b> [Zn]4p <sup>4</sup> 4 808 ( $\gamma$ ) 436 HEX 1.135 493 958	<b>Bromine</b> 79.904 <b>35 Br</b> [Zn]4p <sup>5</sup> 3 120 668 ORC 1.308 265.90 332.0	<b>Krypton</b> 83.80 <b>36 Kr</b> [Zn]4p <sup>6</sup> 3 000 581 FCC 115.8 119.9
<b>Palladium</b> 106.42 <b>46 Pd</b> [Kr]4d <sup>10</sup> 11 995 389 FCC 1 828 3 233	<b>Silver</b> 107.868 2 <b>47 Ag</b> [Pd]5s <sup>1</sup> 10 500 409 FCC 1 235 2 433	<b>Cadmium</b> 112.411 <b>48 Cd</b> [Pd]5s <sup>2</sup> 8 647 298 HEX 1.886 594.2 1 043	<b>Indium</b> 114.818 <b>49 In</b> [Cd]5p <sup>1</sup> 7 290 325 TET 1.521 429.75 2 343	<b>Tin</b> 118.710 <b>50 Sn</b> [Cd]5p <sup>2</sup> 7 285 ( $\beta$ ) 583 TET 0.546 505.08 2 893	<b>Antimony</b> 121.760 <b>51 Sb</b> [Cd]5p <sup>3</sup> 6 692 451 RHL 57°7' 903.8 1 860	<b>Tellurium</b> 127.60 <b>52 Te</b> [Cd]5p <sup>4</sup> 6 247 446 HEX 1.33 723 1 263	<b>Iodine</b> 126.904 47 <b>53 I</b> [Cd]5p <sup>5</sup> 4 953 727 ORC 1.347 386.7 457	<b>Xenon</b> 131.29 <b>54 Xe</b> [Cd]5p <sup>6</sup> 3 560 635 FCC 161.3 165.0
<b>Platinum</b> 195.078 <b>78 Pt</b> [Xe]f <sup>14</sup> 5d <sup>9</sup> 6s <sup>1</sup> 21 450 392 FCC 2 041 4 093	<b>Gold</b> 196.966 55 <b>79 Au</b> [Xe]f <sup>14</sup> 5d <sup>10</sup> 6s <sup>1</sup> 19 281 408 FCC 1 337.3 3 123	<b>Mercury</b> 200.59 <b>80 Hg</b> [Yb]5d <sup>10</sup> 13 546 300 RHL 70°32' 234.32 629.9	<b>Thallium</b> 204.383 3 <b>81 Tl</b> [Hg]6p <sup>1</sup> 11 871 346 HEX 1.598 577 1 743	<b>Lead</b> 207.2 <b>82 Pb</b> [Hg]6p <sup>2</sup> 11 343 495 FCC 600.7 2 023	<b>Bismuth</b> 208.980 38 <b>83 Bi</b> [Hg]6p <sup>3</sup> 9 803 475 FCC 544.59 1 833	<b>Polonium</b> [209] <b>84 Po</b> [Hg]6p <sup>4</sup> 9 400 337 RHL 57°14' 527 1 233	<b>Astatine</b> [210] <b>85 At</b> [Hg]6p <sup>5</sup> 4 953 440 ORC 1.659 573 623	<b>Radon</b> [222] <b>86 Rn</b> [Hg]6p <sup>6</sup> 440 202 211
<b>Ununnilium</b> [271] <b>110 Uun</b>	<b>Unununium</b> [272] <b>111 Uuu</b>	<b>Ununbium</b> [285] <b>112 Uub</b>		<b>Ununquadium</b> [289] <b>114 Uuq</b>				

Europium 151.964 <b>63 Eu</b> [Ba]4f <sup>7</sup> 5 248 458 BCC 1 095 1 873	Gadolinium 157.25 <b>64 Gd</b> [Ba]4f <sup>7</sup> 5d <sup>1</sup> 7 870 363 HEX 1.591 1 587 3 533	Terbium 158.925 34 <b>65 Tb</b> [Ba]4f <sup>9</sup> 8 267 361 HEX 1.580 1 633 3 493	Dysprosium 162.50 <b>66 Dy</b> [Ba]4f <sup>10</sup> 8 531 359 HEX 1.573 1 683 2 833	Holmium 164.930 32 <b>67 Ho</b> [Ba]4f <sup>11</sup> 8 797 358 HEX 1.570 1 743 2 973	Erbium 167.26 <b>68 Er</b> [Ba]4f <sup>12</sup> 9 044 356 HEX 1.570 1 803 3 133	Thulium 168.934 21 <b>69 Tm</b> [Ba]4f <sup>13</sup> 9 325 354 HEX 1.570 1 823 2 223	Ytterbium 173.04 <b>70 Yb</b> [Ba]4f <sup>14</sup> 6 966 ( $\beta$ ) 549 FCC 1 097 1 473	Lutetium 174.967 <b>71 Lu</b> [Yb]5d <sup>1</sup> 9 842 351 HEX 1.583 1 933 3 663
<b>Americium</b> [243] <b>95 Am</b> [Ra]5f <sup>7</sup> 13 670 347 HEX 3.24 1 449 2 873	<b>Curium</b> [247] <b>96 Cm</b> [Rn]5f <sup>7</sup> 6d <sup>1</sup> 7s <sup>2</sup> 13 510 350 HEX 3.24 1 618 3 383	<b>Berkelium</b> [247] <b>97 Bk</b> [Ra]5f <sup>9</sup> 14 780 342 HEX 3.24 1 323	<b>Californium</b> [251] <b>98 Cf</b> [Ra]5f <sup>10</sup> 15 100 338 HEX 3.24 1 173	<b>Einsteinium</b> [252] <b>99 Es</b> [Ra]5f <sup>11</sup> 1 743 2 973 HEX 1.573 1 133	<b>Fermium</b> [257] <b>100 Fm</b> [Ra]5f <sup>12</sup> 1 803 3 133 HEX 1.573 1 803	<b>Mendelevium</b> [258] <b>101 Md</b> [Ra]5f <sup>13</sup> 1 823 2 223 HEX 1.573 1 103	<b>Nobelium</b> [259] <b>102 No</b> [Ra]5f <sup>14</sup> 1 097 1 473 HEX 1.573 1 103	<b>Lawrencium</b> [262] <b>103 Lr</b> [Ra]5f <sup>14</sup> 7p <sup>1</sup> 1 903 1 903 HEX 1.583 1 903

### 6.3 Crystalline structure

#### Bravais lattices

Volume of primitive cell	$V = (\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}$	(6.1)	$\mathbf{a}, \mathbf{b}, \mathbf{c}$ $V$	primitive base vectors volume of primitive cell
Reciprocal primitive base vectors <sup>a</sup>	$\mathbf{a}^* = 2\pi \mathbf{b} \times \mathbf{c} / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$ $\mathbf{b}^* = 2\pi \mathbf{c} \times \mathbf{a} / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$ $\mathbf{c}^* = 2\pi \mathbf{a} \times \mathbf{b} / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$ $\mathbf{a} \cdot \mathbf{a}^* = \mathbf{b} \cdot \mathbf{b}^* = \mathbf{c} \cdot \mathbf{c}^* = 2\pi$ $\mathbf{a} \cdot \mathbf{b}^* = \mathbf{a} \cdot \mathbf{c}^* = 0$ (etc.)	(6.2) (6.3) (6.4) (6.5) (6.6)	$\mathbf{a}^*, \mathbf{b}^*, \mathbf{c}^*$	reciprocal primitive base vectors
Lattice vector	$\mathbf{R}_{uvw} = u\mathbf{a} + v\mathbf{b} + w\mathbf{c}$	(6.7)	$\mathbf{R}_{uvw}$ $u, v, w$	lattice vector $[uvw]$ integers
Reciprocal lattice vector	$\mathbf{G}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$ $\exp(i\mathbf{G}_{hkl} \cdot \mathbf{R}_{uvw}) = 1$	(6.8) (6.9)	$\mathbf{G}_{hkl}$ $i$	reciprocal lattice vector $[hkl]$ $i^2 = -1$
Weiss zone equation <sup>b</sup>	$hu + kv + lw = 0$	(6.10)	$(hkl)$	Miller indices of plane <sup>c</sup>
Interplanar spacing (general)	$d_{hkl} = \frac{2\pi}{G_{hkl}}$	(6.11)	$d_{hkl}$	distance between $(hkl)$ planes
Interplanar spacing (orthogonal basis)	$\frac{1}{d_{hkl}^2} = \frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}$	(6.12)		

<sup>a</sup>Note that this is  $2\pi$  times the usual definition of a “reciprocal vector” (see page 20).

<sup>b</sup>Condition for lattice vector  $[uvw]$  to be parallel to lattice plane  $(hkl)$  in an arbitrary Bravais lattice.

<sup>c</sup>Miller indices are defined so that  $G_{hkl}$  is the shortest reciprocal lattice vector normal to the  $(hkl)$  planes.

#### Weber symbols

Converting $[uvw]$ to $[UVTW]$	$U = \frac{1}{3}(2u - v)$ $V = \frac{1}{3}(2v - u)$ $T = -\frac{1}{3}(u + v)$ $W = w$	(6.13) (6.14) (6.15) (6.16)	$U, V, T, W$ $u, v, w$ $[UVTW]$ $[uvw]$	Weber indices zone axis indices Weber symbol zone axis symbol
Converting $[UVTW]$ to $[uvw]$	$u = (U - T)$ $v = (V - T)$ $w = W$	(6.17) (6.18) (6.19)		
Zone law <sup>a</sup>	$hU + kV + iT + lW = 0$	(6.20)	$(hkil)$	Miller–Bravais indices

<sup>a</sup>For trigonal and hexagonal systems.

## Cubic lattices

lattice	primitive (P)	body-centred (I)	face-centred (F)
lattice parameter	$a$	$a$	$a$
volume of conventional cell	$a^3$	$a^3$	$a^3$
lattice points per cell	1	2	4
1st nearest neighbours <sup>a</sup>	6	8	12
1st n.n. distance	$a$	$a\sqrt{3}/2$	$a/\sqrt{2}$
2nd nearest neighbours	12	6	6
2nd n.n. distance	$a\sqrt{2}$	$a$	$a$
packing fraction <sup>b</sup>	$\pi/6$	$\sqrt{3}\pi/8$	$\sqrt{2}\pi/6$
reciprocal lattice <sup>c</sup>	P	F	I
	$\mathbf{a}_1 = a\hat{x}$	$\mathbf{a}_1 = \frac{a}{2}(\hat{y} + \hat{z} - \hat{x})$	$\mathbf{a}_1 = \frac{a}{2}(\hat{y} + \hat{z})$
primitive base vectors <sup>d</sup>	$\mathbf{a}_2 = a\hat{y}$	$\mathbf{a}_2 = \frac{a}{2}(\hat{z} + \hat{x} - \hat{y})$	$\mathbf{a}_2 = \frac{a}{2}(\hat{z} + \hat{x})$
	$\mathbf{a}_3 = a\hat{z}$	$\mathbf{a}_3 = \frac{a}{2}(\hat{x} + \hat{y} - \hat{z})$	$\mathbf{a}_3 = \frac{a}{2}(\hat{x} + \hat{y})$

<sup>a</sup>Or “coordination number.”

<sup>b</sup>For close-packed spheres. The maximum possible packing fraction for spheres is  $\sqrt{2}\pi/6$ .

<sup>c</sup>The lattice parameters for the reciprocal lattices of P, I, and F are  $2\pi/a$ ,  $4\pi/a$ , and  $4\pi/a$  respectively.

<sup>d</sup> $\hat{x}$ ,  $\hat{y}$ , and  $\hat{z}$  are unit vectors.

6

## Crystal systems<sup>a</sup>

system	symmetry	unit cell <sup>b</sup>	lattices <sup>c</sup>
triclinic	none	$a \neq b \neq c;$ $\alpha \neq \beta \neq \gamma \neq 90^\circ$	P
monoclinic	one diad $\parallel [010]$	$a \neq b \neq c;$ $\alpha = \gamma = 90^\circ, \beta \neq 90^\circ$	P, C
orthorhombic	three orthogonal diads	$a \neq b \neq c;$ $\alpha = \beta = \gamma = 90^\circ$	P, C, I, F
tetragonal	one tetrad $\parallel [001]$	$a = b \neq c;$ $\alpha = \beta = \gamma = 90^\circ$	P, I
trigonal <sup>d</sup>	one triad $\parallel [111]$	$a = b = c;$ $\alpha = \beta = \gamma < 120^\circ \neq 90^\circ$	P, R
hexagonal	one hexad $\parallel [001]$	$a = b \neq c;$ $\alpha = \beta = 90^\circ, \gamma = 120^\circ$	P
cubic	four triads $\parallel \langle 111 \rangle$	$a = b = c;$ $\alpha = \beta = \gamma = 90^\circ$	P, F, I

<sup>a</sup>The symbol “ $\neq$ ” implies that equality is not required by the symmetry, but neither is it forbidden.

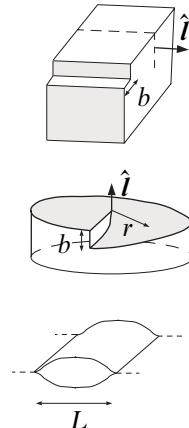
<sup>b</sup>The cell axes are  $a$ ,  $b$ , and  $c$  with  $\alpha$ ,  $\beta$ , and  $\gamma$  the angles between  $b:c$ ,  $c:a$ , and  $a:b$  respectively.

<sup>c</sup>The lattice types are primitive (P), body-centred (I), all face-centred (F), side-centred (C), and rhombohedral primitive (R).

<sup>d</sup>A primitive hexagonal unit cell, with a triad  $\parallel [001]$ , is generally preferred over this rhombohedral unit cell.

## Dislocations and cracks

Edge dislocation	$\hat{l} \cdot \mathbf{b} = 0$	(6.21)	$\hat{l}$ unit vector $\parallel$ line of dislocation
Screw dislocation	$\hat{l} \cdot \mathbf{b} = b$	(6.22)	$b, b$ Burgers vector <sup>a</sup>
Screw dislocation energy per unit length <sup>b</sup>	$U = \frac{\mu b^2}{4\pi} \ln \frac{R}{r_0}$	(6.23)	$U$ dislocation energy per unit length
	$\sim \mu b^2$	(6.24)	$\mu$ shear modulus
Critical crack length <sup>c</sup>	$L = \frac{4\alpha E}{\pi(1-\sigma^2)p_0^2}$	(6.25)	$R$ outer cutoff for $r$
			$r_0$ inner cutoff for $r$
			$L$ critical crack length
			$\alpha$ surface energy per unit area
			$E$ Young modulus
			$\sigma$ Poisson ratio
			$p_0$ applied widening stress



<sup>a</sup>The Burgers vector is a Bravais lattice vector characterising the total relative slip were the dislocation to travel throughout the crystal.

<sup>b</sup>Or “tension.” The energy per unit length of an edge dislocation is also  $\sim \mu b^2$ .

<sup>c</sup>For a crack cavity (long  $\perp L$ ) within an isotropic medium. Under uniform stress  $p_0$ , cracks  $\geq L$  will grow and smaller cracks will shrink.

## Crystal diffraction

Laue equations	$a(\cos \alpha_1 - \cos \alpha_2) = h\lambda$	(6.26)	$a, b, c$ lattice parameters
	$b(\cos \beta_1 - \cos \beta_2) = k\lambda$	(6.27)	$\alpha_1, \beta_1, \gamma_1$ angles between lattice base vectors and input wavevector
	$c(\cos \gamma_1 - \cos \gamma_2) = l\lambda$	(6.28)	$\alpha_2, \beta_2, \gamma_2$ angles between lattice base vectors and output wavevector
Bragg's law <sup>a</sup>	$2\mathbf{k}_{\text{in}} \cdot \mathbf{G} +  \mathbf{G} ^2 = 0$	(6.29)	$h, k, l$ integers (Laue indices)
Atomic form factor	$f(\mathbf{G}) = \int_{\text{vol}} e^{-i\mathbf{G} \cdot \mathbf{r}} \rho(\mathbf{r}) d^3 r$	(6.30)	$\lambda$ wavelength
Structure factor <sup>b</sup>	$S(\mathbf{G}) = \sum_{j=1}^n f_j(\mathbf{G}) e^{-i\mathbf{G} \cdot \mathbf{d}_j}$	(6.31)	$\mathbf{k}_{\text{in}}$ input wavevector
Scattered intensity <sup>c</sup>	$I(\mathbf{K}) \propto N^2  S(\mathbf{K}) ^2$	(6.32)	$\mathbf{G}$ reciprocal lattice vector
Debye-Waller factor <sup>d</sup>	$I_T = I_0 \exp \left[ -\frac{1}{3} \langle u^2 \rangle  \mathbf{G} ^2 \right]$	(6.33)	$f(\mathbf{G})$ atomic form factor
			$\mathbf{r}$ position vector
			$\rho(\mathbf{r})$ atomic electron density
			$S(\mathbf{G})$ structure factor
			$n$ number of atoms in basis
			$\mathbf{d}_j$ position of $j$ th atom within basis
			$\mathbf{K}$ change in wavevector ( $= \mathbf{k}_{\text{out}} - \mathbf{k}_{\text{in}}$ )
			$I(\mathbf{K})$ scattered intensity
			$N$ number of lattice points illuminated
			$I_T$ intensity at temperature $T$
			$I_0$ intensity from a lattice with no motion
			$\langle u^2 \rangle$ mean-squared thermal displacement of atoms

<sup>a</sup>Alternatively, see Equation (8.32).

<sup>b</sup>The summation is over the atoms in the basis, i.e., the atomic motif repeating with the Bravais lattice.

<sup>c</sup>The Bragg condition makes  $\mathbf{K}$  a reciprocal lattice vector, with  $|\mathbf{k}_{\text{in}}| = |\mathbf{k}_{\text{out}}|$ .

<sup>d</sup>Effect of thermal vibrations.

## 6.4 Lattice dynamics

### Phonon dispersion relations<sup>a</sup>

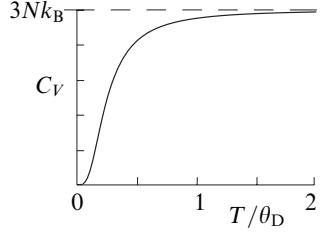
<p>monatomic chain</p>	<p>diatomic chain</p>
$\omega^2 = 4 \frac{\alpha}{m} \sin^2\left(\frac{ka}{2}\right)$ (6.34)	$\omega$ phonon angular frequency $\alpha$ spring constant <sup>b</sup> $m$ atomic mass $v_p$ phase speed ( $\text{sinc } x \equiv \frac{\sin \pi x}{\pi x}$ ) $v_g$ group speed $\lambda$ phonon wavelength
<b>Monatomic linear chain</b> $v_p = \frac{\omega}{k} = a \left( \frac{\alpha}{m} \right)^{1/2} \text{sinc} \left( \frac{a}{\lambda} \right)$ (6.35) $v_g = \frac{\partial \omega}{\partial k} = a \left( \frac{\alpha}{m} \right)^{1/2} \cos \left( \frac{ka}{2} \right)$ (6.36)	$k$ wavenumber ( $= 2\pi/\lambda$ ) $a$ atomic separation $m_i$ atomic masses ( $m_2 > m_1$ ) $\mu$ reduced mass $[= m_1 m_2 / (m_1 + m_2)]$
<b>Diatomict linear chain<sup>c</sup></b> $\omega^2 = \frac{\alpha}{\mu} \pm \alpha \left[ \frac{1}{\mu^2} - \frac{4}{m_1 m_2} \sin^2(ka) \right]^{1/2}$ (6.37)	$\alpha_i$ alternating spring constants 
<b>Identical masses, alternating spring constants</b> $\omega^2 = \frac{\alpha_1 + \alpha_2}{m} \pm \frac{1}{m} (\alpha_1^2 + \alpha_2^2 + 2\alpha_1\alpha_2 \cos ka)^{1/2}$ (6.38) $= \begin{cases} 0, & 2(\alpha_1 + \alpha_2)/m \quad \text{if } k=0 \\ 2\alpha_1/m, & 2\alpha_2/m \quad \text{if } k=\pi/a \end{cases}$ (6.39)	

<sup>a</sup>Along infinite linear atomic chains, considering simple harmonic nearest-neighbour interactions only. The shaded region of the dispersion relation is outside the first Brillouin zone of the reciprocal lattice.

<sup>b</sup>In the sense  $\alpha = \text{restoring force}/\text{relative displacement}$ .

<sup>c</sup>Note that the repeat distance for this chain is  $2a$ , so that the first Brillouin zone extends to  $|k| < \pi/(2a)$ . The optic and acoustic branches are the + and - solutions respectively.

## Debye theory

Mean energy per phonon mode <sup>a</sup>	$\langle E \rangle = \frac{1}{2} \hbar \omega + \frac{\hbar \omega}{\exp[\hbar \omega / (k_B T)] - 1}$ (6.40)	$\langle E \rangle$ mean energy in a mode at $\omega$ $\hbar$ (Planck constant)/(2 $\pi$ ) $\omega$ phonon angular frequency $k_B$ Boltzmann constant $T$ temperature $\omega_D$ Debye (angular) frequency $v_s$ effective sound speed $v_l$ longitudinal phase speed $v_t$ transverse phase speed $N$ number of atoms in crystal $V$ crystal volume $\theta_D$ Debye temperature $g(\omega)$ density of states at $\omega$ $C_V$ heat capacity, $V$ constant $U$ thermal phonon energy within crystal $D(x)$ Debye function
Debye frequency	$\omega_D = v_s (6\pi^2 N/V)^{1/3}$ (6.41) where $\frac{3}{v_s^3} = \frac{1}{v_l^3} + \frac{2}{v_t^3}$ (6.42)	
Debye temperature	$\theta_D = \hbar \omega_D / k_B$ (6.43)	
Phonon density of states	$g(\omega) d\omega = \frac{3V\omega^2}{2\pi^2 v_s^3} d\omega$ (6.44) (for $0 < \omega < \omega_D$ , $g = 0$ otherwise)	
Debye heat capacity	$C_V = 9Nk_B \frac{T^3}{\theta_D^3} \int_0^{\theta_D/T} \frac{x^4 e^x}{(e^x - 1)^2} dx$ (6.45)	
Dulong and Petit's law	$\simeq 3Nk_B$ ( $T \gg \theta_D$ ) (6.46)	
Debye $T^3$ law	$\simeq \frac{12\pi^4}{5} Nk_B \frac{T^3}{\theta_D^3}$ ( $T \ll \theta_D$ ) (6.47)	
Internal thermal energy <sup>b</sup>	$U(T) = \frac{9N}{\omega_D^3} \int_0^{\omega_D} \frac{\hbar \omega^3}{\exp[\hbar \omega / (k_B T)] - 1} d\omega \equiv 3Nk_B T D(\theta_D/T)$ (6.48)	
	where $D(x) = \frac{3}{x^3} \int_0^x \frac{y^3}{e^y - 1} dy$ (6.49)	

<sup>a</sup>Or any simple harmonic oscillator in thermal equilibrium at temperature  $T$ .

<sup>b</sup>Neglecting zero-point energy.

## Lattice forces (simple)

Van der Waals interaction <sup>a</sup>	$\phi(r) = -\frac{3}{4} \frac{\alpha_p^2 \hbar \omega}{(4\pi\epsilon_0)^2 r^6}$	(6.50)	$\phi(r)$ two-particle potential energy $r$ particle separation $\alpha_p$ particle polarisability
Lennard-Jones 6-12 potential (molecular crystals)	$\phi(r) = -\frac{A}{r^6} + \frac{B}{r^{12}}$ $= 4\epsilon \left[ \left(\frac{\sigma}{r}\right)^{12} - \left(\frac{\sigma}{r}\right)^6 \right]$ $\sigma = (B/A)^{1/6}; \quad \epsilon = A^2/(4B)$	(6.51) (6.52)	$\hbar$ (Planck constant)/(2π) $\epsilon_0$ permittivity of free space $\omega$ angular frequency of polarised orbital $A, B$ constants $\epsilon, \sigma$ Lennard-Jones parameters
	$\phi_{\min}$ at $r = \frac{2^{1/6}}{\sigma}$	(6.53)	
De Boer parameter	$\Lambda = \frac{h}{\sigma(m\epsilon)^{1/2}}$	(6.54)	$\Lambda$ de Boer parameter $h$ Planck constant $m$ particle mass
Coulomb interaction (ionic crystals)	$U_C = -\alpha_M \frac{e^2}{4\pi\epsilon_0 r_0}$	(6.55)	$U_C$ lattice Coulomb energy per ion pair $\alpha_M$ Madelung constant $-e$ electronic charge $r_0$ nearest neighbour separation

<sup>a</sup>London's formula for fluctuating dipole interactions, neglecting the propagation time between particles.

## Lattice thermal expansion and conduction

Grüneisen parameter <sup>a</sup>	$\gamma = -\frac{\partial \ln \omega}{\partial \ln V}$	(6.56)	$\gamma$ Grüneisen parameter $\omega$ normal mode frequency $V$ volume
Linear expansivity <sup>b</sup>	$\alpha = \frac{1}{3K_T} \frac{\partial p}{\partial T} \Big _V = \frac{\gamma C_V}{3K_T V}$	(6.57)	$\alpha$ linear expansivity $K_T$ isothermal bulk modulus $p$ pressure $T$ temperature $C_V$ lattice heat capacity, constant $V$
Thermal conductivity of a phonon gas	$\lambda = \frac{1}{3} \frac{C_V}{V} v_s l$	(6.58)	$\lambda$ thermal conductivity $v_s$ effective sound speed $l$ phonon mean free path
Umklapp mean free path <sup>c</sup>	$l_u \propto \exp(\theta_u/T)$	(6.59)	$l_u$ umklapp mean free path $\theta_u$ umklapp temperature ( $\sim \theta_D/2$ )

<sup>a</sup>Strictly, the Grüneisen parameter is the mean of  $\gamma$  over all normal modes, weighted by the mode's contribution to  $C_V$ .

<sup>b</sup>Or "coefficient of thermal expansion," for an isotropically expanding crystal.

<sup>c</sup>Mean free path determined solely by "umklapp processes" – the scattering of phonons outside the first Brillouin zone.

## 6.5 Electrons in solids

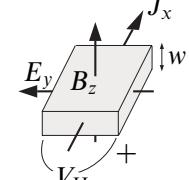
### Free electron transport properties

Current density	$J = -nev_d$	(6.60)	$J$ current density $n$ free electron number density $-e$ electronic charge $v_d$ mean electron drift velocity $\tau$ mean time between collisions (relaxation time) $m_e$ electronic mass
Mean electron drift velocity	$v_d = -\frac{e\tau}{m_e} E$	(6.61)	$E$ applied electric field $\sigma_0$ d.c. conductivity ( $J = \sigma E$ )
d.c. electrical conductivity	$\sigma_0 = \frac{ne^2\tau}{m_e}$	(6.62)	$\omega$ a.c. angular frequency $\sigma(\omega)$ a.c. conductivity
a.c. electrical conductivity <sup>a</sup>	$\sigma(\omega) = \frac{\sigma_0}{1 - i\omega\tau}$	(6.63)	$C_V$ total electron heat capacity, $V$ constant $V$ volume $\langle c^2 \rangle$ mean square electron speed $k_B$ Boltzmann constant $T$ temperature $T_F$ Fermi temperature
Thermal conductivity	$\lambda = \frac{1}{3} \frac{C_V}{V} \langle c^2 \rangle \tau \quad (6.64)$ $= \frac{\pi^2 n k_B^2 \tau T}{3 m_e} \quad (T \ll T_F) \quad (6.65)$		$L$ Lorenz constant ( $\approx 2.45 \times 10^{-8} \text{ W}\Omega\text{K}^{-2}$ ) $\lambda$ thermal conductivity
Wiedemann–Franz law <sup>b</sup>	$\frac{\lambda}{\sigma T} = L = \frac{\pi^2 k_B^2}{3 e^2}$	(6.66)	$R_H$ Hall coefficient $E_y$ Hall electric field $J_x$ applied current density $B_z$ magnetic flux density $V_H$ Hall voltage $I_x$ applied current ( $= J_x \times$ cross-sectional area) $w$ strip thickness in $z$
Hall coefficient <sup>c</sup>	$R_H = -\frac{1}{ne} = \frac{E_y}{J_x B_z}$	(6.67)	
Hall voltage (rectangular strip)	$V_H = R_H \frac{B_z I_x}{w}$	(6.68)	

<sup>a</sup>For an electric field varying as  $e^{-i\omega t}$ .

<sup>b</sup>Holds for an arbitrary band structure.

<sup>c</sup>The charge on an electron is  $-e$ , where  $e$  is the elementary charge (approximately  $+1.6 \times 10^{-19} \text{ C}$ ). The Hall coefficient is therefore a negative number when the dominant charge carriers are electrons.



## Fermi gas

Electron density of states <sup>a</sup>	$g(E) = \frac{V}{2\pi^2} \left( \frac{2m_e}{\hbar^2} \right)^{3/2} E^{1/2} \quad (6.69)$	$E$ electron energy ( $>0$ )
	$g(E_F) = \frac{3nV}{2E_F} \quad (6.70)$	$g(E)$ density of states
Fermi wavenumber	$k_F = (3\pi^2 n)^{1/3} \quad (6.71)$	$V$ “gas” volume
Fermi velocity	$v_F = \hbar k_F / m_e \quad (6.72)$	$m_e$ electronic mass
Fermi energy ( $T = 0$ )	$E_F = \frac{\hbar^2 k_F^2}{2m_e} = \frac{\hbar^2}{2m_e} (3\pi^2 n)^{2/3} \quad (6.73)$	$\hbar$ (Planck constant)/( $2\pi$ )
Fermi temperature	$T_F = \frac{E_F}{k_B} \quad (6.74)$	$k_F$ Fermi wavenumber
Electron heat capacity <sup>b</sup> ( $T \ll T_F$ )	$C_{Ve} = \frac{\pi^2}{3} g(E_F) k_B^2 T \quad (6.75)$	$n$ number of electrons per unit volume
	$= \frac{\pi^2 k_B^2}{2E_F} T \quad (6.76)$	$v_F$ Fermi velocity
Total kinetic energy ( $T = 0$ )	$U_0 = \frac{3}{5} n V E_F \quad (6.77)$	$E_F$ Fermi energy
Pauli paramagnetism	$\mathbf{M} = \chi_{HP} \mathbf{H} \quad (6.78)$	$T_F$ Fermi temperature
	$= \frac{3n}{2E_F} \mu_0 \mu_B^2 \mathbf{H} \quad (6.79)$	$k_B$ Boltzmann constant
Landau diamagnetism	$\chi_{HL} = -\frac{1}{3} \chi_{HP} \quad (6.80)$	$C_{Ve}$ heat capacity per electron
		$T$ temperature
		$U_0$ total kinetic energy
		$\chi_{HP}$ Pauli magnetic susceptibility
		$\mathbf{H}$ magnetic field strength
		$\mathbf{M}$ magnetisation
		$\mu_0$ permeability of free space
		$\mu_B$ Bohr magneton
		$\chi_{HL}$ Landau magnetic susceptibility

<sup>a</sup>The density of states is often quoted per unit volume in real space (i.e.,  $g(E)/V$  here).

<sup>b</sup>Equation (6.75) holds for any density of states.

## Thermoelectricity

Thermopower <sup>a</sup>	$\mathcal{E} = \frac{\mathbf{J}}{\sigma} + S_T \nabla T \quad (6.81)$	$\mathcal{E}$ electrochemical field <sup>b</sup>
Peltier effect	$\mathbf{H} = \Pi \mathbf{J} - \lambda \nabla T \quad (6.82)$	$\mathbf{J}$ current density
Kelvin relation	$\Pi = T S_T \quad (6.83)$	$\sigma$ electrical conductivity

<sup>a</sup>Or “absolute thermoelectric power.”

<sup>b</sup>The electrochemical field is the gradient of  $(\mu/e) - \phi$ , where  $\mu$  is the chemical potential,  $-e$  the electronic charge, and  $\phi$  the electrical potential.

## Band theory and semiconductors

Bloch's theorem	$\Psi(\mathbf{r} + \mathbf{R}) = \exp(i\mathbf{k} \cdot \mathbf{R})\Psi(\mathbf{r})$	(6.84)	$\Psi$	electron eigenstate
Electron velocity	$\mathbf{v}_b(\mathbf{k}) = \frac{1}{\hbar} \nabla_{\mathbf{k}} E_b(\mathbf{k})$	(6.85)	$\mathbf{k}$	Bloch wavevector
Effective mass tensor	$m_{ij} = \hbar^2 \left[ \frac{\partial^2 E_b(\mathbf{k})}{\partial k_i \partial k_j} \right]^{-1}$	(6.86)	$\mathbf{R}$	lattice vector
Scalar effective mass <sup>a</sup>	$m^* = \hbar^2 \left[ \frac{\partial^2 E_b(\mathbf{k})}{\partial k^2} \right]^{-1}$	(6.87)	$\mathbf{r}$	position vector
Mobility	$\mu = \frac{ \mathbf{v}_d }{ \mathbf{E} } = \frac{eD}{k_B T}$	(6.88)	$\mathbf{v}_b$	electron velocity (for wavevector $\mathbf{k}$ )
Net current density	$\mathbf{J} = (n_e \mu_e + n_h \mu_h) e \mathbf{E}$	(6.89)	$\hbar$	(Planck constant)/ $2\pi$
Semiconductor equation	$n_e n_h = \frac{(k_B T)^3}{2(\pi \hbar^2)^3} (m_e^* m_h^*)^{3/2} e^{-E_g/(k_B T)}$	(6.90)	$b$	band index
p-n junction	$I = I_0 \left[ \exp \left( \frac{eV}{k_B T} \right) - 1 \right]$ $I_0 = e n_i^2 A \left( \frac{D_e}{L_e N_a} + \frac{D_h}{L_h N_d} \right)$ $L_e = (D_e \tau_e)^{1/2}$ $L_h = (D_h \tau_h)^{1/2}$	(6.91) (6.92) (6.93) (6.94)	$E_b(\mathbf{k})$	energy band
			$m_{ij}$	effective mass tensor
			$k_i$	components of $\mathbf{k}$
			$m^*$	scalar effective mass
			$k$	$=  \mathbf{k} $
			$\mu$	particle mobility
			$v_d$	mean drift velocity
			$\mathbf{E}$	applied electric field
			$-e$	electronic charge
			$D$	diffusion coefficient
			$T$	temperature
			$\mathbf{J}$	current density
			$n_{e,h}$	electron, hole, number densities
			$\mu_{e,h}$	electron, hole, mobilities
			$k_B$	Boltzmann constant
			$E_g$	band gap
			$m_{e,h}^*$	electron, hole, effective masses
			$I$	current
			$I_0$	saturation current
			$V$	bias voltage (+ for forward)
			$n_i$	intrinsic carrier concentration
			$A$	area of junction
			$D_{e,h}$	electron, hole, diffusion coefficients
			$L_{e,h}$	electron, hole, diffusion lengths
			$\tau_{e,h}$	electron, hole, recombination times
			$N_{a,d}$	acceptor, donor, concentrations

<sup>a</sup>Valid for regions of  $k$ -space in which  $E_b(\mathbf{k})$  can be taken as independent of the direction of  $k$ .

# Chapter 7 Electromagnetism

## 7.1 Introduction

The electromagnetic force is central to nearly every physical process around us and is a major component of classical physics. In fact, the development of electromagnetic theory in the nineteenth century gave us much mathematical machinery that we now apply quite generally in other fields, including potential theory, vector calculus, and the ideas of divergence and curl.

It is therefore not surprising that this section deals with a large array of physical quantities and their relationships. As usual, SI units are assumed throughout. In the past electromagnetism has suffered from the use of a variety of systems of units, including the cgs system in both its electrostatic (esu) and electromagnetic (emu) forms. The fog has now all but cleared, but some specialised areas of research still cling to these historical measures. Readers are advised to consult the section on unit conversion if they come across such exotica in the literature.

Equations cast in the rationalised units of SI can be readily converted to the once common Gaussian (unrationalised) units by using the following symbol transformations:

### Equation conversion: SI to Gaussian units

$$\epsilon_0 \mapsto 1/(4\pi)$$

$$\mu_0 \mapsto 4\pi/c^2$$

$$\mathbf{B} \mapsto \mathbf{B}/c$$

$$\chi_E \mapsto 4\pi\chi_E$$

$$\chi_H \mapsto 4\pi\chi_H$$

$$\mathbf{H} \mapsto c\mathbf{H}/(4\pi)$$

$$\mathbf{A} \mapsto \mathbf{A}/c$$

$$\mathbf{M} \mapsto c\mathbf{M}$$

$$\mathbf{D} \mapsto \mathbf{D}/(4\pi)$$

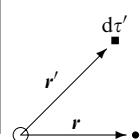
The quantities  $\rho$ ,  $\mathbf{J}$ ,  $\mathbf{E}$ ,  $\phi$ ,  $\sigma$ ,  $\mathbf{P}$ ,  $\epsilon_r$ , and  $\mu_r$  are all unchanged.

## 7.2 Static fields

### Electrostatics

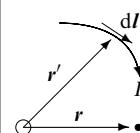
Electrostatic potential	$E = -\nabla\phi$	(7.1)	$E$ electric field $\phi$ electrostatic potential
Potential difference <sup>a</sup>	$\phi_a - \phi_b = \int_a^b E \cdot dI = - \int_b^a E \cdot dI$	(7.2)	$\phi_a$ potential at $a$ $\phi_b$ potential at $b$ $dI$ line element
Poisson's Equation (free space)	$\nabla^2\phi = -\frac{\rho}{\epsilon_0}$	(7.3)	$\rho$ charge density $\epsilon_0$ permittivity of free space
Point charge at $r'$	$\phi(\mathbf{r}) = \frac{q}{4\pi\epsilon_0 \mathbf{r} - \mathbf{r}' }$	(7.4)	$q$ point charge
Field from a charge distribution (free space)	$E(\mathbf{r}) = \frac{q(\mathbf{r} - \mathbf{r}')}{4\pi\epsilon_0 \mathbf{r} - \mathbf{r}' ^3}$	(7.5)	$d\tau'$ volume element $\mathbf{r}'$ position vector of $d\tau'$
	$E(\mathbf{r}) = \frac{1}{4\pi\epsilon_0} \int_{\text{volume}} \frac{\rho(\mathbf{r}')(\mathbf{r} - \mathbf{r}')}{ \mathbf{r} - \mathbf{r}' ^3} d\tau'$	(7.6)	

<sup>a</sup>Between points  $a$  and  $b$  along a path  $I$ .



### Magnetostatics<sup>a</sup>

Magnetic scalar potential	$\mathbf{B} = -\mu_0 \nabla \phi_m$	(7.7)	$\phi_m$ magnetic scalar potential $\mathbf{B}$ magnetic flux density
$\phi_m$ in terms of the solid angle of a generating current loop	$\phi_m = \frac{I\Omega}{4\pi}$	(7.8)	$\Omega$ loop solid angle $I$ current
Biot–Savart law (the field from a line current)	$\mathbf{B}(\mathbf{r}) = \frac{\mu_0 I}{4\pi} \int_{\text{line}} \frac{dI \times (\mathbf{r} - \mathbf{r}')}{ \mathbf{r} - \mathbf{r}' ^3}$	(7.9)	$dI$ line element in the direction of the current $\mathbf{r}'$ position vector of $dI$
Ampère's law (differential form)	$\nabla \times \mathbf{B} = \mu_0 \mathbf{J}$	(7.10)	$\mathbf{J}$ current density $\mu_0$ permeability of free space
Ampère's law (integral form)	$\oint \mathbf{B} \cdot dI = \mu_0 I_{\text{tot}}$	(7.11)	$I_{\text{tot}}$ total current through loop



<sup>a</sup>In free space.

**Capacitance<sup>a</sup>**

Of sphere, radius $a$	$C = 4\pi\epsilon_0\epsilon_r a$	(7.12)
Of circular disk, radius $a$	$C = 8\epsilon_0\epsilon_r a$	(7.13)
Of two spheres, radius $a$ , in contact	$C = 8\pi\epsilon_0\epsilon_r a \ln 2$	(7.14)
Of circular solid cylinder, radius $a$ , length $l$	$C \simeq [8 + 4.1(l/a)^{0.76}] \epsilon_0\epsilon_r a$	(7.15)
Of nearly spherical surface, area $S$	$C \simeq 3.139 \times 10^{-11} \epsilon_r S^{1/2}$	(7.16)
Of cube, side $a$	$C \simeq 7.283 \times 10^{-11} \epsilon_r a$	(7.17)
Between concentric spheres, radii $a < b$	$C = 4\pi\epsilon_0\epsilon_r ab(b-a)^{-1}$	(7.18)
Between coaxial cylinders, radii $a < b$	$C = \frac{2\pi\epsilon_0\epsilon_r}{\ln(b/a)}$ per unit length	(7.19)
Between parallel cylinders, separation $2d$ , radii $a$	$C = \frac{\pi\epsilon_0\epsilon_r}{\text{arcosh}(d/a)}$ per unit length	(7.20)
	$\simeq \frac{\pi\epsilon_0\epsilon_r}{\ln(2d/a)}$ ( $d \gg a$ )	(7.21)
Between parallel, coaxial circular disks, separation $d$ , radii $a$	$C \simeq \frac{\epsilon_0\epsilon_r \pi a^2}{d} + \epsilon_0\epsilon_r a [\ln(16\pi a/d) - 1]$	(7.22)

<sup>a</sup>For conductors, in an embedding medium of relative permittivity  $\epsilon_r$ .

**Inductance<sup>a</sup>**

Of $N$ -turn solenoid (straight or toroidal), length $l$ , area $A$ ( $\ll l^2$ )	$L = \mu_0 N^2 A / l$	(7.23)
Of coaxial cylindrical tubes, radii $a, b$ ( $a < b$ )	$L = \frac{\mu_0}{2\pi} \ln \frac{b}{a}$ per unit length	(7.24)
Of parallel wires, radii $a$ , separation $2d$	$L \simeq \frac{\mu_0}{\pi} \ln \frac{2d}{a}$ per unit length, ( $2d \gg a$ )	(7.25)
Of wire of radius $a$ bent in a loop of radius $b \gg a$	$L \simeq \mu_0 b \left( \ln \frac{8b}{a} - 2 \right)$	(7.26)

<sup>a</sup>For currents confined to the surfaces of perfect conductors in free space.

## Electric fields<sup>a</sup>

Uniformly charged sphere, radius $a$ , charge $q$	$\mathbf{E}(\mathbf{r}) = \begin{cases} \frac{q}{4\pi\epsilon_0 a^3} \mathbf{r} & (r < a) \\ \frac{q}{4\pi\epsilon_0 r^3} \mathbf{r} & (r \geq a) \end{cases} \quad (7.27)$
Uniformly charged disk, radius $a$ , charge $q$ (on axis, $z$ )	$\mathbf{E}(z) = \frac{q}{2\pi\epsilon_0 a^2} z \left( \frac{1}{ z } - \frac{1}{\sqrt{z^2 + a^2}} \right) \quad (7.28)$
Line charge, charge density $\lambda$ per unit length	$\mathbf{E}(\mathbf{r}) = \frac{\lambda}{2\pi\epsilon_0 r^2} \mathbf{r} \quad (7.29)$
Electric dipole, moment $\mathbf{p}$ (spherical polar coordinates, $\theta$ angle between $\mathbf{p}$ and $\mathbf{r}$ )	$E_r = \frac{p \cos \theta}{2\pi\epsilon_0 r^3} \quad (7.30)$
Charge sheet, surface density $\sigma$	$E = \frac{\sigma}{2\epsilon_0} \quad (7.32)$

<sup>a</sup>For  $\epsilon_r = 1$  in the surrounding medium.

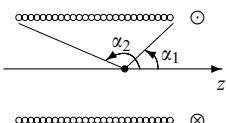
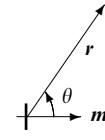
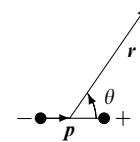
## Magnetic fields<sup>a</sup>

Uniform infinite solenoid, current $I$ , $n$ turns per unit length	$B = \begin{cases} \mu_0 n I & \text{inside (axial)} \\ 0 & \text{outside} \end{cases} \quad (7.33)$
Uniform cylinder of current $I$ , radius $a$	$B(r) = \begin{cases} \mu_0 I r / (2\pi a^2) & r < a \\ \mu_0 I / (2\pi r) & r \geq a \end{cases} \quad (7.34)$
Magnetic dipole, moment $\mathbf{m}$ ( $\theta$ angle between $\mathbf{m}$ and $\mathbf{r}$ )	$B_r = \frac{m \cos \theta}{2\pi r^3} \quad (7.35)$
Circular current loop of $N$ turns, radius $a$ , along axis, $z$	$B(z) = \frac{\mu_0 N I}{2} \frac{a^2}{(a^2 + z^2)^{3/2}} \quad (7.37)$
The axis, $z$ , of a straight solenoid, $n$ turns per unit length, current $I$	$B_{\text{axis}} = \frac{\mu_0 n I}{2} (\cos \alpha_1 - \cos \alpha_2) \quad (7.38)$

<sup>a</sup>For  $\mu_r = 1$  in the surrounding medium.

## Image charges

Real charge, $+q$ , at a distance:	image point	image charge
$b$ from a conducting plane	$-b$	$-q$
$b$ from a conducting sphere, radius $a$	$a^2/b$	$-qa/b$
$b$ from a plane dielectric boundary:		
seen from free space	$-b$	$-q(\epsilon_r - 1)/(\epsilon_r + 1)$
seen from the dielectric	$b$	$+2q/(\epsilon_r + 1)$

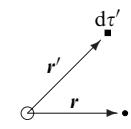


## 7.3 Electromagnetic fields (general)

### Field relationships

Conservation of charge	$\nabla \cdot \mathbf{J} = -\frac{\partial \rho}{\partial t}$	(7.39)	$\mathbf{J}$ current density $\rho$ charge density $t$ time
Magnetic vector potential	$\mathbf{B} = \nabla \times \mathbf{A}$	(7.40)	$\mathbf{A}$ vector potential
Electric field from potentials	$\mathbf{E} = -\frac{\partial \mathbf{A}}{\partial t} - \nabla \phi$	(7.41)	$\phi$ electrical potential
Coulomb gauge condition	$\nabla \cdot \mathbf{A} = 0$	(7.42)	
Lorenz gauge condition	$\nabla \cdot \mathbf{A} + \frac{1}{c^2} \frac{\partial \phi}{\partial t} = 0$	(7.43)	$c$ speed of light
Potential field equations <sup>a</sup>	$\frac{1}{c^2} \frac{\partial^2 \phi}{\partial t^2} - \nabla^2 \phi = \frac{\rho}{\epsilon_0}$	(7.44)	$d\tau'$ volume element
	$\frac{1}{c^2} \frac{\partial^2 \mathbf{A}}{\partial t^2} - \nabla^2 \mathbf{A} = \mu_0 \mathbf{J}$	(7.45)	$\mathbf{r}'$ position vector of $d\tau'$
Expression for $\phi$ in terms of $\rho^a$	$\phi(\mathbf{r}, t) = \frac{1}{4\pi\epsilon_0} \int_{\text{volume}} \frac{\rho(\mathbf{r}', t -  \mathbf{r} - \mathbf{r}' /c)}{ \mathbf{r} - \mathbf{r}' } d\tau'$	(7.46)	$\mu_0$ permeability of free space
Expression for $\mathbf{A}$ in terms of $\mathbf{J}^a$	$\mathbf{A}(\mathbf{r}, t) = \frac{\mu_0}{4\pi} \int_{\text{volume}} \frac{\mathbf{J}(\mathbf{r}', t -  \mathbf{r} - \mathbf{r}' /c)}{ \mathbf{r} - \mathbf{r}' } d\tau'$	(7.47)	

<sup>a</sup> Assumes the Lorenz gauge.



7

### Liénard–Wiechert potentials<sup>a</sup>

Electrical potential of a moving point charge	$\phi = \frac{q}{4\pi\epsilon_0( \mathbf{r}  - \mathbf{v} \cdot \mathbf{r}/c)}$	(7.48)	$q$ charge $\mathbf{r}$ vector from charge to point of observation $\mathbf{v}$ particle velocity
Magnetic vector potential of a moving point charge	$\mathbf{A} = \frac{\mu_0 q \mathbf{v}}{4\pi( \mathbf{r}  - \mathbf{v} \cdot \mathbf{r}/c)}$	(7.49)	

<sup>a</sup>In free space. The right-hand sides of these equations are evaluated at retarded times, i.e., at  $t' = t - |\mathbf{r}'|/c$ , where  $\mathbf{r}'$  is the vector from the charge to the observation point at time  $t'$ .

## Maxwell's equations

Differential form:	Integral form:
$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$ (7.50)	$\oint_{\text{closed surface}} \mathbf{E} \cdot d\mathbf{s} = \frac{1}{\epsilon_0} \int_{\text{volume}} \rho d\tau$ (7.51)
$\nabla \cdot \mathbf{B} = 0$ (7.52)	$\oint_{\text{closed surface}} \mathbf{B} \cdot d\mathbf{s} = 0$ (7.53)
$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$ (7.54)	$\oint_{\text{loop}} \mathbf{E} \cdot d\mathbf{l} = -\frac{d\Phi}{dt}$ (7.55)
$\nabla \times \mathbf{B} = \mu_0 \mathbf{J} + \mu_0 \epsilon_0 \frac{\partial \mathbf{E}}{\partial t}$ (7.56)	$\oint_{\text{loop}} \mathbf{B} \cdot d\mathbf{l} = \mu_0 I + \mu_0 \epsilon_0 \int_{\text{surface}} \frac{\partial \mathbf{E}}{\partial t} \cdot d\mathbf{s}$ (7.57)
Equation (7.51) is “Gauss’s law”	$d\mathbf{s}$ surface element
Equation (7.55) is “Faraday’s law”	$d\tau$ volume element
$\mathbf{E}$ electric field	$d\mathbf{l}$ line element
$\mathbf{B}$ magnetic flux density	$\Phi$ linked magnetic flux ( $= \oint \mathbf{B} \cdot d\mathbf{s}$ )
$\mathbf{J}$ current density	$I$ linked current ( $= \int \mathbf{J} \cdot d\mathbf{s}$ )
$\rho$ charge density	$t$ time

## Maxwell's equations (using $\mathbf{D}$ and $\mathbf{H}$ )

Differential form:	Integral form:
$\nabla \cdot \mathbf{D} = \rho_{\text{free}}$ (7.58)	$\oint_{\text{closed surface}} \mathbf{D} \cdot d\mathbf{s} = \int_{\text{volume}} \rho_{\text{free}} d\tau$ (7.59)
$\nabla \cdot \mathbf{B} = 0$ (7.60)	$\oint_{\text{closed surface}} \mathbf{B} \cdot d\mathbf{s} = 0$ (7.61)
$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$ (7.62)	$\oint_{\text{loop}} \mathbf{E} \cdot d\mathbf{l} = -\frac{d\Phi}{dt}$ (7.63)
$\nabla \times \mathbf{H} = \mathbf{J}_{\text{free}} + \frac{\partial \mathbf{D}}{\partial t}$ (7.64)	$\oint_{\text{loop}} \mathbf{H} \cdot d\mathbf{l} = I_{\text{free}} + \int_{\text{surface}} \frac{\partial \mathbf{D}}{\partial t} \cdot d\mathbf{s}$ (7.65)
$\mathbf{D}$ displacement field	$\mathbf{E}$ electric field
$\rho_{\text{free}}$ free charge density (in the sense of $\rho = \rho_{\text{induced}} + \rho_{\text{free}}$ )	$d\mathbf{s}$ surface element
$\mathbf{B}$ magnetic flux density	$d\tau$ volume element
$\mathbf{H}$ magnetic field strength	$d\mathbf{l}$ line element
$\mathbf{J}_{\text{free}}$ free current density (in the sense of $\mathbf{J} = \mathbf{J}_{\text{induced}} + \mathbf{J}_{\text{free}}$ )	$\Phi$ linked magnetic flux ( $= \oint \mathbf{B} \cdot d\mathbf{s}$ )
	$I_{\text{free}}$ linked free current ( $= \int \mathbf{J}_{\text{free}} \cdot d\mathbf{s}$ )
	$t$ time

## Relativistic electrodynamics

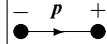
Lorentz transformation of electric and magnetic fields	$E'_\parallel = E_\parallel$	(7.66)	$E$ electric field
	$E'_\perp = \gamma(E + \mathbf{v} \times \mathbf{B})_\perp$	(7.67)	$B$ magnetic flux density
	$\mathbf{B}'_\parallel = \mathbf{B}_\parallel$	(7.68)	' measured in frame moving at relative velocity $v$
	$\mathbf{B}'_\perp = \gamma(\mathbf{B} - \mathbf{v} \times \mathbf{E}/c^2)_\perp$	(7.69)	$\gamma$ Lorentz factor = $[1 - (v/c)^2]^{-1/2}$
Lorentz transformation of current and charge densities	$\rho' = \gamma(\rho - v J_\parallel/c^2)$	(7.70)	$\parallel$ parallel to $v$
	$J'_\perp = J_\perp$	(7.71)	$\perp$ perpendicular to $v$
	$J'_\parallel = \gamma(J_\parallel - v\rho)$	(7.72)	
Lorentz transformation of potential fields	$\phi' = \gamma(\phi - v A_\parallel)$	(7.73)	
	$A'_\perp = A_\perp$	(7.74)	$\mathbf{J}$ current density
	$A'_\parallel = \gamma(A_\parallel - v\phi/c^2)$	(7.75)	$\rho$ charge density
Four-vector fields <sup>a</sup>	$\tilde{\mathbf{J}} = (\rho c, \mathbf{J})$	(7.76)	$\phi$ electric potential
	$\tilde{\mathbf{A}} = \left( \frac{\phi}{c}, \mathbf{A} \right)$	(7.77)	$\mathbf{A}$ magnetic vector potential
	$\square^2 = \left( \frac{1}{c^2} \frac{\partial^2}{\partial t^2}, -\nabla^2 \right)$	(7.78)	
	$\square^2 \tilde{\mathbf{A}} = \mu_0 \tilde{\mathbf{J}}$	(7.79)	

<sup>a</sup>Other sign conventions are common here. See page 65 for a general definition of four-vectors.

## 7.4 Fields associated with media

### Polarisation

Definition of electric dipole moment	$\mathbf{p} = q\mathbf{a}$	(7.80)	$\pm q$	end charges
Generalised electric dipole moment	$\mathbf{p} = \int_{\text{volume}} \mathbf{r}' \rho d\tau'$	(7.81)	$\mathbf{a}$	charge separation vector (from $-$ to $+$ )
Electric dipole potential	$\phi(\mathbf{r}) = \frac{\mathbf{p} \cdot \mathbf{r}}{4\pi\epsilon_0 r^3}$	(7.82)	$\mathbf{p}$	dipole moment
Dipole moment per unit volume (polarisation) <sup>a</sup>	$\mathbf{P} = np$	(7.83)	$\rho$	charge density
Induced volume charge density	$\nabla \cdot \mathbf{P} = -\rho_{\text{ind}}$	(7.84)	$d\tau'$	volume element
Induced surface charge density	$\sigma_{\text{ind}} = \mathbf{P} \cdot \hat{\mathbf{s}}$	(7.85)	$\mathbf{r}'$	vector to $d\tau'$
Definition of electric displacement	$\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P}$	(7.86)	$\phi$	dipole potential
Definition of electric susceptibility	$\mathbf{P} = \epsilon_0 \chi_E \mathbf{E}$	(7.87)	$\mathbf{r}$	vector from dipole
Definition of relative permittivity <sup>b</sup>	$\epsilon_r = 1 + \chi_E$	(7.88)	$\epsilon_0$	permittivity of free space
	$\mathbf{D} = \epsilon_0 \epsilon_r \mathbf{E}$	(7.89)	$\mathbf{P}$	polarisation
	$= \epsilon \mathbf{E}$	(7.90)	$n$	number of dipoles per unit volume
Atomic polarisability <sup>c</sup>	$\mathbf{p} = \alpha \mathbf{E}_{\text{loc}}$	(7.91)	$\rho_{\text{ind}}$	volume charge density
Depolarising fields	$\mathbf{E}_d = -\frac{N_d \mathbf{P}}{\epsilon_0}$	(7.92)	$\sigma_{\text{ind}}$	surface charge density
Clausius–Mossotti equation <sup>d</sup>	$\frac{n\alpha}{3\epsilon_0} = \frac{\epsilon_r - 1}{\epsilon_r + 2}$	(7.93)	$\hat{\mathbf{s}}$	unit normal to surface



<sup>a</sup>Assuming dipoles are parallel. The equivalent of Equation (7.112) holds for a hot gas of electric dipoles.

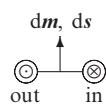
<sup>b</sup>Relative permittivity as defined here is for a linear isotropic medium.

<sup>c</sup>The polarisability of a conducting sphere radius  $a$  is  $\alpha = 4\pi\epsilon_0 a^3$ . The definition  $\mathbf{p} = \alpha \epsilon_0 \mathbf{E}_{\text{loc}}$  is also used.

<sup>d</sup>With the substitution  $\eta^2 = \epsilon_r$  [cf. Equation (7.195) with  $\mu_r = 1$ ] this is also known as the “Lorentz–Lorenz formula.”

## Magnetisation

Definition of magnetic dipole moment	$\mathbf{dm} = I \mathbf{ds}$	(7.94)	$\mathbf{dm}$	dipole moment
Generalised magnetic dipole moment	$\mathbf{m} = \frac{1}{2} \int_{\text{volume}} \mathbf{r}' \times \mathbf{J} d\tau'$	(7.95)	$I$	loop current
Magnetic dipole (scalar) potential	$\phi_m(\mathbf{r}) = \frac{\mu_0 \mathbf{m} \cdot \mathbf{r}}{4\pi r^3}$	(7.96)	$ds$	loop area (right-hand sense with respect to loop current)
Dipole moment per unit volume (magnetisation) <sup>a</sup>	$\mathbf{M} = nm$	(7.97)	$\mathbf{m}$	dipole moment
Induced volume current density	$\mathbf{J}_{\text{ind}} = \nabla \times \mathbf{M}$	(7.98)	$\mathbf{J}$	current density
Induced surface current density	$\mathbf{j}_{\text{ind}} = \mathbf{M} \times \hat{\mathbf{s}}$	(7.99)	$d\tau'$	volume element
Definition of magnetic field strength, $\mathbf{H}$	$\mathbf{B} = \mu_0(\mathbf{H} + \mathbf{M})$	(7.100)	$\mathbf{r}'$	vector to $d\tau'$
Definition of magnetic susceptibility	$\mathbf{M} = \chi_H \mathbf{H}$	(7.101)	$\phi_m$	magnetic scalar potential
	$= \frac{\chi_B \mathbf{B}}{\mu_0}$	(7.102)	$\mathbf{r}$	vector from dipole
	$\chi_B = \frac{\chi_H}{1 + \chi_H}$	(7.103)	$\mu_0$	permeability of free space
	$\mathbf{B} = \mu_0 \mu_r \mathbf{H}$	(7.104)	$\mathbf{M}$	magnetisation
Definition of relative permeability <sup>b</sup>	$= \mu \mathbf{H}$	(7.105)	$n$	number of dipoles per unit volume
	$\mu_r = 1 + \chi_H$	(7.106)	$\mathbf{J}_{\text{ind}}$	volume current density (i.e., $\text{A m}^{-2}$ )
	$= \frac{1}{1 - \chi_B}$	(7.107)	$\mathbf{j}_{\text{ind}}$	surface current density (i.e., $\text{A m}^{-1}$ )
			$\hat{\mathbf{s}}$	unit normal to surface
			$\mathbf{B}$	magnetic flux density
			$\mathbf{H}$	magnetic field strength
			$\chi_H$	magnetic susceptibility. $\chi_B$ is also used (both may be tensors)
			$\mu_r$	relative permeability
			$\mu$	permeability



<sup>a</sup>Assuming all the dipoles are parallel. See Equation (7.112) for a classical paramagnetic gas and page 101 for the quantum generalisation.

<sup>b</sup>Relative permeability as defined here is for a linear isotropic medium.

## Paramagnetism and diamagnetism

Diamagnetic moment of an atom	$\mathbf{m} = -\frac{e^2}{6m_e} Z \langle r^2 \rangle \mathbf{B}$	(7.108)	$\mathbf{m}$ magnetic moment $\langle r^2 \rangle$ mean squared orbital radius (of all electrons) $Z$ atomic number $\mathbf{B}$ magnetic flux density $m_e$ electron mass $-e$ electronic charge $\mathbf{J}$ total angular momentum $g$ Landé $g$ -factor ( $=2$ for spin, $=1$ for orbital momentum)
Intrinsic electron magnetic moment <sup>a</sup>	$\mathbf{m} \simeq -\frac{e}{2m_e} g \mathbf{J}$	(7.109)	$\mathcal{L}(x)$ Langevin function
Langevin function	$\mathcal{L}(x) = \coth x - \frac{1}{x}$	(7.110)	$\langle M \rangle$ apparent magnetisation
	$\simeq x/3 \quad (x \lesssim 1)$	(7.111)	$m_0$ magnitude of magnetic dipole moment $n$ dipole number density $T$ temperature $k$ Boltzmann constant $\chi_H$ magnetic susceptibility
Classical gas paramagnetism ( $ \mathbf{J}  \gg \hbar$ )	$\langle M \rangle = nm_0 \mathcal{L} \left( \frac{m_0 B}{kT} \right)$	(7.112)	$\mu_0$ permeability of free space $T_c$ Curie temperature
Curie's law	$\chi_H = \frac{\mu_0 n m_0^2}{3kT}$	(7.113)	
Curie–Weiss law	$\chi_H = \frac{\mu_0 n m_0^2}{3k(T - T_c)}$	(7.114)	

<sup>a</sup>See also page 100.

## Boundary conditions for $E$ , $D$ , $B$ , and $H$ <sup>a</sup>

Parallel component of the electric field	$E_{\parallel}$	continuous	(7.115)	$\parallel$ component parallel to interface
Perpendicular component of the magnetic flux density	$B_{\perp}$	continuous	(7.116)	$\perp$ component perpendicular to interface
Electric displacement <sup>b</sup>	$\hat{s} \cdot (\mathbf{D}_2 - \mathbf{D}_1) = \sigma$		(7.117)	$\mathbf{D}_{1,2}$ electrical displacements in media 1 & 2 $\hat{s}$ unit normal to surface, directed 1 → 2 $\sigma$ surface density of free charge
Magnetic field strength <sup>c</sup>	$\hat{s} \times (\mathbf{H}_2 - \mathbf{H}_1) = \mathbf{j}_s$		(7.118)	$\mathbf{H}_{1,2}$ magnetic field strengths in media 1 & 2 $\mathbf{j}_s$ surface current per unit width

<sup>a</sup>At the plane surface between two uniform media.

<sup>b</sup>If  $\sigma = 0$ , then  $D_{\perp}$  is continuous.

<sup>c</sup>If  $\mathbf{j}_s = \mathbf{0}$  then  $H_{\parallel}$  is continuous.



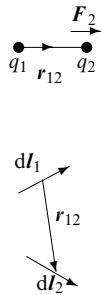
## 7.5 Force, torque, and energy

### Electromagnetic force and torque

Force between two static charges: Coulomb's law	$F_2 = \frac{q_1 q_2}{4\pi\epsilon_0 r_{12}^2} \hat{r}_{12}$ (7.119)	$F_2$ force on $q_2$ $q_{1,2}$ charges $\hat{r}_{12}$ vector from 1 to 2 $\epsilon_0$ unit vector permittivity of free space
Force between two current-carrying elements	$dF_2 = \frac{\mu_0 I_1 I_2}{4\pi r_{12}^2} [dI_2 \times (dI_1 \times \hat{r}_{12})]$ (7.120)	$dI_{1,2}$ line elements $I_{1,2}$ currents flowing along $dI_1$ and $dI_2$ $dF_2$ force on $dI_2$ $\mu_0$ permeability of free space
Force on a current-carrying element in a magnetic field	$dF = I dI \times B$ (7.121)	$dI$ line element $F$ force $I$ current flowing along $dI$ $B$ magnetic flux density
Force on a charge (Lorentz force)	$F = q(E + v \times B)$ (7.122)	$E$ electric field $v$ charge velocity
Force on an electric dipole <sup>a</sup>	$F = (p \cdot \nabla) E$ (7.123)	$p$ electric dipole moment
Force on a magnetic dipole <sup>b</sup>	$F = (m \cdot \nabla) B$ (7.124)	$m$ magnetic dipole moment
Torque on an electric dipole	$G = p \times E$ (7.125)	$G$ torque
Torque on a magnetic dipole	$G = m \times B$ (7.126)	
Torque on a current loop	$G = I_L \oint_{\text{loop}} r \times (dI_L \times B)$ (7.127)	$dI_L$ line-element (of loop) $r$ position vector of $dI_L$ $I_L$ current around loop

<sup>a</sup> $F$  simplifies to  $\nabla(p \cdot E)$  if  $p$  is intrinsic,  $\nabla(pE/2)$  if  $p$  is induced by  $E$  and the medium is isotropic.

<sup>b</sup> $F$  simplifies to  $\nabla(m \cdot B)$  if  $m$  is intrinsic,  $\nabla(mB/2)$  if  $m$  is induced by  $B$  and the medium is isotropic.



## Electromagnetic energy

Electromagnetic field energy density (in free space)	$u = \frac{1}{2}\epsilon_0 E^2 + \frac{1}{2}\frac{B^2}{\mu_0}$	(7.128)	$u$ energy density $E$ electric field $B$ magnetic flux density $\epsilon_0$ permittivity of free space $\mu_0$ permeability of free space $D$ electric displacement $H$ magnetic field strength $c$ speed of light $N$ energy flow rate per unit area $\perp$ to the flow direction $p_0$ amplitude of dipole moment $r$ vector from dipole ( $\gg$ wavelength) $\theta$ angle between $p$ and $r$ $\omega$ oscillation frequency $W$ total mean radiated power $U_{\text{tot}}$ total energy $d\tau$ volume element $r$ position vector of $d\tau$ $\phi$ electrical potential $\rho$ charge density $V_i$ potential of $i$ th capacitor $C_{ij}$ mutual capacitance between capacitors $i$ and $j$ $L_{ij}$ mutual inductance between inductors $i$ and $j$ $U_{\text{dip}}$ energy of dipole $p$ electric dipole moment $m$ magnetic dipole moment $H$ Hamiltonian $p_m$ particle momentum $q$ particle charge $m$ particle mass $A$ magnetic vector potential
Energy density in media	$u = \frac{1}{2}(\mathbf{D} \cdot \mathbf{E} + \mathbf{B} \cdot \mathbf{H})$	(7.129)	
Energy flow (Poynting) vector	$\mathbf{N} = \mathbf{E} \times \mathbf{H}$	(7.130)	
Mean flux density at a distance $r$ from a short oscillating dipole	$\langle \mathbf{N} \rangle = \frac{\omega^4 p_0^2 \sin^2 \theta}{32\pi^2 \epsilon_0 c^3 r^3} \mathbf{r}$	(7.131)	
Total mean power from oscillating dipole <sup>a</sup>	$W = \frac{\omega^4 p_0^2 / 2}{6\pi \epsilon_0 c^3}$	(7.132)	
Self-energy of a charge distribution	$U_{\text{tot}} = \frac{1}{2} \int_{\text{volume}} \phi(\mathbf{r}) \rho(\mathbf{r}) d\tau$	(7.133)	
Energy of an assembly of capacitors <sup>b</sup>	$U_{\text{tot}} = \frac{1}{2} \sum_i \sum_j C_{ij} V_i V_j$	(7.134)	
Energy of an assembly of inductors <sup>c</sup>	$U_{\text{tot}} = \frac{1}{2} \sum_i \sum_j L_{ij} I_i I_j$	(7.135)	
Intrinsic dipole in an electric field	$U_{\text{dip}} = -\mathbf{p} \cdot \mathbf{E}$	(7.136)	
Intrinsic dipole in a magnetic field	$U_{\text{dip}} = -\mathbf{m} \cdot \mathbf{B}$	(7.137)	
Hamiltonian of a charged particle in an EM field <sup>d</sup>	$H = \frac{ \mathbf{p}_m - q\mathbf{A} ^2}{2m} + q\phi$	(7.138)	

<sup>a</sup>Sometimes called “Larmor’s formula.”

<sup>b</sup> $C_{ii}$  is the self-capacitance of the  $i$ th body. Note that  $C_{ij} = C_{ji}$ .

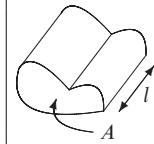
<sup>c</sup> $L_{ii}$  is the self-inductance of the  $i$ th body. Note that  $L_{ij} = L_{ji}$ .

<sup>d</sup>Newtonian limit, i.e., velocity  $\ll c$ .

## 7.6 LCR circuits

### LCR definitions

Current	$I = \frac{dQ}{dt}$	(7.139)	$I$ current $Q$ charge
Ohm's law	$V = IR$	(7.140)	$R$ resistance
Ohm's law (field form)	$\mathbf{J} = \sigma \mathbf{E}$	(7.141)	$V$ potential difference over $R$
Resistivity	$\rho = \frac{1}{\sigma} = \frac{RA}{l}$	(7.142)	$I$ current through $R$
Capacitance	$C = \frac{Q}{V}$	(7.143)	$\mathbf{J}$ current density
Current through capacitor	$I = C \frac{dV}{dt}$	(7.144)	$E$ electric field
Self-inductance	$L = \frac{\Phi}{I}$	(7.145)	$\sigma$ conductivity
Voltage across inductor	$V = -L \frac{dI}{dt}$	(7.146)	$\rho$ resistivity
Mutual inductance	$L_{12} = \frac{\Phi_1}{I_2} = L_{21}$	(7.147)	$A$ area of face ( $I$ is normal to face)
Coefficient of coupling	$ L_{12}  = k \sqrt{L_1 L_2}$	(7.148)	$l$ length
Linked magnetic flux through a coil	$\Phi = N\phi$	(7.149)	$C$ capacitance
			$V$ potential difference across $C$
			$I$ current through $C$
			$t$ time
			$\Phi$ total linked flux
			$I$ current through inductor
			$V$ potential difference over $L$
			$\Phi_1$ total flux from loop 2 linked by loop 1
			$L_{12}$ mutual inductance
			$I_2$ current through loop 2
			$k$ coupling coefficient between $L_1$ and $L_2$ ( $\leq 1$ )
			$\Phi$ linked flux
			$N$ number of turns around $\phi$
			$\phi$ flux through area of turns



## Resonant LCR circuits

Phase resonant frequency <sup>a</sup>	$\omega_0^2 = \begin{cases} 1/LC & \text{(series)} \\ 1/LC - R^2/L^2 & \text{(parallel)} \end{cases}$	(7.150)	<p>series R L C parallel</p>
Tuning <sup>b</sup>	$\frac{\delta\omega}{\omega_0} = \frac{1}{Q} = \frac{R}{\omega_0 L}$	(7.151)	
Quality factor	$Q = 2\pi \frac{\text{stored energy}}{\text{energy lost per cycle}}$	(7.152)	

<sup>a</sup>At which the impedance is purely real.

<sup>b</sup>Assuming the capacitor is purely reactive. If L and R are parallel, then  $1/Q = \omega_0 L/R$ .

## Energy in capacitors, inductors, and resistors

Energy stored in a capacitor	$U = \frac{1}{2}CV^2 = \frac{1}{2}QV = \frac{1}{2}\frac{Q^2}{C}$	(7.153)	$U$ stored energy $C$ capacitance $Q$ charge $V$ potential difference $L$ inductance $\Phi$ linked magnetic flux $I$ current
Energy stored in an inductor	$U = \frac{1}{2}LI^2 = \frac{1}{2}\Phi I = \frac{1}{2}\frac{\Phi^2}{L}$	(7.154)	$W$ power dissipated $R$ resistance
Power dissipated in a resistor <sup>a</sup> (Joule's law)	$W = IV = I^2R = \frac{V^2}{R}$	(7.155)	$\tau$ relaxation time $\epsilon_r$ relative permittivity $\sigma$ conductivity
Relaxation time	$\tau = \frac{\epsilon_0 \epsilon_r}{\sigma}$	(7.156)	

<sup>a</sup>This is d.c., or instantaneous a.c., power.

## Electrical impedance

Impedances in series	$Z_{\text{tot}} = \sum_n Z_n$	(7.157)
Impedances in parallel	$Z_{\text{tot}} = \left( \sum_n Z_n^{-1} \right)^{-1}$	(7.158)
Impedance of capacitance	$Z_C = -\frac{i}{\omega C}$	(7.159)
Impedance of inductance	$Z_L = i\omega L$	(7.160)
Impedance: $Z$ Inductance: $L$ Conductance: $G = 1/R$ Admittance: $Y = 1/Z$	Capacitance: $C$ Resistance: $R = \text{Re}[Z]$ Reactance: $X = \text{Im}[Z]$ Susceptance: $S = 1/X$	

## Kirchhoff's laws

Current law	$\sum_{\text{node}} I_i = 0$	(7.161)	$I_i$ currents impinging on node
Voltage law	$\sum_{\text{loop}} V_i = 0$	(7.162)	$V_i$ potential differences around loop

## Transformers<sup>a</sup>

	n	turns ratio
	$N_1$	number of primary turns
	$N_2$	number of secondary turns
	$V_1$	primary voltage
	$V_2$	secondary voltage
	$I_1$	primary current
	$I_2$	secondary current
	$Z_{\text{out}}$	output impedance
	$Z_{\text{in}}$	input impedance
	$Z_1$	source impedance
	$Z_2$	load impedance
Turns ratio	$n = N_2/N_1$	(7.163)
Transformation of voltage and current	$V_2 = nV_1$	(7.164)
	$I_2 = I_1/n$	(7.165)
Output impedance (seen by $Z_2$ )	$Z_{\text{out}} = n^2 Z_1$	(7.166)
Input impedance (seen by $Z_1$ )	$Z_{\text{in}} = Z_2/n^2$	(7.167)

<sup>a</sup>Ideal, with a coupling constant of 1 between loss-free windings.

7

## Star–delta transformation

	i,j,k	node indices (1,2, or 3)
	$Z_i$	impedance on node i
Star impedances	$Z_i = \frac{Z_{ij}Z_{ik}}{Z_{ij} + Z_{ik} + Z_{jk}}$	(7.168)
Delta impedances	$Z_{ij} = Z_i Z_j \left( \frac{1}{Z_i} + \frac{1}{Z_j} + \frac{1}{Z_k} \right)$	(7.169)

## 7.7 Transmission lines and waveguides

### Transmission line relations

Loss-free transmission line equations	$\frac{\partial V}{\partial x} = -L \frac{\partial I}{\partial t}$ (7.170)	$V$ potential difference across line
	$\frac{\partial I}{\partial x} = -C \frac{\partial V}{\partial t}$ (7.171)	$I$ current in line
Wave equation for a lossless transmission line	$\frac{1}{LC} \frac{\partial^2 V}{\partial x^2} = \frac{\partial^2 V}{\partial t^2}$ (7.172)	$L$ inductance per unit length
	$\frac{1}{LC} \frac{\partial^2 I}{\partial x^2} = \frac{\partial^2 I}{\partial t^2}$ (7.173)	$C$ capacitance per unit length
Characteristic impedance of lossless line	$Z_c = \sqrt{\frac{L}{C}}$ (7.174)	$x$ distance along line
Characteristic impedance of lossy line	$Z_c = \sqrt{\frac{R + i\omega L}{G + i\omega C}}$ (7.175)	$t$ time
Wave speed along a lossless line	$v_p = v_g = \frac{1}{\sqrt{LC}}$ (7.176)	$Z_c$ characteristic impedance
Input impedance of a terminated lossless line	$Z_{in} = Z_c \frac{Z_t \cos kl - iZ_c \sin kl}{Z_c \cos kl - iZ_t \sin kl}$ (7.177)	$R$ resistance per unit length of conductor
	$= Z_c^2 / Z_t$ if $l = \lambda/4$ (7.178)	$G$ conductance per unit length of insulator
Reflection coefficient from a terminated line	$r = \frac{Z_t - Z_c}{Z_t + Z_c}$ (7.179)	$\omega$ angular frequency
Line voltage standing wave ratio	$\text{vSWR} = \frac{1 +  r }{1 -  r }$ (7.180)	$v_p$ phase speed
		$v_g$ group speed
		$Z_{in}$ (complex) input impedance
		$Z_t$ (complex) terminating impedance
		$k$ wavenumber ( $= 2\pi/\lambda$ )
		$l$ distance from termination
		$r$ (complex) voltage reflection coefficient

### Transmission line impedances<sup>a</sup>

Coaxial line	$Z_c = \sqrt{\frac{\mu}{4\pi^2 \epsilon}} \ln \frac{b}{a} \simeq \frac{60}{\sqrt{\epsilon_r}} \ln \frac{b}{a}$ (7.181)	$Z_c$ characteristic impedance ( $\Omega$ )
Open wire feeder	$Z_c = \sqrt{\frac{\mu}{\pi^2 \epsilon}} \ln \frac{l}{r} \simeq \frac{120}{\sqrt{\epsilon_r}} \ln \frac{l}{r}$ (7.182)	$a$ radius of inner conductor
Paired strip	$Z_c = \sqrt{\frac{\mu}{\epsilon} \frac{d}{w}} \simeq \frac{377}{\sqrt{\epsilon_r}} \frac{d}{w}$ (7.183)	$b$ radius of outer conductor
Microstrip line	$Z_c \simeq \frac{377}{\sqrt{\epsilon_r}[(w/h) + 2]}$ (7.184)	$\epsilon$ permittivity ( $= \epsilon_0 \epsilon_r$ )

<sup>a</sup>For lossless lines.

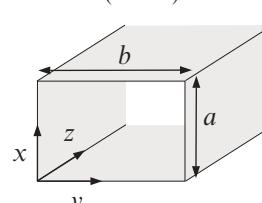
## Waveguides<sup>a</sup>

Waveguide equation	$k_g^2 = \frac{\omega^2}{c^2} - \frac{m^2\pi^2}{a^2} - \frac{n^2\pi^2}{b^2}$	(7.185)	$k_g$	wavenumber in guide
Guide cutoff frequency	$v_c = c \sqrt{\left(\frac{m}{2a}\right)^2 + \left(\frac{n}{2b}\right)^2}$	(7.186)	$\omega$	angular frequency
Phase velocity above cutoff	$v_p = \frac{c}{\sqrt{1 - (v_c/v)^2}}$	(7.187)	$a$	guide height
Group velocity above cutoff	$v_g = c^2/v_p = c \sqrt{1 - (v_c/v)^2}$	(7.188)	$b$	guide width
Wave impedances <sup>b</sup>	$Z_{TM} = Z_0 \sqrt{1 - (v_c/v)^2}$	(7.189)	$m, n$	mode indices with respect to $a$ and $b$ (integers)
	$Z_{TE} = Z_0 / \sqrt{1 - (v_c/v)^2}$	(7.190)	$c$	speed of light
			$v_c$	cutoff frequency
			$\omega_c$	$2\pi v_c$
			$v_p$	phase velocity
			$v$	frequency
			$v_g$	group velocity
			$Z_{TM}$	wave impedance for transverse magnetic modes
			$Z_{TE}$	wave impedance for transverse electric modes
			$Z_0$	impedance of free space ( $= \sqrt{\mu_0/\epsilon_0}$ )

Field solutions for  $TE_{mn}$  modes<sup>c</sup>

$$\begin{aligned} B_x &= \frac{\mathbf{i}k_g c^2}{\omega_c^2} \frac{\partial B_z}{\partial x} & E_x &= \frac{\mathbf{i}\omega c^2}{\omega_c^2} \frac{\partial B_z}{\partial y} \\ B_y &= \frac{\mathbf{i}k_g c^2}{\omega_c^2} \frac{\partial B_z}{\partial y} & E_y &= -\frac{\mathbf{i}\omega c^2}{\omega_c^2} \frac{\partial B_z}{\partial x} \\ B_z &= B_0 \cos \frac{m\pi x}{a} \cos \frac{n\pi y}{b} & E_z &= 0 \end{aligned} \quad (7.191)$$

Field solutions for  $TM_{mn}$  modes<sup>c</sup>

$$\begin{aligned} E_x &= \frac{\mathbf{i}k_g c^2}{\omega_c^2} \frac{\partial E_z}{\partial x} & B_x &= -\frac{\mathbf{i}\omega}{\omega_c^2} \frac{\partial E_z}{\partial y} \\ E_y &= \frac{\mathbf{i}k_g c^2}{\omega_c^2} \frac{\partial E_z}{\partial y} & B_y &= \frac{\mathbf{i}\omega}{\omega_c^2} \frac{\partial E_z}{\partial x} \\ E_z &= E_0 \sin \frac{m\pi x}{a} \sin \frac{n\pi y}{b} & B_z &= 0 \end{aligned} \quad (7.192)$$


<sup>a</sup>Equations are for lossless waveguides with rectangular cross sections and no dielectric.

<sup>b</sup>The ratio of the electric field to the magnetic field strength in the  $xy$  plane.

<sup>c</sup>Both TE and TM modes propagate in the  $z$  direction with a further factor of  $\exp[\mathbf{i}(k_g z - \omega t)]$  on all components.  $B_0$  and  $E_0$  are the amplitudes of the  $z$  components of magnetic flux density and electric field respectively.

## 7.8 Waves in and out of media

### Waves in lossless media

Electric field	$\nabla^2 \mathbf{E} = \mu\epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2}$	(7.193)	$E$ electric field $\mu$ permeability ( $= \mu_0\mu_r$ ) $\epsilon$ permittivity ( $= \epsilon_0\epsilon_r$ )
Magnetic field	$\nabla^2 \mathbf{B} = \mu\epsilon \frac{\partial^2 \mathbf{B}}{\partial t^2}$	(7.194)	$\mathbf{B}$ magnetic flux density $t$ time
Refractive index	$\eta = \sqrt{\epsilon_r \mu_r}$	(7.195)	
Wave speed	$v = \frac{1}{\sqrt{\mu\epsilon}} = \frac{c}{\eta}$	(7.196)	$v$ wave phase speed $\eta$ refractive index $c$ speed of light
Impedance of free space	$Z_0 = \sqrt{\frac{\mu_0}{\epsilon_0}} \simeq 376.7 \Omega$	(7.197)	$Z_0$ impedance of free space
Wave impedance	$Z = \frac{E}{H} = Z_0 \sqrt{\frac{\mu_r}{\epsilon_r}}$	(7.198)	$Z$ wave impedance $H$ magnetic field strength

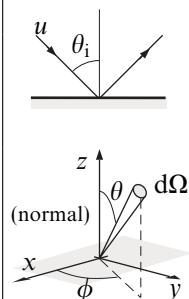
### Radiation pressure<sup>a</sup>

Radiation momentum density	$\mathbf{G} = \frac{\mathbf{N}}{c^2}$	(7.199)	$G$ momentum density $N$ Poynting vector $c$ speed of light $p_n$ normal pressure $u$ incident radiation energy density $R$ (power) reflectance coefficient
Isotropic radiation	$p_n = \frac{1}{3}u(1+R)$	(7.200)	$p_t$ tangential pressure $\theta_i$ angle of incidence
Specular reflection	$p_n = u(1+R)\cos^2\theta_i$	(7.201)	
	$p_t = u(1-R)\sin\theta_i\cos\theta_i$	(7.202)	
From an extended source <sup>b</sup>	$p_n = \frac{1+R}{c} \iint I_v(\theta, \phi) \cos^2\theta d\Omega dv$	(7.203)	$I_v$ specific intensity $v$ frequency $\Omega$ solid angle $\theta$ angle between $d\Omega$ and normal to plane
From a point source, <sup>c</sup> luminosity $L$	$p_n = \frac{L(1+R)}{4\pi r^2 c}$	(7.204)	$L$ source luminosity (i.e., radiant power) $r$ distance from source

<sup>a</sup>On an opaque surface.

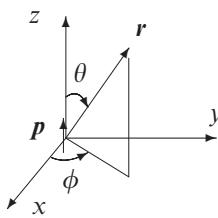
<sup>b</sup>In spherical polar coordinates. See page 120 for the meaning of specific intensity.

<sup>c</sup>Normal to the plane.



## Antennas

Spherical polar geometry:



		$r$ distance from dipole
		$\theta$ angle between $\mathbf{r}$ and $\mathbf{p}$
		$[p]$ retarded dipole moment
		$[p] = p(t - r/c)$
		$c$ speed of light
Field from a short ( $l \ll \lambda$ ) electric dipole in free space <sup>a</sup>	$E_r = \frac{1}{2\pi\epsilon_0} \left( \frac{[p]}{r^2 c} + \frac{[p]}{r^3} \right) \cos\theta$ (7.205)	$l$ dipole length ( $\ll \lambda$ )
	$E_\theta = \frac{1}{4\pi\epsilon_0} \left( \frac{[\dot{p}]}{rc^2} + \frac{[\dot{p}]}{r^2 c} + \frac{[p]}{r^3} \right) \sin\theta$ (7.206)	$\omega$ angular frequency
	$B_\phi = \frac{\mu_0}{4\pi} \left( \frac{[\dot{p}]}{rc} + \frac{[\dot{p}]}{r^2} \right) \sin\theta$ (7.207)	$\lambda$ wavelength
Radiation resistance of a short electric dipole in free space	$R = \frac{\omega^2 l^2}{6\pi\epsilon_0 c^3} = \frac{2\pi Z_0}{3} \left( \frac{l}{\lambda} \right)^2$ (7.208)	$Z_0$ impedance of free space
	$\simeq 789 \left( \frac{l}{\lambda} \right)^2 \text{ ohm}$ (7.209)	
Beam solid angle	$\Omega_A = \int_{4\pi} P_n(\theta, \phi) d\Omega$ (7.210)	$\Omega_A$ beam solid angle
Forward power gain	$G(0) = \frac{4\pi}{\Omega_A}$ (7.211)	$P_n$ normalised antenna power pattern
Antenna effective area	$A_e = \frac{\lambda^2}{\Omega_A}$ (7.212)	$P_n(0,0) = 1$
Power gain of a short dipole	$G(\theta) = \frac{3}{2} \sin^2 \theta$ (7.213)	$d\Omega$ differential solid angle
Beam efficiency	efficiency = $\frac{\Omega_M}{\Omega_A}$ (7.214)	$G$ antenna gain
Antenna temperature <sup>b</sup>	$T_A = \frac{1}{\Omega_A} \int_{4\pi} T_b(\theta, \phi) P_n(\theta, \phi) d\Omega$ (7.215)	$A_e$ effective area
		$\Omega_M$ main lobe solid angle
		$T_A$ antenna temperature
		$T_b$ sky brightness temperature

<sup>a</sup>All field components propagate with a further phase factor equal to  $\exp(i(kr - \omega t))$ , where  $k = 2\pi/\lambda$ .

<sup>b</sup>The brightness temperature of a source of specific intensity  $I_v$  is  $T_b = \lambda^2 I_v / (2k_B)$ .

## Reflection, refraction, and transmission<sup>a</sup>

<p>parallel incidence</p> <p>perpendicular incidence</p>	<p><math>E</math> electric field</p> <p><math>B</math> magnetic flux density</p> <p><math>\eta_i</math> refractive index on incident side</p> <p><math>\eta_t</math> refractive index on transmitted side</p> <p><math>\theta_i</math> angle of incidence</p> <p><math>\theta_r</math> angle of reflection</p> <p><math>\theta_t</math> angle of refraction</p>
Law of reflection	$\theta_i = \theta_r$ (7.216)
Snell's law <sup>b</sup>	$\eta_i \sin \theta_i = \eta_t \sin \theta_t$ (7.217)
Brewster's law	$\tan \theta_B = \eta_t / \eta_i$ (7.218)
	$\theta_B$ Brewster's angle of incidence for plane-polarised reflection ( $r_{\parallel} = 0$ )

### Fresnel equations of reflection and refraction

$$r_{\parallel} = \frac{\sin 2\theta_i - \sin 2\theta_t}{\sin 2\theta_i + \sin 2\theta_t} \quad (7.219) \qquad r_{\perp} = -\frac{\sin(\theta_i - \theta_t)}{\sin(\theta_i + \theta_t)} \quad (7.223)$$

$$t_{\parallel} = \frac{4 \cos \theta_i \sin \theta_t}{\sin 2\theta_i + \sin 2\theta_t} \quad (7.220) \qquad t_{\perp} = \frac{2 \cos \theta_i \sin \theta_t}{\sin(\theta_i + \theta_t)} \quad (7.224)$$

$$R_{\parallel} = r_{\parallel}^2 \quad (7.221) \qquad R_{\perp} = r_{\perp}^2 \quad (7.225)$$

$$T_{\parallel} = \frac{\eta_t \cos \theta_t}{\eta_i \cos \theta_i} t_{\parallel}^2 \quad (7.222) \qquad T_{\perp} = \frac{\eta_t \cos \theta_t}{\eta_i \cos \theta_i} t_{\perp}^2 \quad (7.226)$$

### Coefficients for normal incidence<sup>c</sup>

$$R = \frac{(\eta_i - \eta_t)^2}{(\eta_i + \eta_t)^2} \quad (7.227) \qquad r = \frac{\eta_i - \eta_t}{\eta_i + \eta_t} \quad (7.230)$$

$$T = \frac{4\eta_i \eta_t}{(\eta_i + \eta_t)^2} \quad (7.228) \qquad t = \frac{2\eta_i}{\eta_i + \eta_t} \quad (7.231)$$

$$R + T = 1 \quad (7.229) \qquad t - r = 1 \quad (7.232)$$

$\parallel$  electric field parallel to the plane of incidence

$R$  (power) reflectance coefficient

$T$  (power) transmittance coefficient

$\perp$  electric field perpendicular to the plane of incidence

$r$  amplitude reflection coefficient

$t$  amplitude transmission coefficient

<sup>a</sup>For the plane boundary between lossless dielectric media. All coefficients refer to the electric field component and whether it is parallel or perpendicular to the plane of incidence. Perpendicular components are out of the paper.

<sup>b</sup>The incident wave suffers total internal reflection if  $\frac{\eta_i}{\eta_t} \sin \theta_i > 1$ .

<sup>c</sup>I.e.,  $\theta_i = 0$ . Use the diagram labelled "perpendicular incidence" for correct phases.

## Propagation in conducting media<sup>a</sup>

Electrical conductivity ( $B = 0$ )	$\sigma = n_e e \mu = \frac{n_e e^2}{m_e} \tau_c$	(7.233)	$\sigma$ electrical conductivity $n_e$ electron number density $\tau_c$ electron relaxation time $\mu$ electron mobility $B$ magnetic flux density $m_e$ electron mass $-e$ electronic charge $\eta$ refractive index $\epsilon_0$ permittivity of free space $v$ frequency $\delta$ skin depth $\mu_0$ permeability of free space
Refractive index of an ohmic conductor <sup>b</sup>	$\eta = (1 + i) \left( \frac{\sigma}{4\pi v \epsilon_0} \right)^{1/2}$	(7.234)	
Skin depth in an ohmic conductor	$\delta = (\mu_0 \sigma \pi v)^{-1/2}$	(7.235)	

<sup>a</sup> Assuming a relative permeability,  $\mu_r$ , of 1.

<sup>b</sup> Taking the wave to have an  $e^{-i\omega t}$  time dependence, and the low-frequency limit ( $\sigma \gg 2\pi v \epsilon_0$ ).

## Electron scattering processes<sup>a</sup>

Rayleigh scattering cross section <sup>b</sup>	$\sigma_R = \frac{\omega^4 \alpha^2}{6\pi \epsilon_0 c^4}$	(7.236)	$\sigma_R$ Rayleigh cross section $\omega$ radiation angular frequency $\alpha$ particle polarisability $\epsilon_0$ permittivity of free space
Thomson scattering cross section <sup>c</sup>	$\sigma_T = \frac{8\pi}{3} \left( \frac{e^2}{4\pi \epsilon_0 m_e c^2} \right)^2$	(7.237)	$\sigma_T$ Thomson cross section $m_e$ electron (rest) mass $r_e$ classical electron radius $c$ speed of light
	$= \frac{8\pi}{3} r_e^2 \simeq 6.652 \times 10^{-29} \text{ m}^2$	(7.238)	
Inverse Compton scattering <sup>d</sup>	$P_{\text{tot}} = \frac{4}{3} \sigma_T c u_{\text{rad}} \gamma^2 \left( \frac{v^2}{c^2} \right)$	(7.239)	$P_{\text{tot}}$ electron energy loss rate $u_{\text{rad}}$ radiation energy density $\gamma$ Lorentz factor $= [1 - (v/c)^2]^{-1/2}$ $v$ electron speed
Compton scattering <sup>e</sup>	$\lambda' - \lambda = \frac{h}{m_e c} (1 - \cos \theta)$	(7.240)	$\lambda, \lambda'$ incident & scattered wavelengths $v, v'$ incident & scattered frequencies $\theta$ photon scattering angle $\frac{h}{m_e c}$ electron Compton wavelength $\varepsilon = hv/(m_e c^2)$
		$h\nu' = \frac{m_e c^2}{1 - \cos \theta + (1/\varepsilon)}$	(7.241)
	$\cot \phi = (1 + \varepsilon) \tan \frac{\theta}{2}$	(7.242)	
Klein–Nishina cross section (for a free electron)	$\sigma_{\text{KN}} = \frac{\pi r_e^2}{\varepsilon} \left\{ \left[ 1 - \frac{2(\varepsilon + 1)}{\varepsilon^2} \right] \ln(2\varepsilon + 1) + \frac{1}{2} + \frac{4}{\varepsilon} - \frac{1}{2(2\varepsilon + 1)^2} \right\}$	(7.243)	$\sigma_{\text{KN}}$ Klein–Nishina cross section
	$\simeq \sigma_T \quad (\varepsilon \ll 1)$	(7.244)	
	$\simeq \frac{\pi r_e^2}{\varepsilon} \left( \ln 2\varepsilon + \frac{1}{2} \right) \quad (\varepsilon \gg 1)$	(7.245)	

<sup>a</sup> For Rutherford scattering see page 72.

<sup>b</sup> Scattering by bound electrons.

<sup>c</sup> Scattering from free electrons,  $\varepsilon \ll 1$ .

<sup>d</sup> Electron energy loss rate due to photon scattering in the Thomson limit ( $\gamma h\nu \ll m_e c^2$ ).

<sup>e</sup> From an electron at rest.

## Cherenkov radiation

Cherenkov cone angle	$\sin \theta = \frac{c}{\eta v}$	(7.246)	$\theta$ cone semi-angle $c$ (vacuum) speed of light $\eta(\omega)$ refractive index $v$ particle velocity
Radiated power <sup>a</sup>	$P_{\text{tot}} = \frac{e^2 \mu_0}{4\pi} v \int_0^{\omega_c} \left[ 1 - \frac{c^2}{v^2 \eta^2(\omega)} \right] \omega d\omega$	(7.247)	$P_{\text{tot}}$ total radiated power $-e$ electronic charge $\mu_0$ free space permeability $\omega$ angular frequency $\omega_c$ cutoff frequency

<sup>a</sup>From a point charge,  $e$ , travelling at speed  $v$  through a medium of refractive index  $\eta(\omega)$ .

## 7.9 Plasma physics

### Warm plasmas

Landau length	$l_L = \frac{e^2}{4\pi\epsilon_0 k_B T_e}$	(7.248)	$l_L$ Landau length
	$\simeq 1.67 \times 10^{-5} T_e^{-1} \text{ m}$	(7.249)	$-e$ electronic charge
Electron Debye length	$\lambda_{\text{De}} = \left( \frac{\epsilon_0 k_B T_e}{n_e e^2} \right)^{1/2}$	(7.250)	$\epsilon_0$ permittivity of free space
	$\simeq 69(T_e/n_e)^{1/2} \text{ m}$	(7.251)	$k_B$ Boltzmann constant
Debye screening <sup>a</sup>	$\phi(r) = \frac{q \exp(-2^{1/2} r / \lambda_{\text{De}})}{4\pi\epsilon_0 r}$	(7.252)	$T_e$ electron temperature (K)
Debye number	$N_{\text{De}} = \frac{4}{3}\pi n_e \lambda_{\text{De}}^3$	(7.253)	$\lambda_{\text{De}}$ electron Debye length
Relaxation times ( $B=0$ ) <sup>b</sup>	$\tau_e = 3.44 \times 10^5 \frac{T_e^{3/2}}{n_e \ln \Lambda} \text{ s}$	(7.254)	$n_e$ electron number density ( $\text{m}^{-3}$ )
	$\tau_i = 2.09 \times 10^7 \frac{T_i^{3/2}}{n_e \ln \Lambda} \left( \frac{m_i}{m_p} \right)^{1/2} \text{ s}$	(7.255)	$\phi$ effective potential
Characteristic electron thermal speed <sup>c</sup>	$v_{te} = \left( \frac{2k_B T_e}{m_e} \right)^{1/2}$	(7.256)	$q$ point charge
	$\simeq 5.51 \times 10^3 T_e^{1/2} \text{ ms}^{-1}$	(7.257)	$r$ distance from $q$

<sup>a</sup>Effective (Yukawa) potential from a point charge  $q$  immersed in a plasma.

<sup>b</sup>Collision times for electrons and singly ionised ions with Maxwellian speed distributions,  $T_i \lesssim T_e$ . The Spitzer conductivity can be calculated from Equation (7.233).

<sup>c</sup>Defined so that the Maxwellian velocity distribution  $\propto \exp(-v^2/v_{te}^2)$ . There are other definitions (see Maxwell-Boltzmann distribution on page 112).

## Electromagnetic propagation in cold plasmas<sup>a</sup>

Plasma frequency	$(2\pi v_p)^2 = \frac{n_e e^2}{\epsilon_0 m_e} = \omega_p^2$	(7.258)	$v_p$ plasma frequency
	$v_p \approx 8.98 n_e^{1/2}$ Hz	(7.259)	$\omega_p$ plasma angular frequency
Plasma refractive index ( $B=0$ )	$\eta = [1 - (v_p/v)^2]^{1/2}$	(7.260)	$n_e$ electron number density ( $m^{-3}$ )
Plasma dispersion relation ( $B=0$ )	$c^2 k^2 = \omega^2 - \omega_p^2$	(7.261)	$m_e$ electron mass
Plasma phase velocity ( $B=0$ )	$v_\phi = c/\eta$	(7.262)	$-e$ electronic charge
Plasma group velocity ( $B=0$ )	$v_g = c\eta$	(7.263)	$\epsilon_0$ permittivity of free space
	$v_\phi v_g = c^2$	(7.264)	$\eta$ refractive index
Cyclotron (Larmor, or gyro-) frequency	$2\pi v_C = \frac{qB}{m} = \omega_C$	(7.265)	$v$ frequency
	$v_{Ce} \approx 28 \times 10^9 B$ Hz	(7.266)	$k$ wavenumber ( $= 2\pi/\lambda$ )
	$v_{Cp} \approx 15.2 \times 10^6 B$ Hz	(7.267)	$\omega$ angular frequency ( $= 2\pi/\tau$ )
Larmor (cyclotron, or gyro-) radius	$r_L = \frac{v_\perp}{\omega_C} = v_\perp \frac{m}{qB}$	(7.268)	$c$ speed of light
	$r_{Le} = 5.69 \times 10^{-12} \left( \frac{v_\perp}{B} \right) m$	(7.269)	$v_\phi$ phase velocity
	$r_{Lp} = 10.4 \times 10^{-9} \left( \frac{v_\perp}{B} \right) m$	(7.270)	$v_g$ group velocity
Mixed propagation modes <sup>b</sup>			$v_C$ cyclotron frequency
	$\eta^2 = 1 - \frac{X(1-X)}{(1-X) - \frac{1}{2}Y^2 \sin^2 \theta_B \pm S},$	(7.271)	$\omega_C$ cyclotron angular frequency
where	$X = (\omega_p/\omega)^2$ ,		$v_{Ce}$ electron $v_C$
and	$S^2 = \frac{1}{4} Y^4 \sin^4 \theta_B + Y^2 (1-X)^2 \cos^2 \theta_B$		$v_{Cp}$ proton $v_C$
Faraday rotation <sup>c</sup>	$\Delta\psi = \underbrace{\frac{\mu_0 e^3}{8\pi^2 m_e^2 c}}_{2.63 \times 10^{-13}} \lambda^2 \int n_e \mathbf{B} \cdot d\mathbf{l}$	(7.272)	$q$ particle charge
	$= R \lambda^2$	(7.273)	$B$ magnetic flux density (T)
			$m$ particle mass ( $\gamma m$ if relativistic)
			$r_L$ Larmor radius
			$r_{Le}$ electron $r_L$
			$r_{Lp}$ proton $r_L$
			$v_\perp$ speed $\perp$ to $\mathbf{B}$ ( $m s^{-1}$ )
			$\theta_B$ angle between wavefront normal ( $\hat{k}$ ) and $\mathbf{B}$
			$\Delta\psi$ rotation angle
			$\lambda$ wavelength ( $= 2\pi/k$ )
			$d\mathbf{l}$ line element in direction of wave propagation
			$R$ rotation measure

<sup>a</sup>I.e., plasmas in which electromagnetic force terms dominate over thermal pressure terms. Also taking  $\mu_r = 1$ .

<sup>b</sup>In a collisionless electron plasma. The ordinary and extraordinary modes are the + and - roots of  $S^2$  when  $\theta_B = \pi/2$ . When  $\theta_B = 0$ , these roots are the right and left circularly polarised modes respectively, using the optical convention for handedness.

<sup>c</sup>In a tenuous plasma, SI units throughout.  $\Delta\psi$  is taken positive if  $\mathbf{B}$  is directed towards the observer.

## Magnetohydrodynamics<sup>a</sup>

Sound speed	$v_s = \left( \frac{\gamma p}{\rho} \right)^{1/2} = \left( \frac{2\gamma k_B T}{m_p} \right)^{1/2}$	(7.274)	$v_s$ sound (wave) speed
	$\simeq 166 T^{1/2} \text{ ms}^{-1}$	(7.275)	$\gamma$ ratio of heat capacities
Alfvén speed	$v_A = \frac{B}{(\mu_0 \rho)^{1/2}}$	(7.276)	$p$ hydrostatic pressure
	$\simeq 2.18 \times 10^{16} B n_e^{-1/2} \text{ ms}^{-1}$	(7.277)	$\rho$ plasma mass density
Plasma beta	$\beta = \frac{2\mu_0 p}{B^2} = \frac{4\mu_0 n_e k_B T}{B^2} = \frac{2v_s^2}{\gamma v_A^2}$	(7.278)	$k_B$ Boltzmann constant
	$\sigma_d = \frac{n_e^2 e^2 \sigma}{n_e^2 e^2 + \sigma^2 B^2}$	(7.279)	$T$ temperature (K)
Hall electrical conductivity	$\sigma_H = \frac{\sigma B}{n_e e}$	(7.280)	$m_p$ proton mass
	$J = \sigma_d(E + v \times B) + \sigma_H \hat{B} \times (E + v \times B)$	(7.281)	$v_A$ Alfvén speed
Generalised Ohm's law	$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{v} \times \mathbf{B}) + \eta \nabla^2 \mathbf{B}$	(7.282)	$B$ magnetic flux density (T)
	$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{\nabla p}{\rho} + \frac{1}{\mu_0 \rho} (\nabla \times \mathbf{B}) \times \mathbf{B} + v \nabla^2 \mathbf{v}$ + $\frac{1}{3} v \nabla (\nabla \cdot \mathbf{v}) + \mathbf{g}$	(7.283)	$\mu_0$ permeability of free space
Shear Alfvénic dispersion relation <sup>c</sup>	$\omega = k v_A \cos \theta_B$	(7.284)	$\eta$ magnetic diffusivity [= $1/(\mu_0 \sigma)$ ]
	$\omega^2 k^2 (v_s^2 + v_A^2) - \omega^4 = v_s^2 v_A^2 k^4 \cos^2 \theta_B$	(7.285)	$v$ kinematic viscosity
Magnetosonic dispersion relation <sup>d</sup>	$\omega^2 k^2 (v_s^2 + v_A^2) - \omega^4 = v_s^2 v_A^2 k^4 \cos^2 \theta_B$	(7.285)	$\mathbf{g}$ gravitational field strength
			$\omega$ angular frequency ( $= 2\pi\nu$ )
			$\mathbf{k}$ wavevector ( $k = 2\pi/\lambda$ )
			$\theta_B$ angle between $\mathbf{k}$ and $\mathbf{B}$

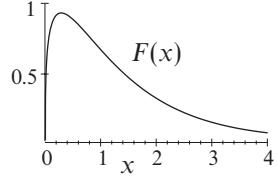
<sup>a</sup>For a warm, fully ionised, electrically neutral  $p^+/e^-$  plasma,  $\mu_r = 1$ . Relativistic and displacement current effects are assumed to be negligible and all oscillations are taken as being well below all resonance frequencies.

<sup>b</sup>Neglecting bulk (second) viscosity.

<sup>c</sup>Nonresistive, inviscid flow.

<sup>d</sup>Nonresistive, inviscid flow. The greater and lesser solutions for  $\omega^2$  are the fast and slow magnetosonic waves respectively.

## Synchrotron radiation

Power radiated by a single electron <sup>a</sup>	$P_{\text{tot}} = 2\sigma_T c u_{\text{mag}} \gamma^2 \left(\frac{v}{c}\right)^2 \sin^2 \theta$ (7.286)	$\simeq 1.59 \times 10^{-14} B^2 \gamma^2 \left(\frac{v}{c}\right)^2 \sin^2 \theta \text{ W}$ (7.287)	$P_{\text{tot}}$ total radiated power $\sigma_T$ Thomson cross section $u_{\text{mag}}$ magnetic energy density $= B^2 / (2\mu_0)$ $v$ electron velocity ( $\sim c$ ) $\gamma$ Lorentz factor $= [1 - (v/c)^2]^{-1/2}$ $\theta$ pitch angle (angle between $v$ and $B$ ) $B$ magnetic flux density $c$ speed of light $P(v)$ emission spectrum $v$ frequency $v_{\text{ch}}$ characteristic frequency $-e$ electronic charge $\epsilon_0$ free space permittivity $m_e$ electronic (rest) mass
... averaged over pitch angles	$P_{\text{tot}} = \frac{4}{3} \sigma_T c u_{\text{mag}} \gamma^2 \left(\frac{v}{c}\right)^2$ (7.288)	$\simeq 1.06 \times 10^{-14} B^2 \gamma^2 \left(\frac{v}{c}\right)^2 \text{ W}$ (7.289)	
Single electron emission spectrum <sup>b</sup>	$P(v) = \frac{3^{1/2} e^3 B \sin \theta}{4\pi \epsilon_0 c m_e} F(v/v_{\text{ch}})$ (7.290)	$\simeq 2.34 \times 10^{-25} B \sin \theta F(v/v_{\text{ch}}) \text{ W Hz}^{-1}$ (7.291)	
Characteristic frequency	$v_{\text{ch}} = \frac{3}{2} \gamma^2 \frac{eB}{2\pi m_e} \sin \theta$ (7.292)	$\simeq 4.2 \times 10^{10} \gamma^2 B \sin \theta \text{ Hz}$ (7.293)	
Spectral function	$F(x) = x \int_x^\infty K_{5/3}(y) dy$ (7.294)	$\simeq \begin{cases} 2.15x^{1/3} & (x \ll 1) \\ 1.25x^{1/2}e^{-x} & (x \gg 1) \end{cases}$ (7.295)	

<sup>a</sup>This expression also holds for cyclotron radiation ( $v \ll c$ ).

<sup>b</sup>I.e., total radiated power per unit frequency interval.

## Bremsstrahlung<sup>a</sup>

Single electron and ion<sup>b</sup>

$$\frac{dW}{d\omega} = \frac{Z^2 e^6}{24\pi^4 \epsilon_0^3 c^3 m_e^2} \frac{\omega^2}{\gamma^2 v^4} \left[ \frac{1}{\gamma^2} K_0^2 \left( \frac{\omega b}{\gamma v} \right) + K_1^2 \left( \frac{\omega b}{\gamma v} \right) \right] \quad (7.296)$$

$$\simeq \frac{Z^2 e^6}{24\pi^4 \epsilon_0^3 c^3 m_e^2 b^2 v^2} \quad (\omega b \ll \gamma v) \quad (7.297)$$

Thermal bremsstrahlung radiation ( $v \ll c$ ; Maxwellian distribution)

$$\frac{dP}{dV dv} = 6.8 \times 10^{-51} Z^2 T^{-1/2} n_i n_e g(v, T) \exp \left( \frac{-hv}{kT} \right) \text{ W m}^{-3} \text{ Hz}^{-1} \quad (7.298)$$

$$\text{where } g(v, T) \simeq \begin{cases} 0.28 [\ln(4.4 \times 10^{16} T^3 v^{-2} Z^{-2}) - 0.76] & (hv \ll kT \lesssim 10^5 kZ^2) \\ 0.55 \ln(2.1 \times 10^{10} T v^{-1}) & (hv \ll 10^5 kZ^2 \lesssim kT) \\ (2.1 \times 10^{10} T v^{-1})^{-1/2} & (hv \gg kT) \end{cases} \quad (7.299)$$

$$\frac{dP}{dV} \simeq 1.7 \times 10^{-40} Z^2 T^{1/2} n_i n_e \text{ W m}^{-3} \quad (7.300)$$

$\omega$	angular frequency ( $= 2\pi v$ )	$v$	electron velocity	$W$	energy radiated
$Ze$	ionic charge	$K_i$	modified Bessel functions of order $i$ (see page 47)	$T$	electron temperature (K)
$-e$	electronic charge	$\gamma$	Lorentz factor $= [1 - (v/c)^2]^{-1/2}$	$n_i$	ion number density ( $\text{m}^{-3}$ )
$\epsilon_0$	permittivity of free space	$P$	power radiated	$n_e$	electron number density ( $\text{m}^{-3}$ )
$c$	speed of light	$V$	volume	$k$	Boltzmann constant
$m_e$	electronic mass	$v$	frequency (Hz)	$h$	Planck constant
$b$	collision parameter <sup>c</sup>			$g$	Gaunt factor

<sup>a</sup>Classical treatment. The ions are at rest, and all frequencies are above the plasma frequency.

<sup>b</sup>The spectrum is approximately flat at low frequencies and drops exponentially at frequencies  $\gtrsim \gamma v/b$ .

<sup>c</sup>Distance of closest approach.

# Chapter 8 Optics

## 8.1 Introduction

Any attempt to unify the notations and terminology of optics is doomed to failure. This is partly due to the long and illustrious history of the subject (a pedigree shared only with mechanics), which has allowed a variety of approaches to develop, and partly due to the disparate fields of physics to which its basic principles have been applied. Optical ideas find their way into most wave-based branches of physics, from quantum mechanics to radio propagation.

Nowhere is the lack of convention more apparent than in the study of polarisation, and so a cautionary note follows. The conventions used here can be taken largely from context, but the reader should be aware that alternative sign and handedness conventions do exist and are widely used. In particular we will take a circularly polarised wave as being right-handed if, for an observer looking *towards* the source, the electric field vector in a plane perpendicular to the line of sight rotates clockwise. This convention is often used in optics textbooks and has the conceptual advantage that the electric field orientation describes a right-hand corkscrew in space, with the direction of energy flow defining the screw direction. It is however opposite to the system widely used in radio engineering, where the handedness of a helical antenna generating or receiving the wave defines the handedness and is also in the opposite sense to the wave's own angular momentum vector.

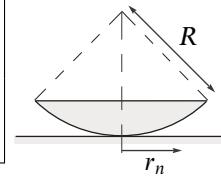
## 8.2 Interference

### Newton's rings<sup>a</sup>

$$\text{nth dark ring} \quad r_n^2 = nR\lambda_0 \quad (8.1)$$

$$\text{nth bright ring} \quad r_n^2 = \left(n + \frac{1}{2}\right) R\lambda_0 \quad (8.2)$$

$r_n$	radius of $n$ th ring
$n$	integer ( $\geq 0$ )
$R$	lens radius of curvature
$\lambda_0$	wavelength in external medium



<sup>a</sup>Viewed in reflection.

### Dielectric layers<sup>a</sup>

 <b>single layer</b> $\eta_2$	 <b>multilayer</b>	$a$ film thickness $m$ thickness integer ( $m \geq 0$ ) $\eta_2$ film refractive index $\lambda_0$ free-space wavelength $R$ power reflectance coefficient $\eta_1$ entry-side refractive index $\eta_3$ exit-side refractive index  $R_N$ multilayer reflectance $N$ number of layer pairs $\eta_a$ refractive index of top layer $\eta_b$ refractive index of bottom layer
<b>Quarter-wave condition</b> $a = \frac{m \lambda_0}{\eta_2 4}$	$(8.3)$	
<b>Single-layer reflectance<sup>b</sup></b> $R = \begin{cases} \left( \frac{\eta_1 \eta_3 - \eta_2^2}{\eta_1 \eta_3 + \eta_2^2} \right)^2 & (m \text{ odd}) \\ \left( \frac{\eta_1 - \eta_3}{\eta_1 + \eta_3} \right)^2 & (m \text{ even}) \end{cases}$	$(8.4)$	
<b>Dependence of <math>R</math> on layer thickness, <math>m</math></b>	$\max \text{ if } (-1)^m (\eta_1 - \eta_2)(\eta_2 - \eta_3) > 0 \quad (8.5)$ $\min \text{ if } (-1)^m (\eta_1 - \eta_2)(\eta_2 - \eta_3) < 0 \quad (8.6)$ $R = 0 \text{ if } \eta_2 = (\eta_1 \eta_3)^{1/2} \text{ and } m \text{ odd} \quad (8.7)$	
<b>Multilayer reflectance<sup>c</sup></b> $R_N = \left[ \frac{\eta_1 - \eta_3 (\eta_a / \eta_b)^{2N}}{\eta_1 + \eta_3 (\eta_a / \eta_b)^{2N}} \right]^2$	$(8.8)$	

<sup>a</sup>For normal incidence, assuming the quarter-wave condition. The media are also assumed lossless, with  $\mu_r = 1$ .

<sup>b</sup>See page 154 for the definition of  $R$ .

<sup>c</sup>For a stack of  $N$  layer pairs, giving an overall refractive index sequence  $\eta_1 \eta_a, \eta_b \eta_a \dots \eta_a \eta_b \eta_3$  (see right-hand diagram). Each layer in the stack meets the quarter-wave condition with  $m=1$ .

## Fabry-Perot etalon<sup>a</sup>

Incremental phase difference <sup>b</sup>	$\phi = 2k_0 h \eta' \cos \theta' \quad (8.9)$ $= 2k_0 h \eta' \left[ 1 - \left( \frac{\eta \sin \theta}{\eta'} \right)^2 \right]^{1/2} \quad (8.10)$ $= 2\pi n \quad \text{for a maximum} \quad (8.11)$	$\phi$ incremental phase difference $k_0$ free-space wavenumber ( $= 2\pi/\lambda_0$ ) $h$ cavity width $\theta$ fringe inclination (usually $\ll 1$ ) $\theta'$ internal angle of refraction $\eta'$ cavity refractive index $\eta$ external refractive index $n$ fringe order (integer)
Coefficient of finesse	$F = \frac{4R}{(1-R)^2} \quad (8.12)$	$F$ coefficient of finesse $R$ interface power reflectance
Finesse	$\mathcal{F} = \frac{\pi}{2} F^{1/2} \quad (8.13)$ $= \frac{\lambda_0}{\eta' h} Q \quad (8.14)$	$\mathcal{F}$ finesse $\lambda_0$ free-space wavelength $Q$ cavity quality factor
Transmitted intensity	$I(\theta) = \frac{I_0(1-R)^2}{1+R^2-2R\cos\phi} \quad (8.15)$ $= \frac{I_0}{1+F \sin^2(\phi/2)} \quad (8.16)$ $= I_0 A(\theta) \quad (8.17)$	$I$ transmitted intensity $I_0$ incident intensity $A$ Airy function
Fringe intensity profile	$\Delta\phi = 2\arcsin(F^{-1/2}) \quad (8.18)$ $\simeq 2F^{-1/2} \quad (8.19)$	$\Delta\phi$ phase difference at half intensity point
Chromatic resolving power	$\frac{\lambda_0}{\delta\lambda} \simeq \frac{R^{1/2}\pi n}{1-R} = n\mathcal{F} \quad (8.20)$ $\simeq \frac{2\mathcal{F}h\eta'}{\lambda_0} \quad (\theta \ll 1) \quad (8.21)$	$\delta\lambda$ minimum resolvable wavelength difference
Free spectral range <sup>c</sup>	$\delta\lambda_f = \mathcal{F} \delta\lambda \quad (8.22)$ $\delta\nu_f = \frac{c}{2\eta' h} \quad (8.23)$	$\delta\lambda_f$ wavelength free spectral range $\delta\nu_f$ frequency free spectral range

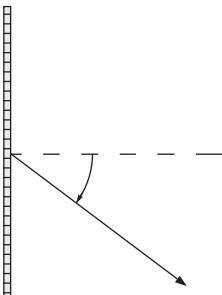
<sup>a</sup>Neglecting any effects due to surface coatings on the etalon. See also *Lasers* on page 174.

<sup>b</sup>Between adjacent rays. Highest order fringes are near the centre of the pattern.

<sup>c</sup>At near-normal incidence ( $\theta \approx 0$ ), the orders of two spectral components separated by  $< \delta\lambda_f$  will not overlap.

### 8.3 Fraunhofer diffraction

#### Gratings<sup>a</sup>

	
Young's double slits <sup>b</sup>	$I(s) = I_0 \cos^2 \frac{kDs}{2}$ (8.24)
$N$ equally spaced narrow slits	$I(s) = I_0 \left[ \frac{\sin(Nkds/2)}{N \sin(kds/2)} \right]^2$ (8.25)
Infinite grating	$I(s) = I_0 \sum_{n=-\infty}^{\infty} \delta \left( s - \frac{n\lambda}{d} \right)$ (8.26)
Normal incidence	$\sin \theta_n = \frac{n\lambda}{d}$ (8.27)
Oblique incidence	$\sin \theta_n + \sin \theta_i = \frac{n\lambda}{d}$ (8.28)
Reflection grating	$\sin \theta_n - \sin \theta_i = \frac{n\lambda}{d}$ (8.29)
Chromatic resolving power	$\frac{\lambda}{\delta \lambda} = N n$ (8.30)
Grating dispersion	$\frac{\partial \theta}{\partial \lambda} = \frac{n}{d \cos \theta}$ (8.31)
Bragg's law <sup>c</sup>	$2a \sin \theta_n = n\lambda$ (8.32)

$I(s)$  diffracted intensity

$I_0$  peak intensity

$\theta$  diffraction angle

$s = \sin \theta$

$D$  slit separation

$\lambda$  wavelength

$N$  number of slits

$k$  wavenumber  
 $(=2\pi/\lambda)$

$d$  slit spacing

$n$  diffraction order

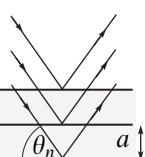
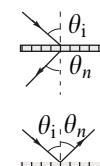
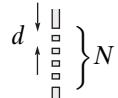
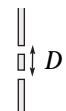
$\delta$  Dirac delta function

$\theta_n$  angle of diffracted maximum

$\theta_i$  angle of incident illumination

$\delta \lambda$  diffraction peak width

$a$  atomic plane spacing

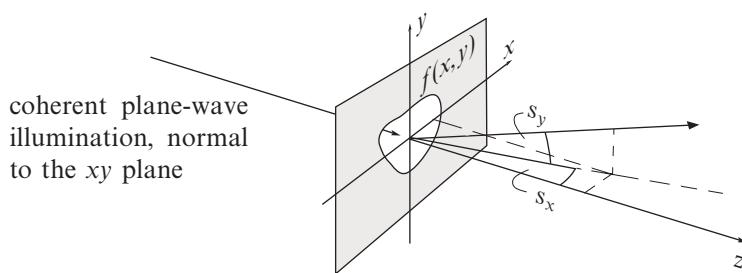


<sup>a</sup>Unless stated otherwise, the illumination is normal to the grating.

<sup>b</sup>Two narrow slits separated by  $D$ .

<sup>c</sup>The condition is for Bragg reflection, with  $\theta_n = \theta_i$ .

## Aperture diffraction



General 1-D aperture<sup>a</sup>

$$\psi(s) \propto \int_{-\infty}^{\infty} f(x) e^{-iksx} dx \quad (8.33)$$

$$I(s) \propto \psi\psi^*(s) \quad (8.34)$$

General 2-D aperture in  $(x, y)$  plane (small angles)

$$\psi(s_x, s_y) \propto \iint_{-\infty}^{\infty} f(x, y) e^{-ik(s_x x + s_y y)} dx dy \quad (8.35)$$

Broad 1-D slit<sup>b</sup>

$$I(s) = I_0 \frac{\sin^2(kas/2)}{(kas/2)^2} \quad (8.36)$$

$$\equiv I_0 \text{sinc}^2(as/\lambda) \quad (8.37)$$

Sidelobe intensity

$$\frac{I_n}{I_0} = \left(\frac{2}{\pi}\right)^2 \frac{1}{(2n+1)^2} \quad (n > 0) \quad (8.38)$$

Rectangular aperture (small angles)

$$I(s_x, s_y) = I_0 \text{sinc}^2 \frac{as_x}{\lambda} \text{sinc}^2 \frac{bs_y}{\lambda} \quad (8.39)$$

Circular aperture<sup>c</sup>

$$I(s) = I_0 \left[ \frac{2J_1(kDs/2)}{kDs/2} \right]^2 \quad (8.40)$$

First minimum<sup>d</sup>

$$s = 1.22 \frac{\lambda}{D} \quad (8.41)$$

First subsid. maximum

$$s = 1.64 \frac{\lambda}{D} \quad (8.42)$$

Weak 1-D phase object

$$f(x) = \exp[i\phi(x)] \simeq 1 + i\phi(x) \quad (8.43)$$

Fraunhofer limit<sup>e</sup>

$$L \gg \frac{(\Delta x)^2}{\lambda} \quad (8.44)$$

$\psi$  diffracted wavefunction

$I$  diffracted intensity

$\theta$  diffraction angle

$s = \sin \theta$

$f$  aperture amplitude transmission function

$x, y$  distance across aperture

$k$  wavenumber ( $= 2\pi/\lambda$ )

$s_x$  deflection  $\parallel$  xz plane

$s_y$  deflection  $\perp$  xz plane

$I_0$  peak intensity

$a$  slit width (in  $x$ )

$\lambda$  wavelength

$I_n$  nth sidelobe intensity

$a$  aperture width in  $x$

$b$  aperture width in  $y$

$J_1$  first-order Bessel function

$D$  aperture diameter

$\lambda$  wavelength

$\phi(x)$  phase distribution

$i$   $i^2 = -1$

$L$  distance of aperture from observation point

$\Delta x$  aperture size

<sup>a</sup>The Fraunhofer integral.

<sup>b</sup>Note that  $\text{sinc}x = (\sin \pi x)/(\pi x)$ .

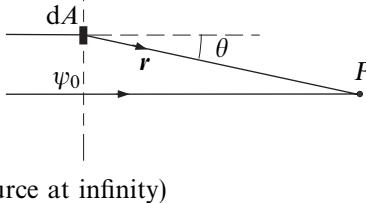
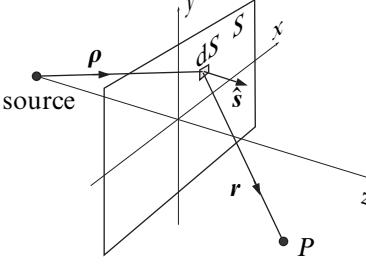
<sup>c</sup>The central maximum is known as the “Airy disk.”

<sup>d</sup>The “Rayleigh resolution criterion” states that two point sources of equal intensity can just be resolved with diffraction-limited optics if separated in angle by  $1.22\lambda/D$ .

<sup>e</sup>Plane-wave illumination.

## 8.4 Fresnel diffraction

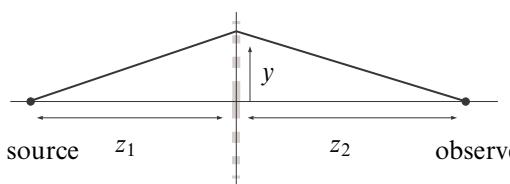
### Kirchhoff's diffraction formula<sup>a</sup>

 (source at infinity)		<p><math>\psi_P</math> complex amplitude at <math>P</math>  <math>\lambda</math> wavelength  <math>k</math> wavenumber (<math>= 2\pi/\lambda</math>)  <math>\psi_0</math> incident amplitude  <math>\theta</math> obliquity angle  <math>r</math> distance of <math>dA</math> from <math>P</math> (<math>\gg \lambda</math>)  <math>dA</math> area element on incident wavefront  <math>K</math> obliquity factor  <math>dS</math> element of closed surface  <math>\hat{s}</math> unit vector  <math>s</math> vector normal to <math>dS</math>  <math>r</math> vector from <math>P</math> to <math>dS</math>  <math>\rho</math> vector from source to <math>dS</math>  <math>E_0</math> amplitude (see footnote)</p>
Source at infinity $\psi_P = -\frac{i}{\lambda} \psi_0 \int K(\theta) \frac{e^{ikr}}{r} dA \quad (8.45)$ <p>where:</p>	$K(\theta) = \frac{1}{2}(1 + \cos\theta) \quad (8.46)$	
Source at finite distance <sup>b</sup> $\psi_P = -\frac{iE_0}{\lambda} \oint \frac{e^{ik(\rho+r)}}{2\rho r} [\cos(\hat{s} \cdot \hat{r}) - \cos(\hat{s} \cdot \hat{\rho})] dS \quad (8.47)$		

<sup>a</sup>Also known as the “Fresnel–Kirchhoff formula.” Diffraction by an obstacle coincident with the integration surface can be approximated by omitting that part of the surface from the integral.

<sup>b</sup>The source amplitude at  $\rho$  is  $\psi(\rho) = E_0 e^{ik\rho}/\rho$ . The integral is taken over a surface enclosing the point  $P$ .

### Fresnel zones

	<p><math>z</math> effective distance  <math>z_1</math> source-aperture distance  <math>z_2</math> aperture-observer distance  <math>n</math> half-period zone number  <math>\lambda</math> wavelength  <math>y_n</math> <math>n</math>th half-period zone radius  <math>z_m</math> distance of <math>m</math>th zero from aperture  <math>R</math> aperture radius</p>
Effective aperture distance <sup>a</sup> $\frac{1}{z} = \frac{1}{z_1} + \frac{1}{z_2} \quad (8.48)$	
Half-period zone radius $y_n = (n\lambda z)^{1/2} \quad (8.49)$	
Axial zeros (circular aperture) $z_m = \frac{R^2}{2m\lambda} \quad (8.50)$	

<sup>a</sup>I.e., the aperture–observer distance to be employed when the source is not at infinity.

## Cornu spiral

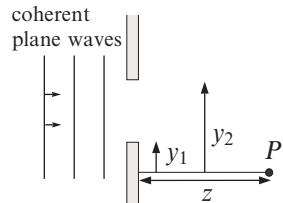
Fresnel integrals <sup>a</sup>	$C(w) = \int_0^w \cos \frac{\pi t^2}{2} dt \quad (8.51)$
	$S(w) = \int_0^w \sin \frac{\pi t^2}{2} dt \quad (8.52)$
Cornu spiral	$CS(w) = C(w) + iS(w) \quad (8.53)$
	$CS(\pm\infty) = \pm \frac{1}{2}(1+i) \quad (8.54)$
Edge diffraction	$\psi_P = \frac{\psi_0}{2^{1/2}} [CS(w) + \frac{1}{2}(1+i)] \quad (8.55)$
	where $w = y \left( \frac{2}{\lambda z} \right)^{1/2} \quad (8.56)$
Diffraction from a long slit <sup>b</sup>	$\psi_P = \frac{\psi_0}{2^{1/2}} [CS(w_2) - CS(w_1)] \quad (8.57)$
	where $w_i = y_i \left( \frac{2}{\lambda z} \right)^{1/2} \quad (8.58)$
Diffraction from a rectangular aperture	$\psi_P = \frac{\psi_0}{2} [CS(v_2) - CS(v_1)] \times [CS(w_2) - CS(w_1)] \quad (8.59)$
	where $v_i = x_i \left( \frac{2}{\lambda z} \right)^{1/2}$ and $w_i = y_i \left( \frac{2}{\lambda z} \right)^{1/2} \quad (8.60)$
	$\quad (8.61)$
	$\quad (8.62)$

<sup>a</sup>See also Equation (2.393) on page 45.<sup>b</sup>Slit long in x.

C Fresnel cosine integral  
S Fresnel sine integral

CS Cornu spiral  
 $v, w$  length along spiral

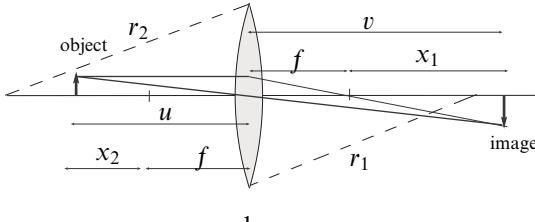
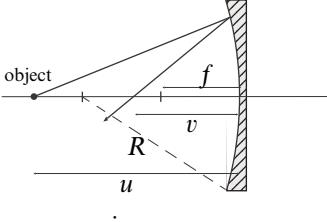
$\psi_P$  complex amplitude at P  
 $\psi_0$  unobstructed amplitude  
 $\lambda$  wavelength  
 $z$  distance of P from aperture plane [see (8.48)]  
 $y$  position of edge



$x_i$  positions of slit sides  
 $y_i$  positions of slit top/bottom

## 8.5 Geometrical optics

### Lenses and mirrors<sup>a</sup>

 lens	 mirror
<b>sign convention</b>	
+	-
<i>r</i>	centred to right
<i>u</i>	real object
<i>v</i>	real image
<i>f</i>	converging lens/ concave mirror
<i>M<sub>T</sub></i>	erect image
<i>M<sub>T</sub></i> inverted image	
<b>Fermat's principle<sup>b</sup></b> $L = \int \eta dl$ is stationary      (8.63)	
<b>Gauss's lens formula</b> $\frac{1}{u} + \frac{1}{v} = \frac{1}{f}$ (8.64)	
<b>Newton's lens formula</b> $x_1 x_2 = f^2$ (8.65)	
<b>Lensmaker's formula</b> $\frac{1}{u} + \frac{1}{v} = (\eta - 1) \left( \frac{1}{r_1} - \frac{1}{r_2} \right)$ (8.66)	
<b>Mirror formula<sup>c</sup></b> $\frac{1}{u} + \frac{1}{v} = -\frac{2}{R} = \frac{1}{f}$ (8.67)	
<b>Dioptre number</b> $D = \frac{1}{f}$ m <sup>-1</sup> (8.68)	
<b>Focal ratio<sup>d</sup></b> $n = \frac{f}{d}$ (8.69)	
<b>Transverse linear magnification</b> $M_T = -\frac{v}{u}$ (8.70)	
<b>Longitudinal linear magnification</b> $M_L = -M_T^2$ (8.71)	
L optical path length $\eta$ refractive index $dl$ ray path element $u$ object distance $v$ image distance $f$ focal length $x_1 = v - f$ $x_2 = u - f$	
$r_i$ radii of curvature of lens surfaces $R$ mirror radius of curvature $D$ dioptre number ( $f$ in metres) $n$ focal ratio $d$ lens or mirror diameter	
$M_T$ transverse magnification $M_L$ longitudinal magnification	

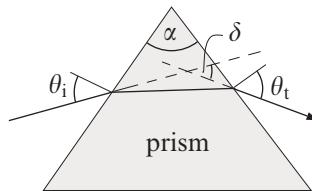
<sup>a</sup>Formulas assume “Gaussian optics,” i.e., all lenses are thin and all angles small. Light enters from the left.

<sup>b</sup>A stationary optical path length has, to first order, a length identical to that of adjacent paths.

<sup>c</sup>The mirror is concave if  $R < 0$ , convex if  $R > 0$ .

<sup>d</sup>Or “f-number,” written  $f/2$  if  $n=2$  etc.

## Prisms (dispersing)



$$\begin{array}{ll} \text{Transmission} & \sin \theta_t = (\eta^2 - \sin^2 \theta_i)^{1/2} \sin \alpha \\ \text{angle} & -\sin \theta_i \cos \alpha \end{array} \quad (8.72)$$

$\theta_i$  angle of incidence

$\theta_t$  angle of transmission

$\alpha$  apex angle

$\eta$  refractive index

$\delta$  angle of deviation

$$\text{Deviation} \quad \delta = \theta_i + \theta_t - \alpha \quad (8.73)$$

$$\begin{array}{ll} \text{Minimum} & \sin \theta_i = \sin \theta_t = \eta \sin \frac{\alpha}{2} \\ \text{deviation} & \text{condition} \end{array} \quad (8.74)$$

$\delta_m$  minimum deviation

$$\text{Refractive} \quad \eta = \frac{\sin[(\delta_m + \alpha)/2]}{\sin(\alpha/2)} \quad (8.75)$$

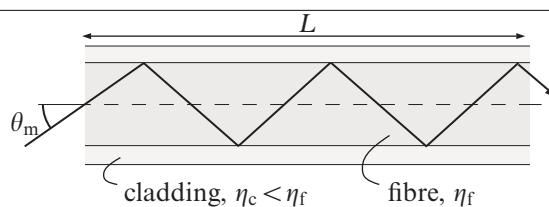
$D$  dispersion

$\lambda$  wavelength

$$\begin{array}{ll} \text{Angular} & D = \frac{d\delta}{d\lambda} = \frac{2 \sin(\alpha/2)}{\cos[(\delta_m + \alpha)/2]} \frac{d\eta}{d\lambda} \\ \text{dispersion}^a & \end{array} \quad (8.76)$$

<sup>a</sup>At minimum deviation.

## Optical fibres



$$\text{Acceptance angle} \quad \sin \theta_m = \frac{1}{\eta_0} (\eta_f^2 - \eta_c^2)^{1/2} \quad (8.77)$$

$\theta_m$  maximum angle of incidence

$\eta_0$  exterior refractive index

$\eta_f$  fibre refractive index

$\eta_c$  cladding refractive index

$$\text{Numerical} \quad N = \eta_0 \sin \theta_m \quad (8.78)$$

$N$  numerical aperture

$$\text{Multimode} \quad \frac{\Delta t}{L} = \frac{\eta_f}{c} \left( \frac{\eta_f}{\eta_c} - 1 \right) \quad (8.79)$$

$\Delta t$  temporal dispersion

$L$  fibre length

$c$  speed of light

<sup>a</sup>Of a pulse with a given wavelength, caused by the range of incident angles up to  $\theta_m$ . Sometimes called "intermodal dispersion" or "modal dispersion."

## 8.6 Polarisation

### Elliptical polarisation<sup>a</sup>

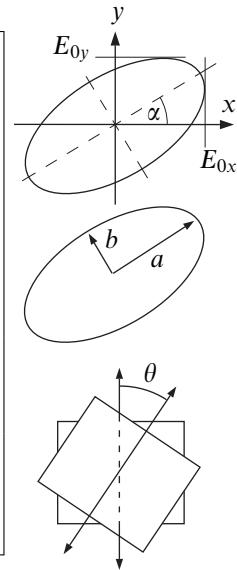
Elliptical polarisation	$\mathbf{E} = (E_{0x}, E_{0y} e^{i\delta}) e^{i(kz - \omega t)}$	$E$ electric field $k$ wavevector $z$ propagation axis $\omega t$ angular frequency $\times$ time $E_{0x}$ x amplitude of $\mathbf{E}$ $E_{0y}$ y amplitude of $\mathbf{E}$ $\delta$ relative phase of $E_y$ with respect to $E_x$ $\alpha$ polarisation angle $e$ ellipticity $a$ semi-major axis $b$ semi-minor axis $I(\theta)$ transmitted intensity $I_0$ incident intensity $\theta$ polariser-analyser angle
Polarisation angle <sup>b</sup>	$\tan 2\alpha = \frac{2E_{0x}E_{0y}}{E_{0x}^2 - E_{0y}^2} \cos \delta$	
Ellipticity <sup>c</sup>	$e = \frac{a-b}{a}$	
Malus's law <sup>d</sup>	$I(\theta) = I_0 \cos^2 \theta$	

<sup>a</sup>See the introduction (page 161) for a discussion of sign and handedness conventions.

<sup>b</sup>Angle between ellipse major axis and x axis. Sometimes the polarisation angle is defined as  $\pi/2 - \alpha$ .

<sup>c</sup>This is one of several definitions for ellipticity.

<sup>d</sup>Transmission through skewed polarisers for unpolarised incident light.



### Jones vectors and matrices

Normalised electric field <sup>a</sup>	$\mathbf{E} = \begin{pmatrix} E_x \\ E_y \end{pmatrix}; \quad  \mathbf{E}  = 1$	$E$ electric field $E_x$ x component of $\mathbf{E}$ $E_y$ y component of $\mathbf{E}$
Example vectors: vectors:	$E_x = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad E_{45} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ $E_r = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix} \quad E_l = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}$	$E_{45}$ 45° to x axis $E_r$ right-hand circular $E_l$ left-hand circular
Jones matrix	$\mathbf{E}_t = \mathbf{A}\mathbf{E}_i$	$\mathbf{E}_t$ transmitted vector $\mathbf{E}_i$ incident vector $\mathbf{A}$ Jones matrix

Example matrices:

Linear polariser $\parallel x$	$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$	Linear polariser $\parallel y$	$\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$
Linear polariser at 45°	$\frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$	Linear polariser at -45°	$\frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$
Right circular polariser	$\frac{1}{2} \begin{pmatrix} 1 & i \\ -i & 1 \end{pmatrix}$	Left circular polariser	$\frac{1}{2} \begin{pmatrix} 1 & -i \\ i & 1 \end{pmatrix}$
$\lambda/4$ plate (fast $\parallel x$ )	$e^{i\pi/4} \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}$	$\lambda/4$ plate (fast $\perp x$ )	$e^{i\pi/4} \begin{pmatrix} 1 & 0 \\ 0 & -i \end{pmatrix}$

<sup>a</sup>Known as the “normalised Jones vector.”

## Stokes parameters<sup>a</sup>

Electric fields	$E_x = E_{0x} e^{i(kz - \omega t)}$ (8.86)																																								
	$E_y = E_{0y} e^{i(kz - \omega t + \delta)}$ (8.87)																																								
Axial ratio <sup>b</sup>	$\tan \chi = \pm r = \pm \frac{b}{a}$ (8.88)																																								
Stokes parameters	$I = \langle E_x^2 \rangle + \langle E_y^2 \rangle$ (8.89) $Q = \langle E_x^2 \rangle - \langle E_y^2 \rangle$ (8.90) $= pI \cos 2\chi \cos 2\alpha$ (8.91) $U = 2\langle E_x E_y \rangle \cos \delta$ (8.92) $= pI \cos 2\chi \sin 2\alpha$ (8.93) $V = 2\langle E_x E_y \rangle \sin \delta$ (8.94) $= pI \sin 2\chi$ (8.95)																																								
Degree of polarisation	$p = \frac{(Q^2 + U^2 + V^2)^{1/2}}{I} \leq 1$ (8.96)																																								
	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;"><math>Q/I</math></th> <th style="text-align: center;"><math>U/I</math></th> <th style="text-align: center;"><math>V/I</math></th> <th></th> <th style="text-align: center;"><math>Q/I</math></th> <th style="text-align: center;"><math>U/I</math></th> <th style="text-align: center;"><math>V/I</math></th> </tr> </thead> <tbody> <tr> <td>left circular</td> <td style="text-align: center;">0</td> <td style="text-align: center;">0</td> <td style="text-align: center;">-1</td> <td>right circular</td> <td style="text-align: center;">0</td> <td style="text-align: center;">0</td> <td style="text-align: center;">1</td> </tr> <tr> <td>linear <math>\parallel x</math></td> <td style="text-align: center;">1</td> <td style="text-align: center;">0</td> <td style="text-align: center;">0</td> <td>linear <math>\parallel y</math></td> <td style="text-align: center;">-1</td> <td style="text-align: center;">0</td> <td style="text-align: center;">0</td> </tr> <tr> <td>linear <math>45^\circ</math> to <math>x</math></td> <td style="text-align: center;">0</td> <td style="text-align: center;">1</td> <td style="text-align: center;">0</td> <td>linear <math>-45^\circ</math> to <math>x</math></td> <td style="text-align: center;">0</td> <td style="text-align: center;">-1</td> <td style="text-align: center;">0</td> </tr> <tr> <td>unpolarised</td> <td style="text-align: center;">0</td> <td style="text-align: center;">0</td> <td style="text-align: center;">0</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		$Q/I$	$U/I$	$V/I$		$Q/I$	$U/I$	$V/I$	left circular	0	0	-1	right circular	0	0	1	linear $\parallel x$	1	0	0	linear $\parallel y$	-1	0	0	linear $45^\circ$ to $x$	0	1	0	linear $-45^\circ$ to $x$	0	-1	0	unpolarised	0	0	0				
	$Q/I$	$U/I$	$V/I$		$Q/I$	$U/I$	$V/I$																																		
left circular	0	0	-1	right circular	0	0	1																																		
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unpolarised	0	0	0																																						

<sup>a</sup>Using the convention that right-handed circular polarisation corresponds to a clockwise rotation of the electric field in a given plane when looking towards the source. The propagation direction in the diagram is out of the plane. The parameters  $I$ ,  $Q$ ,  $U$ , and  $V$  are sometimes denoted  $s_0$ ,  $s_1$ ,  $s_2$ , and  $s_3$ , and other nomenclatures exist. There is no generally accepted definition – often the parameters are scaled to be dimensionless, with  $s_0=1$ , or to represent power flux through a plane  $\perp$  the beam, i.e.,  $I=(\langle E_x^2 \rangle + \langle E_y^2 \rangle)/Z_0$  etc., where  $Z_0$  is the impedance of free space.

<sup>b</sup>The axial ratio is positive for right-handed polarisation and negative for left-handed polarisation using our definitions.

## 8.7 Coherence (scalar theory)

Mutual coherence function	$\Gamma_{12}(\tau) = \langle \psi_1(t)\psi_2^*(t+\tau) \rangle$	(8.97)	$\Gamma_{ij}$ mutual coherence function $\tau$ temporal interval $\psi_i$ (complex) wave disturbance at spatial point $i$
Complex degree of coherence	$\gamma_{12}(\tau) = \frac{\langle \psi_1(t)\psi_2^*(t+\tau) \rangle}{[\langle  \psi_1 ^2 \rangle \langle  \psi_2 ^2 \rangle]^{1/2}}$	(8.98)	$t$ time $\langle \cdot \rangle$ mean over time $\gamma_{ij}$ complex degree of coherence $*$ complex conjugate
	$= \frac{\Gamma_{12}(\tau)}{[\Gamma_{11}(0)\Gamma_{22}(0)]^{1/2}}$	(8.99)	
Combined intensity <sup>a</sup>	$I_{\text{tot}} = I_1 + I_2 + 2(I_1 I_2)^{1/2} \Re[\gamma_{12}(\tau)]$	(8.100)	$I_{\text{tot}}$ combined intensity $I_i$ intensity of disturbance at point $i$ $\Re$ real part of
Fringe visibility	$V(\tau) = \frac{2(I_1 I_2)^{1/2}}{I_1 + I_2}  \gamma_{12}(\tau) $	(8.101)	
if $ \gamma_{12}(\tau) $ is a constant:	$V = \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}}$	(8.102)	$I_{\max}$ max. combined intensity $I_{\min}$ min. combined intensity
if $I_1 = I_2$ :	$V(\tau) =  \gamma_{12}(\tau) $	(8.103)	
Complex degree of temporal coherence <sup>b</sup>	$\gamma(\tau) = \frac{\langle \psi_1(t)\psi_1^*(t+\tau) \rangle}{\langle  \psi_1(t) ^2 \rangle}$	(8.104)	$\gamma(\tau)$ degree of temporal coherence $I(\omega)$ specific intensity $\omega$ radiation angular frequency $c$ speed of light
	$= \frac{\int I(\omega) e^{-i\omega\tau} d\omega}{\int I(\omega) d\omega}$	(8.105)	
Coherence time and length	$\Delta\tau_c = \frac{\Delta l_c}{c} \sim \frac{1}{\Delta v}$	(8.106)	$\Delta\tau_c$ coherence time $\Delta l_c$ coherence length $\Delta v$ spectral bandwidth
Complex degree of spatial coherence <sup>c</sup>	$\gamma(D) = \frac{\langle \psi_1 \psi_2^* \rangle}{[\langle  \psi_1 ^2 \rangle \langle  \psi_2 ^2 \rangle]^{1/2}}$	(8.107)	$\gamma(D)$ degree of spatial coherence $D$ spatial separation of points 1 and 2
	$= \frac{\int I(\hat{s}) e^{ikD\hat{s}} d\Omega}{\int I(\hat{s}) d\Omega}$	(8.108)	$I(\hat{s})$ specific intensity of distant extended source in direction $\hat{s}$ $d\Omega$ differential solid angle $\hat{s}$ unit vector in the direction of $d\Omega$ $k$ wavenumber
Intensity correlation <sup>d</sup>	$\frac{\langle I_1 I_2 \rangle}{[\langle I_1 \rangle^2 \langle I_2 \rangle^2]^{1/2}} = 1 + \gamma^2(D)$	(8.109)	pr probability density
Speckle intensity distribution <sup>e</sup>	$\text{pr}(I) = \frac{1}{\langle I \rangle} e^{-I/\langle I \rangle}$	(8.110)	
Speckle size (coherence width)	$\Delta w_c \simeq \frac{\lambda}{\alpha}$	(8.111)	$\Delta w_c$ characteristic speckle size $\lambda$ wavelength $\alpha$ source angular size as seen from the screen

<sup>a</sup>From interfering the disturbances at points 1 and 2 with a relative delay  $\tau$ .

<sup>b</sup>Or “autocorrelation function.”

<sup>c</sup>Between two points on a wavefront, separated by  $D$ . The integral is over the entire extended source.

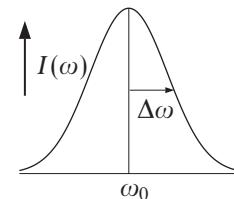
<sup>d</sup>For wave disturbances that have a Gaussian probability distribution in amplitude. This is “Gaussian light” such as from a thermal source.

<sup>e</sup>Also for Gaussian light.

## 8.8 Line radiation

### Spectral line broadening

Natural broadening <sup>a</sup>	$I(\omega) = \frac{(2\pi\tau)^{-1}}{(2\tau)^{-2} + (\omega - \omega_0)^2}$	(8.112)	$I(\omega)$ normalised intensity <sup>b</sup> $\tau$ lifetime of excited state $\omega$ angular frequency ( $= 2\pi\nu$ )
Natural half-width	$\Delta\omega = \frac{1}{2\tau}$	(8.113)	$\Delta\omega$ half-width at half-power $\omega_0$ centre frequency
Collision broadening	$I(\omega) = \frac{(\pi\tau_c)^{-1}}{(\tau_c)^{-2} + (\omega - \omega_0)^2}$	(8.114)	$\tau_c$ mean time between collisions $p$ pressure $d$ effective atomic diameter $m$ gas particle mass $k$ Boltzmann constant $T$ temperature $c$ speed of light
Collision and pressure half-width <sup>c</sup>	$\Delta\omega = \frac{1}{\tau_c} = p\pi d^2 \left( \frac{\pi m k T}{16} \right)^{-1/2}$	(8.115)	
Doppler broadening	$I(\omega) = \left( \frac{mc^2}{2kT\omega_0^2\pi} \right)^{1/2} \exp \left[ -\frac{mc^2}{2kT} \frac{(\omega - \omega_0)^2}{\omega_0^2} \right]$	(8.116)	
Doppler half-width	$\Delta\omega = \omega_0 \left( \frac{2kT \ln 2}{mc^2} \right)^{1/2}$	(8.117)	



<sup>a</sup>The transition probability per unit time for the state is  $= 1/\tau$ . In the classical limit of a damped oscillator, the e-folding time of the electric field is  $2\tau$ . Both the natural and collision profiles described here are Lorentzian.

<sup>b</sup>The intensity spectra are normalised so that  $\int I(\omega) d\omega = 1$ , assuming  $\Delta\omega/\omega_0 \ll 1$ .

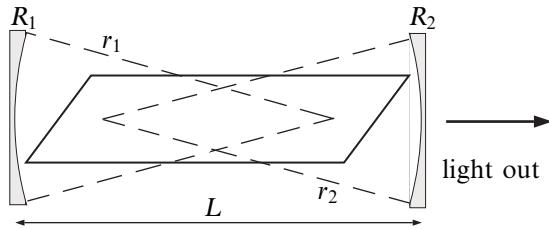
<sup>c</sup>The pressure-broadening relation combines Equations (5.78), (5.86) and (5.89) and assumes an otherwise perfect gas of finite-sized atoms. More accurate expressions are considerably more complicated.

### Einstein coefficients<sup>a</sup>

Absorption	$R_{12} = B_{12}I_v n_1$	(8.118)	$R_{ij}$ transition rate, level $i \rightarrow j$ ( $\text{m}^{-3}\text{s}^{-1}$ ) $B_{ij}$ Einstein $B$ coefficients $I_v$ specific intensity of radiation field $A_{21}$ Einstein $A$ coefficient $n_i$ number density of atoms in quantum level $i$ ( $\text{m}^{-3}$ )
Spontaneous emission	$R_{21} = A_{21}n_2$	(8.119)	
Stimulated emission	$R'_{21} = B_{21}I_v n_2$	(8.120)	
Coefficient ratios	$\frac{A_{21}}{B_{12}} = \frac{2hv^3}{c^2} \frac{g_1}{g_2}$	(8.121)	$h$ Planck constant $v$ frequency $c$ speed of light $g_i$ degeneracy of $i$ th level
	$\frac{B_{21}}{B_{12}} = \frac{g_1}{g_2}$	(8.122)	

<sup>a</sup>Note that the coefficients can also be defined in terms of spectral energy density,  $u_v = 4\pi I_v/c$  rather than  $I_v$ . In this case  $\frac{A_{21}}{B_{12}} = \frac{8\pi h v^3}{c^3} \frac{g_1}{g_2}$ . See also Population densities on page 116.

## Lasers<sup>a</sup>



Cavity stability condition	$0 \leq \left(1 - \frac{L}{r_1}\right) \left(1 - \frac{L}{r_2}\right) \leq 1$ (8.123)	$r_{1,2}$ radii of curvature of end-mirrors $L$ distance between mirror centres
Longitudinal cavity modes <sup>b</sup>	$v_n = \frac{c}{2L} n$ (8.124)	$v_n$ mode frequency $n$ integer $c$ speed of light
Cavity $Q$	$Q = \frac{2\pi L(R_1 R_2)^{1/4}}{\lambda [1 - (R_1 R_2)^{1/2}]}$ (8.125)	$Q$ quality factor $R_{1,2}$ mirror (power) reflectances $\lambda$ wavelength
	$\simeq \frac{4\pi L}{\lambda(1 - R_1 R_2)}$ (8.126)	
Cavity line width	$\Delta v_c = \frac{v_n}{Q} = 1/(2\pi\tau_c)$ (8.127)	$\Delta v_c$ cavity line width (FWHP) $\tau_c$ cavity photon lifetime
Schawlow–Townes line width	$\frac{\Delta v}{v_n} = \frac{2\pi h(\Delta v_c)^2}{P} \left( \frac{g_l N_u}{g_l N_u - g_u N_l} \right)$ (8.128)	$\Delta v$ line width (FWHP) $P$ laser power $g_{u,l}$ degeneracy of upper/lower levels $N_{u,l}$ number density of upper/lower levels
Threshold lasing condition	$R_1 R_2 \exp[2(\alpha - \beta)L] > 1$ (8.129)	$\alpha$ gain per unit length of medium $\beta$ loss per unit length of medium

<sup>a</sup>Also see the *Fabry-Perot etalon* on page 163. Note that “cavity” refers to the empty cavity, with no lasing medium present.

<sup>b</sup>The mode spacing equals the cavity free spectral range.

# Chapter 9 Astrophysics

## 9.1 Introduction

Many of the formulas associated with astronomy and astrophysics are either too specialised for a general work such as this or are common to other fields and can therefore be found elsewhere in this book. The following section includes many of the relationships that fall into neither of these categories, including equations to convert between various astronomical coordinate systems and some basic formulas associated with cosmology.

Exceptionally, this section also includes data on the Sun, Earth, Moon, and planets. Observational astrophysics remains a largely inexact science, and parameters of these (and other) bodies are often used as approximate base units in measurements. For example, the masses of stars and galaxies are frequently quoted as multiples of the mass of the Sun ( $1M_{\odot} = 1.989 \times 10^{30} \text{ kg}$ ), extra-solar system planets in terms of the mass of Jupiter, and so on. Astronomers seem to find it particularly difficult to drop arcane units and conventions, resulting in a profusion of measures and nomenclatures throughout the subject. However, the convention of using suitable astronomical objects in this way is both useful and widely accepted.

## 9.2 Solar system data

### Solar data

equatorial radius	$R_{\odot}$	=	$6.960 \times 10^8 \text{ m}$	=	$109.1 R_{\oplus}$
mass	$M_{\odot}$	=	$1.9891 \times 10^{30} \text{ kg}$	=	$3.32946 \times 10^5 M_{\oplus}$
polar moment of inertia	$I_{\odot}$	=	$5.7 \times 10^{46} \text{ kg m}^2$	=	$7.09 \times 10^8 I_{\oplus}$
bolometric luminosity	$L_{\odot}$	=	$3.826 \times 10^{26} \text{ W}$		
effective surface temperature	$T_{\odot}$	=	5770 K		
solar constant <sup>a</sup>			$1.368 \times 10^3 \text{ W m}^{-2}$		
absolute magnitude	$M_V$	=	+4.83;	$M_{\text{bol}}$	= +4.75
apparent magnitude	$m_V$	=	-26.74;	$m_{\text{bol}}$	= -26.82

<sup>a</sup>Bolometric flux at a distance of 1 astronomical unit (AU).

### Earth data

equatorial radius	$R_{\oplus}$	=	$6.37814 \times 10^6 \text{ m}$	=	$9.166 \times 10^{-3} R_{\odot}$
flattening <sup>a</sup>	$f$	=	0.00335364	=	1/298.183
mass	$M_{\oplus}$	=	$5.9742 \times 10^{24} \text{ kg}$	=	$3.0035 \times 10^{-6} M_{\odot}$
polar moment of inertia	$I_{\oplus}$	=	$8.037 \times 10^{37} \text{ kg m}^2$	=	$1.41 \times 10^{-9} I_{\odot}$
orbital semi-major axis <sup>b</sup>	1AU	=	$1.495979 \times 10^{11} \text{ m}$	=	$214.9 R_{\oplus}$
mean orbital velocity			$2.979 \times 10^4 \text{ ms}^{-1}$		
equatorial surface gravity	$g_e$	=	9.780327 ms <sup>-2</sup>		(includes rotation)
polar surface gravity	$g_p$	=	9.832186 ms <sup>-2</sup>		
rotational angular velocity	$\omega_e$	=	$7.292115 \times 10^{-5} \text{ rad s}^{-1}$		

<sup>a</sup> $f$  equals  $(R_{\oplus} - R_{\text{polar}})/R_{\oplus}$ . The mean radius of the Earth is  $6.3710 \times 10^6 \text{ m}$ .

<sup>b</sup>About the Sun.

### Moon data

equatorial radius	$R_m$	=	$1.7374 \times 10^6 \text{ m}$	=	$0.27240 R_{\oplus}$
mass	$M_m$	=	$7.3483 \times 10^{22} \text{ kg}$	=	$1.230 \times 10^{-2} M_{\oplus}$
mean orbital radius <sup>a</sup>	$a_m$	=	$3.84400 \times 10^8 \text{ m}$	=	$60.27 R_{\oplus}$
mean orbital velocity			$1.03 \times 10^3 \text{ ms}^{-1}$		
orbital period (sidereal)			27.32166 d		
equatorial surface gravity			$1.62 \text{ ms}^{-2}$	=	0.166 $g_e$

<sup>a</sup>About the Earth.

### Planetary data<sup>a</sup>

	$M/M_{\oplus}$	$R/R_{\oplus}$	$T(\text{d})$	$P(\text{yr})$	$a(\text{AU})$	$M$	mass
Mercury	0.055274	0.38251	58.646	0.24085	0.38710	$R$	equatorial radius
Venus <sup>b</sup>	0.81500	0.94883	243.018	0.615228	0.72335	$T$	rotational period
Earth	1	1	0.99727	1.00004	1.00000	$P$	orbital period
Mars	0.10745	0.53260	1.02596	1.88093	1.52371	$a$	mean distance
Jupiter	317.85	11.209	0.41354	11.8613	5.20253	$M_{\oplus}$	$5.9742 \times 10^{24} \text{ kg}$
Saturn	95.159	9.4491	0.44401	29.6282	9.57560	$R_{\oplus}$	$6.37814 \times 10^6 \text{ m}$
Uranus <sup>b</sup>	14.500	4.0073	0.71833	84.7466	19.2934	1d	86400 s
Neptune	17.204	3.8826	0.67125	166.344	30.2459	1yr	$3.15569 \times 10^7 \text{ s}$
Pluto <sup>b</sup>	0.00251	0.18736	6.3872	248.348	39.5090	1AU	$1.495979 \times 10^{11} \text{ m}$

<sup>a</sup>Using the osculating orbital elements for 1998. Note that  $P$  is the instantaneous orbital period, calculated from the planet's daily motion. The radii of gas giants are taken at 1 atmosphere pressure.

<sup>b</sup>Retrograde rotation.

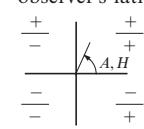
## 9.3 Coordinate transformations (astronomical)

### Time in astronomy

Julian day number <sup>a</sup>	$JD = D - 32075 + 1461 * (Y + 4800 + (M - 14)/12)/4 + 367 * (M - 2 - (M - 14)/12 * 12)/12 - 3 * ((Y + 4900 + (M - 14)/12)/100)/4$ (9.1)	$JD$ Julian day number $D$ day of month number $Y$ calendar year, e.g., 1963 $M$ calendar month (Jan=1) * integer multiply / integer divide $MJD$ modified Julian day number
Modified Julian day number	$MJD = JD - 2400000.5$ (9.2)	$W$ day of week (0=Sunday, 1=Monday, ... )
Day of week	$W = (JD + 1) \bmod 7$ (9.3)	LCT local civil time UTC coordinated universal time TZC time zone correction DSC daylight saving correction $T$ Julian centuries between 12 <sup>h</sup> UTC 1 Jan 2000 and 0 <sup>h</sup> UTC $D/M/Y$
Local civil time	$LCT = UTC + TZC + DSC$ (9.4)	GMST Greenwich mean sidereal time at 0 <sup>h</sup> UTC $D/M/Y$ (for later times use 1s = 1.002738 sidereal seconds)
Julian centuries	$T = \frac{JD - 2451545.5}{36525}$ (9.5)	
Greenwich sidereal time	$GMST = 6^h 41^m 50^s.54841 + 8640184^s.812866T + 0^s.093104T^2 - 0^s.0000062T^3$ (9.6)	LST local sidereal time $\lambda^\circ$ geographic longitude, degrees east of Greenwich
Local sidereal time	$LST = GMST + \frac{\lambda^\circ}{15^\circ}$ (9.7)	

<sup>a</sup>For the Julian day starting at noon on the calendar day in question. The routine is designed around integer arithmetic with “truncation towards zero” (so that  $-5/3 = -1$ ) and is valid for dates from the onset of the Gregorian calendar, 15 October 1582.  $JD$  represents the number of days since Greenwich mean noon 1 Jan 4713 BC. For reference, noon, 1 Jan 2000 =  $JD2451545$  and was a Saturday ( $W = 6$ ).

### Horizon coordinates<sup>a</sup>

Hour angle	$H = LST - \alpha$ (9.8)	LST local sidereal time $H$ (local) hour angle $\alpha$ right ascension
Equatorial to horizon	$\sin a = \sin \delta \sin \phi + \cos \delta \cos \phi \cos H$ (9.9) $\tan A \equiv \frac{-\cos \delta \sin H}{\sin \delta \cos \phi - \sin \phi \cos \delta \cos H}$ (9.10)	$\delta$ declination $a$ altitude $A$ azimuth (E from N) $\phi$ observer's latitude
Horizon to equatorial	$\sin \delta = \sin a \sin \phi + \cos a \cos \phi \cos A$ (9.11) $\tan H \equiv \frac{-\cos a \sin A}{\sin a \cos \phi - \sin \phi \cos a \cos A}$ (9.12)	

<sup>a</sup>Conversions between horizon or alt-azimuth coordinates, ( $a, A$ ), and celestial equatorial coordinates, ( $\delta, \alpha$ ). There are a number of conventions for defining azimuth. For example, it is sometimes taken as the angle west from south rather than east from north. The quadrants for  $A$  and  $H$  can be obtained from the signs of the numerators and denominators in Equations (9.10) and (9.12) (see diagram).

## Ecliptic coordinates<sup>a</sup>

Obliquity of the ecliptic	$\varepsilon = 23^\circ 26' 21''.45 - 46''.815 T$	(9.13)	$\begin{array}{l} \varepsilon \text{ mean ecliptic obliquity} \\ T \text{ Julian centuries since J2000.0}^b \end{array}$
	$-0''.0006 T^2$		
	$+0''.00181 T^3$		
Equatorial to ecliptic	$\sin \beta = \sin \delta \cos \varepsilon - \cos \delta \sin \varepsilon \sin \alpha$	(9.14)	$\begin{array}{l} \alpha \text{ right ascension} \\ \delta \text{ declination} \\ \lambda \text{ ecliptic longitude} \\ \beta \text{ ecliptic latitude} \end{array}$
	$\tan \lambda \equiv \frac{\sin \alpha \cos \varepsilon + \tan \delta \sin \varepsilon}{\cos \alpha}$	(9.15)	
Ecliptic to equatorial	$\sin \delta = \sin \beta \cos \varepsilon + \cos \beta \sin \varepsilon \sin \lambda$	(9.16)	
	$\tan \alpha \equiv \frac{\sin \lambda \cos \varepsilon - \tan \beta \sin \varepsilon}{\cos \lambda}$	(9.17)	

<sup>a</sup>Conversions between ecliptic,  $(\beta, \lambda)$ , and celestial equatorial,  $(\delta, \alpha)$ , coordinates.  $\beta$  is positive above the ecliptic and  $\lambda$  increases eastwards. The quadrants for  $\lambda$  and  $\alpha$  can be obtained from the signs of the numerators and denominators in Equations (9.15) and (9.17) (see diagram).

<sup>b</sup>See Equation (9.5).

## Galactic coordinates<sup>a</sup>

Galactic frame	$\alpha_g = 192^\circ 15'$	(9.18)	$\begin{array}{l} \alpha_g \text{ right ascension of north galactic pole} \\ \delta_g \text{ declination of north galactic pole} \end{array}$
	$\delta_g = 27^\circ 24'$	(9.19)	
	$l_g = 33^\circ$	(9.20)	
Equatorial to galactic	$\sin b = \cos \delta \cos \delta_g \cos(\alpha - \alpha_g) + \sin \delta \sin \delta_g$	(9.21)	$l_g \text{ ascending node of galactic plane on equator}$
	$\tan(l - l_g) \equiv \frac{\tan \delta \cos \delta_g - \cos(\alpha - \alpha_g) \sin \delta_g}{\sin(\alpha - \alpha_g)}$	(9.22)	
Galactic to equatorial	$\sin \delta = \cos b \cos \delta_g \sin(l - l_g) + \sin b \sin \delta_g$	(9.23)	$\begin{array}{l} \delta \text{ declination} \\ \alpha \text{ right ascension} \\ b \text{ galactic latitude} \\ l \text{ galactic longitude} \end{array}$
	$\tan(\alpha - \alpha_g) \equiv \frac{\cos(l - l_g)}{\tan b \cos \delta_g - \sin \delta_g \sin(l - l_g)}$	(9.24)	

<sup>a</sup>Conversions between galactic,  $(b, l)$ , and celestial equatorial,  $(\delta, \alpha)$ , coordinates. The galactic frame is defined at epoch B1950.0. The quadrants of  $l$  and  $\alpha$  can be obtained from the signs of the numerators and denominators in Equations (9.22) and (9.24).

## Precession of equinoxes<sup>a</sup>

In right ascension	$\alpha \simeq \alpha_0 + (3^\circ.075 + 1^\circ.336 \sin \alpha_0 \tan \delta_0)N$	(9.25)	$\alpha$ right ascension of date $\alpha_0$ right ascension at J2000.0 $N$ number of years since J2000.0
In declination	$\delta \simeq \delta_0 + (20''.043 \cos \alpha_0)N$	(9.26)	$\delta$ declination of date $\delta_0$ declination at J2000.0

<sup>a</sup>Right ascension in hours, minutes, and seconds; declination in degrees, arcminutes, and arcseconds. These equations are valid for several hundred years each side of J2000.0.

## 9.4 Observational astrophysics

### Astronomical magnitudes

Apparent magnitude	$m_1 - m_2 = -2.5 \log_{10} \frac{F_1}{F_2}$	(9.27)	$m_i$	apparent magnitude of object $i$
Distance modulus <sup>a</sup>	$m - M = 5 \log_{10} D - 5$ $= -5 \log_{10} p - 5$	(9.28) (9.29)	$F_i$	energy flux from object $i$
Luminosity-magnitude relation	$M_{\text{bol}} = 4.75 - 2.5 \log_{10} \frac{L}{L_{\odot}}$ $L \simeq 3.04 \times 10^{(28-0.4M_{\text{bol}})}$	(9.30) (9.31)	$M$	absolute magnitude
Flux-magnitude relation	$F_{\text{bol}} \simeq 2.559 \times 10^{-(8+0.4m_{\text{bol}})}$	(9.32)	$m - M$	distance modulus
Bolometric correction	$BC = m_{\text{bol}} - m_V$ $= M_{\text{bol}} - M_V$	(9.33) (9.34)	$D$	distance to object (parsec)
Colour index <sup>b</sup>	$B - V = m_B - m_V$ $U - B = m_U - m_B$	(9.35) (9.36)	$p$	annual parallax (arcsec)
Colour excess <sup>c</sup>	$E = (B - V) - (B - V)_0$	(9.37)	$M_{\text{bol}}$	bolometric absolute magnitude
			$L$	luminosity (W)
			$L_{\odot}$	solar luminosity ( $3.826 \times 10^{26}$ W)
			$F_{\text{bol}}$	bolometric flux ( $\text{W m}^{-2}$ )
			$m_{\text{bol}}$	bolometric apparent magnitude
			$BC$	bolometric correction
			$m_V$	$V$ -band apparent magnitude
			$M_V$	$V$ -band absolute magnitude
			$B - V$	observed $B - V$ colour index
			$U - B$	observed $U - B$ colour index
			$E$	$B - V$ colour excess
			$(B - V)_0$	intrinsic $B - V$ colour index

<sup>a</sup>Neglecting extinction.

<sup>b</sup>Using the  $UBV$  magnitude system. The bands are centred around 365 nm ( $U$ ), 440 nm ( $B$ ), and 550 nm ( $V$ ).

<sup>c</sup>The  $U - B$  colour excess is defined similarly.

### Photometric wavelengths

Mean wavelength	$\lambda_0 = \frac{\int \lambda R(\lambda) d\lambda}{\int R(\lambda) d\lambda}$	(9.38)	$\lambda_0$	mean wavelength
Isophotal wavelength	$F(\lambda_i) = \frac{\int F(\lambda) R(\lambda) d\lambda}{\int R(\lambda) d\lambda}$	(9.39)	$\lambda$	wavelength
Effective wavelength	$\lambda_{\text{eff}} = \frac{\int \lambda F(\lambda) R(\lambda) d\lambda}{\int F(\lambda) R(\lambda) d\lambda}$	(9.40)	$R$	system spectral response
			$F(\lambda)$	flux density of source (in terms of wavelength)
			$\lambda_i$	isophotal wavelength
			$\lambda_{\text{eff}}$	effective wavelength

## Planetary bodies

Bode's law <sup>a</sup>	$D_{\text{AU}} = \frac{4 + 3 \times 2^n}{10}$	(9.41)	$D_{\text{AU}}$ planetary orbital radius (AU) $n$ index: Mercury = $-\infty$ , Venus = 0, Earth = 1, Mars = 2, Ceres = 3, Jupiter = 4, ...
Roche limit	$R \gtrsim \left( \frac{100M}{9\pi\rho} \right)^{1/3}$	(9.42)	$R$ satellite orbital radius
	$\gtrsim 2.46R_0$ (if densities equal)	(9.43)	$M$ central mass $\rho$ satellite density $R_0$ central body radius
Synodic period <sup>b</sup>	$\frac{1}{S} = \left  \frac{1}{P} - \frac{1}{P_{\oplus}} \right $	(9.44)	$S$ synodic period $P$ planetary orbital period $P_{\oplus}$ Earth's orbital period

<sup>a</sup>Also known as the “Titius–Bode rule.” Note that the asteroid Ceres is counted as a planet in this scheme. The relationship breaks down for Neptune and Pluto.

<sup>b</sup>Of a planet.

## Distance indicators

Hubble law	$v = H_0 d$	(9.45)	$v$ cosmological recession velocity $H_0$ Hubble parameter (present epoch) $d$ (proper) distance
Annual parallax	$D_{\text{pc}} = p^{-1}$	(9.46)	$D_{\text{pc}}$ distance (parsec) $p$ annual parallax ( $\pm p$ arcsec from mean)
Cepheid variables <sup>a</sup>	$\log_{10} \frac{\langle L \rangle}{L_{\odot}} \simeq 1.15 \log_{10} P_d + 2.47$	(9.47)	$\langle L \rangle$ mean cepheid luminosity $L_{\odot}$ Solar luminosity
	$M_V \simeq -2.76 \log_{10} P_d - 1.40$	(9.48)	$P_d$ pulsation period (days) $M_V$ absolute visual magnitude
Tully–Fisher relation <sup>b</sup>	$M_I \simeq -7.68 \log_{10} \left( \frac{2v_{\text{rot}}}{\sin i} \right) - 2.58$	(9.49)	$M_I$ $I$ -band absolute magnitude $v_{\text{rot}}$ observed maximum rotation velocity ( $\text{km s}^{-1}$ ) $i$ galactic inclination ( $90^\circ$ when edge-on)
Einstein rings	$\theta^2 = \frac{4GM}{c^2} \left( \frac{d_s - d_l}{d_s d_l} \right)$	(9.50)	$\theta$ ring angular radius $M$ lens mass $d_s$ distance from observer to source $d_l$ distance from observer to lens
Sunyaev–Zel'dovich effect <sup>c</sup>	$\frac{\Delta T}{T} = -2 \int \frac{n_e k T_e \sigma_T}{m_e c^2} dl$	(9.51)	$T$ apparent CMBR temperature $dl$ path element through cloud $R$ cloud radius $n_e$ electron number density $k$ Boltzmann constant $T_e$ electron temperature $\sigma_T$ Thomson cross section
... for a homogeneous sphere	$\frac{\Delta T}{T} = -\frac{4R n_e k T_e \sigma_T}{m_e c^2}$	(9.52)	$m_e$ electron mass $c$ speed of light

<sup>a</sup>Period–luminosity relation for classical Cepheids. Uncertainty in  $M_V$  is  $\pm 0.27$  (Madore & Freedman, 1991, Publications of the Astronomical Society of the Pacific, **103**, 933).

<sup>b</sup>Galaxy rotation velocity–magnitude relation in the infrared  $I$  waveband, centred at  $0.90 \mu\text{m}$ . The coefficients depend on waveband and galaxy type (see Giovanelli *et al.*, 1997, The Astronomical Journal, **113**, 1).

<sup>c</sup>Scattering of the cosmic microwave background radiation (CMBR) by a cloud of electrons, seen as a temperature decrement,  $\Delta T$ , in the Rayleigh–Jeans limit ( $\lambda \gg 1 \text{ mm}$ ).

## 9.5 Stellar evolution

### Evolutionary timescales

Free-fall timescale <sup>a</sup>	$\tau_{\text{ff}} = \left( \frac{3\pi}{32G\rho_0} \right)^{1/2}$	(9.53)	$\tau_{\text{ff}}$ free-fall timescale $G$ constant of gravitation $\rho_0$ initial mass density
Kelvin–Helmholtz timescale	$\tau_{\text{KH}} = \frac{-U_g}{L}$	(9.54)	$\tau_{\text{KH}}$ Kelvin–Helmholtz timescale $U_g$ gravitational potential energy
	$\simeq \frac{GM^2}{R_0 L}$	(9.55)	$M$ body's mass $R_0$ body's initial radius $L$ body's luminosity

<sup>a</sup>For the gravitational collapse of a uniform sphere.

### Star formation

Jeans length <sup>a</sup>	$\lambda_J = \left( \frac{\pi}{G\rho} \frac{dp}{d\rho} \right)^{1/2}$	(9.56)	$\lambda_J$ Jeans length $G$ constant of gravitation $\rho$ cloud mass density $p$ pressure
Jeans mass	$M_J = \frac{\pi}{6} \rho \lambda_J^3$	(9.57)	$M_J$ (spherical) Jeans mass
Eddington limiting luminosity <sup>b</sup>	$L_E = \frac{4\pi G M m_p c}{\sigma_T}$	(9.58)	$L_E$ Eddington luminosity $M$ stellar mass $M_\odot$ solar mass
	$\simeq 1.26 \times 10^{31} \frac{M}{M_\odot} \text{ W}$	(9.59)	$m_p$ proton mass $c$ speed of light $\sigma_T$ Thomson cross section

<sup>a</sup>Note that  $(dp/d\rho)^{1/2}$  is the sound speed in the cloud.

<sup>b</sup>Assuming the opacity is mostly from Thomson scattering.

### Stellar theory<sup>a</sup>

Conservation of mass	$\frac{dM_r}{dr} = 4\pi\rho r^2$	(9.60)	$r$ radial distance $M_r$ mass interior to $r$ $\rho$ mass density
Hydrostatic equilibrium	$\frac{dp}{dr} = -\frac{G\rho M_r}{r^2}$	(9.61)	$p$ pressure $G$ constant of gravitation
Energy release	$\frac{dL_r}{dr} = 4\pi\rho r^2 \epsilon$	(9.62)	$L_r$ luminosity interior to $r$ $\epsilon$ power generated per unit mass
Radiative transport	$\frac{dT}{dr} = \frac{-3}{16\sigma} \frac{\langle \kappa \rangle \rho}{T^3} \frac{L_r}{4\pi r^2}$	(9.63)	$T$ temperature $\sigma$ Stefan–Boltzmann constant $\langle \kappa \rangle$ mean opacity
Convective transport	$\frac{dT}{dr} = \frac{\gamma-1}{\gamma} \frac{T}{p} \frac{dp}{dr}$	(9.64)	$\gamma$ ratio of heat capacities, $c_p/c_V$

<sup>a</sup>For stars in static equilibrium with adiabatic convection. Note that  $\rho$  is a function of  $r$ .  $\kappa$  and  $\epsilon$  are functions of temperature and composition.

## Stellar fusion processes<sup>a</sup>

PP I chain	PP II chain	PP III chain
$p^+ + p^+ \rightarrow {}_1^2H + e^+ + \nu_e$	$p^+ + p^+ \rightarrow {}_1^2H + e^+ + \nu_e$	$p^+ + p^+ \rightarrow {}_1^2H + e^+ + \nu_e$
${}_1^2H + p^+ \rightarrow {}_2^3He + \gamma$	${}_1^2H + p^+ \rightarrow {}_2^3He + \gamma$	${}_1^2H + p^+ \rightarrow {}_2^3He + \gamma$
${}_2^3He + {}_2^3He \rightarrow {}_2^4He + 2p^+$	${}_2^3He + {}_2^4He \rightarrow {}_4^7Be + \gamma$ ${}_4^7Be + e^- \rightarrow {}_3^7Li + \nu_e$ ${}_3^7Li + p^+ \rightarrow 2 {}_2^4He$	${}_2^3He + {}_2^4He \rightarrow {}_4^7Be + \gamma$ ${}_4^7Be + p^+ \rightarrow {}_5^8B + \gamma$ ${}_5^8B \rightarrow {}_4^8Be + e^+ + \nu_e$ ${}_4^8Be \rightarrow 2 {}_2^4He$
CNO cycle	triple- $\alpha$ process	$\gamma$ photon $p^+$ proton $e^+$ positron $e^-$ electron $\nu_e$ electron neutrino
${}_6^{12}C + p^+ \rightarrow {}_7^{13}N + \gamma$ ${}_7^{13}N \rightarrow {}_6^{13}C + e^+ + \nu_e$ ${}_6^{13}C + p^+ \rightarrow {}_7^{14}N + \gamma$ ${}_7^{14}N + p^+ \rightarrow {}_8^{15}O + \gamma$ ${}_8^{15}O \rightarrow {}_7^{15}N + e^+ + \nu_e$ ${}_7^{15}N + p^+ \rightarrow {}_6^{12}C + {}_2^4He$	${}_2^4He + {}_2^4He \rightleftharpoons {}_4^8Be + \gamma$ ${}_4^8Be + {}_2^4He \rightleftharpoons {}_6^{12}C^*$ ${}_6^{12}C^* \rightarrow {}_6^{12}C + \gamma$	

<sup>a</sup>All species are taken as fully ionised.

## Pulsars

Braking index	$\dot{\omega} \propto -\omega^n$	(9.65)	$\omega$ rotational angular velocity
	$n = 2 - \frac{P \ddot{P}}{\dot{P}^2}$	(9.66)	$P$ rotational period ( $= 2\pi/\omega$ )
Characteristic age <sup>a</sup>	$T = \frac{1}{n-1} \frac{P}{\dot{P}}$	(9.67)	$n$ braking index
Magnetic dipole radiation	$L = \frac{\mu_0  \ddot{m} ^2 \sin^2 \theta}{6\pi c^3}$	(9.68)	$T$ characteristic age
	$= \frac{2\pi R^6 B_p^2 \omega^4 \sin^2 \theta}{3c^3 \mu_0}$	(9.69)	$L$ luminosity
Dispersion measure	$DM = \int_0^D n_e dl$	(9.70)	$\mu_0$ permeability of free space
Dispersion <sup>b</sup>	$\frac{d\tau}{dv} = \frac{-e^2}{4\pi^2 \epsilon_0 m_e c v^3} DM$	(9.71)	$c$ speed of light
	$\Delta\tau = \frac{e^2}{8\pi^2 \epsilon_0 m_e c} \left( \frac{1}{v_1^2} - \frac{1}{v_2^2} \right) DM$	(9.72)	$m$ pulsar magnetic dipole moment
			$R$ pulsar radius
			$B_p$ magnetic flux density at magnetic pole
			$\theta$ angle between magnetic and rotational axes
			DM dispersion measure
			$D$ path length to pulsar
			$dl$ path element
			$n_e$ electron number density
			$\tau$ pulse arrival time
			$\Delta\tau$ difference in pulse arrival time
			$v_i$ observing frequencies
			$m_e$ electron mass

<sup>a</sup>Assuming  $n \neq 1$  and that the pulsar has already slowed significantly. Usually  $n$  is assumed to be 3 (magnetic dipole radiation), giving  $T = P/(2\dot{P})$ .

<sup>b</sup>The pulse arrives first at the higher observing frequency.

## Compact objects and black holes

Schwarzschild radius	$r_s = \frac{2GM}{c^2} \simeq 3 \frac{M}{M_\odot}$ km	(9.73)	$r_s$ Schwarzschild radius $G$ constant of gravitation $M$ mass of body $c$ speed of light $M_\odot$ solar mass $r$ distance from mass centre $v_\infty$ frequency at infinity $v_r$ frequency at $r$ $m_i$ orbiting masses $a$ mass separation $L_g$ gravitational luminosity $P$ orbital period
Gravitational redshift	$\frac{v_\infty}{v_r} = \left(1 - \frac{2GM}{rc^2}\right)^{1/2}$	(9.74)	
Gravitational wave radiation <sup>a</sup>	$L_g = \frac{32}{5} \frac{G^4}{c^5} \frac{m_1^2 m_2^2 (m_1 + m_2)}{a^5}$	(9.75)	
Rate of change of orbital period	$\dot{P} = -\frac{96}{5} (4\pi^2)^{4/3} \frac{G^{5/3}}{c^5} \frac{m_1 m_2 P^{-5/3}}{(m_1 + m_2)^{1/3}}$	(9.76)	
Neutron star degeneracy pressure (nonrelativistic)	$p = \frac{(3\pi^2)^{2/3}}{5} \frac{\hbar^2}{m_n} \left(\frac{\rho}{m_n}\right)^{5/3} = \frac{2}{3} u$	(9.77)	$p$ pressure $\hbar$ (Planck constant)/(2 $\pi$ ) $m_n$ neutron mass $\rho$ density
Relativistic <sup>b</sup>	$p = \frac{\hbar c (3\pi^2)^{1/3}}{4} \left(\frac{\rho}{m_n}\right)^{4/3} = \frac{1}{3} u$	(9.78)	$u$ energy density
Chandrasekhar mass <sup>c</sup>	$M_{\text{Ch}} \simeq 1.46 M_\odot$	(9.79)	$M_{\text{Ch}}$ Chandrasekhar mass
Maximum black hole angular momentum	$J_m = \frac{GM^2}{c}$	(9.80)	$J_m$ maximum angular momentum
Black hole evaporation time	$\tau_e \sim \frac{M^3}{M_\odot^3} \times 10^{66}$ yr	(9.81)	$\tau_e$ evaporation time
Black hole temperature	$T = \frac{\hbar c^3}{8\pi GMk} \simeq 10^{-7} \frac{M_\odot}{M}$ K	(9.82)	$T$ temperature $k$ Boltzmann constant

<sup>a</sup>From two bodies,  $m_1$  and  $m_2$ , in circular orbits about their centre of mass. Note that the frequency of the radiation is twice the orbital frequency.

<sup>b</sup>Particle velocities  $\sim c$ .

<sup>c</sup>Upper limit to mass of a white dwarf.

## 9.6 Cosmology

### Cosmological model parameters

Hubble law	$v_r = Hd$	(9.83)	$v_r$ radial velocity $H$ Hubble parameter $d$ proper distance
Hubble parameter <sup>a</sup>	$H(t) = \frac{\dot{R}(t)}{R(t)}$	(9.84)	$0$ present epoch $R$ cosmic scale factor $t$ cosmic time $z$ redshift
	$H(z) = H_0 [\Omega_{m0}(1+z)^3 + \Omega_{\Lambda0} + (1-\Omega_{m0}-\Omega_{\Lambda0})(1+z)^2]^{1/2}$	(9.85)	$\lambda_{\text{obs}}$ observed wavelength $\lambda_{\text{em}}$ emitted wavelength $t_{\text{em}}$ epoch of emission
Redshift	$z = \frac{\lambda_{\text{obs}} - \lambda_{\text{em}}}{\lambda_{\text{em}}} = \frac{R_0}{R(t_{\text{em}})} - 1$	(9.86)	$ds$ interval $c$ speed of light $r, \theta, \phi$ comoving spherical polar coordinates
Robertson–Walker metric <sup>b</sup>	$ds^2 = c^2 dt^2 - R^2(t) \left[ \frac{dr^2}{1-kr^2} + r^2(d\theta^2 + \sin^2 \theta d\phi^2) \right]$	(9.87)	$k$ curvature parameter $G$ constant of gravitation $p$ pressure $\Lambda$ cosmological constant
Friedmann equations <sup>c</sup>	$\ddot{R} = -\frac{4\pi}{3}GR \left( \rho + 3\frac{p}{c^2} \right) + \frac{\Lambda R}{3}$	(9.88)	$\rho$ (mass) density $\rho_{\text{crit}}$ critical density
	$\dot{R}^2 = \frac{8\pi}{3}G\rho R^2 - kc^2 + \frac{\Lambda R^2}{3}$	(9.89)	
Critical density	$\rho_{\text{crit}} = \frac{3H^2}{8\pi G}$	(9.90)	
	$\Omega_m = \frac{\rho}{\rho_{\text{crit}}} = \frac{8\pi G \rho}{3H^2}$	(9.91)	$\Omega_m$ matter density parameter $\Omega_\Lambda$ lambda density parameter $\Omega_k$ curvature density parameter
Density parameters	$\Omega_\Lambda = \frac{\Lambda}{3H^2}$	(9.92)	
	$\Omega_k = -\frac{kc^2}{R^2 H^2}$	(9.93)	
	$\Omega_m + \Omega_\Lambda + \Omega_k = 1$	(9.94)	
Deceleration parameter	$q_0 = -\frac{R_0 \ddot{R}_0}{\dot{R}_0^2} = \frac{\Omega_{m0}}{2} - \Omega_{\Lambda0}$	(9.95)	$q_0$ deceleration parameter

<sup>a</sup>Often called the Hubble “constant.” At the present epoch,  $60 \lesssim H_0 \lesssim 80 \text{ km s}^{-1} \text{ Mpc}^{-1} \equiv 100h \text{ km s}^{-1} \text{ Mpc}^{-1}$ , where  $h$  is a dimensionless scaling parameter. The Hubble time is  $t_H = 1/H_0$ . Equation (9.85) assumes a matter dominated universe and mass conservation.

<sup>b</sup>For a homogeneous, isotropic universe, using the  $(-1, 1, 1, 1)$  metric signature.  $r$  is scaled so that  $k=0, \pm 1$ . Note that  $ds^2 \equiv (ds)^2$  etc.

<sup>c</sup> $\Lambda=0$  in a Friedmann universe. Note that the cosmological constant is sometimes defined as equalling the value used here divided by  $c^2$ .

## Cosmological distance measures

Look-back time	$t_{lb}(z) = t_0 - t(z)$	(9.96)	$t_{lb}(z)$ light travel time from an object at redshift $z$
Proper distance	$d_p = R_0 \int_0^r \frac{dr}{(1-kr^2)^{1/2}} = cR_0 \int_t^{t_0} \frac{dt}{R(t)}$	(9.97)	$t_0$ present cosmic time
Luminosity distance <sup>a</sup>	$d_L = d_p(1+z) = c(1+z) \int_0^z \frac{dz}{H(z)}$	(9.98)	$t(z)$ cosmic time at $z$
Flux density–redshift relation	$F(v) = \frac{L(v')}{4\pi d_L^2(z)}$ where $v' = (1+z)v$	(9.99)	$d_p$ proper distance
Angular diameter distance <sup>d</sup>	$d_a = d_L(1+z)^{-2}$	(9.100)	$R$ cosmic scale factor
			$c$ speed of light
			$_0$ present epoch
			$d_L$ luminosity distance
			$z$ redshift
			$H$ Hubble parameter <sup>b</sup>
			$F$ spectral flux density
			$v$ frequency
			$L(v)$ spectral luminosity <sup>c</sup>
			$d_a$ angular diameter distance
			$k$ curvature parameter

<sup>a</sup> Assuming a flat universe ( $k=0$ ). The apparent flux density of a source varies as  $d_L^{-2}$ .

<sup>b</sup> See Equation (9.85).

<sup>c</sup> Defined as the output power of the body per unit frequency interval.

<sup>d</sup> True for all  $k$ . The angular diameter of a source varies as  $d_a^{-1}$ .

## Cosmological models<sup>a</sup>

Einstein – de Sitter model ( $\Omega_k = 0$ , $\Lambda = 0$ , $p = 0$ and $\Omega_{m0} = 1$ )	$d_p = \frac{2c}{H_0} [1 - (1+z)^{-1/2}]$	(9.101)	$d_p$ proper distance
	$H(z) = H_0(1+z)^{3/2}$	(9.102)	$H$ Hubble parameter
	$q_0 = 1/2$	(9.103)	$_0$ present epoch
	$t(z) = \frac{2}{3H(z)}$	(9.104)	$z$ redshift
	$\rho = (6\pi Gt^2)^{-1}$	(9.105)	$c$ speed of light
	$R(t) = R_0(t/t_0)^{2/3}$	(9.106)	$q$ deceleration parameter
Concordance model ( $\Omega_k = 0$ , $\Lambda = 3(1-\Omega_{m0})H_0^2$ , $p = 0$ and $\Omega_{m0} < 1$ )	$d_p = \frac{c}{H_0} \int_0^z \frac{\Omega_{m0}^{-1/2} dz'}{[(1+z')^3 - 1 + \Omega_{m0}^{-1}]^{1/2}}$	(9.107)	$t(z)$ time at redshift $z$
	$H(z) = H_0[\Omega_{m0}(1+z)^3 + (1-\Omega_{m0})]$	(9.108)	$R$ cosmic scale factor
	$q_0 = 3\Omega_{m0}/2 - 1$	(9.109)	$\Omega_{m0}$ present mass density parameter
	$t(z) = \frac{2}{3H_0}(1-\Omega_{m0})^{-1/2} \operatorname{arsinh} \left[ \frac{(1-\Omega_{m0})^{1/2}}{(1+z)^{3/2}} \right]$	(9.110)	$G$ constant of gravitation
			$\rho$ mass density

<sup>a</sup>Currently popular.



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